

January 18, 2019

The Evelyn F. McKnight Brain Research Foundation c/o Ms. Melanie Cianciotto
Vice President for Foundations and Endowments
SunTrust Bank
200 South Orange Avenue
SOAB 10th Floor
Orlando, Florida 32801

Dear Ms. Cianciotto,

I am honored to provide you and the trustees of the McKnight Brain Research Foundation with an update regarding the impact and advancement of The Evelyn F. McKnight Brain Institute (EMBI) at UAB. The Foundation's willingness to lead the way in support of this important work and research has had an incredible effect on the UAB professionals who devote themselves to age-related memory disorders research every day.

We are fortunate to have the Foundation's exceptional support and shared commitment to excellence as we continue our efforts to bring scientific development to the field of age-related memory disorders. As you know, philanthropy plays a significant role in the University's ability to not only accelerate the pace of discovery in our pursuit of groundbreaking research but also improve the quality of life of many people, and I would like to personally thank you for your continued belief in UAB and the vital work we are doing.

I also want to inform you that Craig Motlow Powell, M.D., Ph.D., was appointed to the Virginia B. Spencer Endowed Professorship in Neuroscience last summer. Dr. Powell is currently a professor and the Chair of the Department of Neurobiology and he serves as the Director of the Civitan International Research Center. He is nationally recognized for his work on molecular, cellular and circuit mechanisms of cognitive function and cognitive dysfunction in disorders of cognitive function across the lifespan, and his recent work has added patient-centered studies in human patients with cognitive disorders.

Dr. Powell has made significant contributions to the body of scholarly knowledge through his published works of more than 50 peer-reviewed publications, seven book chapters, and numerous lectures and presentations at workshops as well as international conferences. He continues to be an outstanding and dedicated educator for the next generation of scientists, demonstrated by his mentorship of more than 15 graduate students and postdoctoral fellows.

If you have any questions or need any additional information, please do not hesitate to contact me or Melanie A. Armstrong, Director of Donor Relations and Engagement, at (205) 996-5600, or by email to melaniek@uab.edu. Please share my gratitude with all of the trustees of the Evelyn F. McKnight Brain Research Foundation. We cannot thank you enough for your support.

The Evelyn F. McKnight Brain Research Foundation January 18, 2019 Page two

Sincerely,

Tom Brannan

Vice President for Advancement

Van Brannan

cc: Dr. Ronald M. Lazar

Dr. James H. Meador-Woodruff

Dr. Erik D. Roberson Dr. David G. Standaert Dr. Ray L. Watts Dr. Selwyn M. Vickers

# The Evelyn F. McKnight Brain Foundation

Preserving Memory, Enhancing Life

# ANNUAL REPORT // 2018

# Ronald M. Lazar, PhD, FAHA, FAAN

Professor of Neurology
Evelyn F. McKnight Endowed Chair for Learning and Memory in Aging
Director, UAB Evelyn F. McKnight Brain Institute
Director, Division of Neuropsychology (Neurology)
Department of Neurology

# Erik D. Roberson, MD, PhD

Associate Professor of Neurology and Neurobiology
Patsy W. and Charles A. Collat Professor of Neuroscience
Director, Alzheimer's Disease Center
Associate Director, UAB Evelyn F. McKnight Brain Institute
Co-Director, Center for Neurodegeneration and Experimental Therapeutics

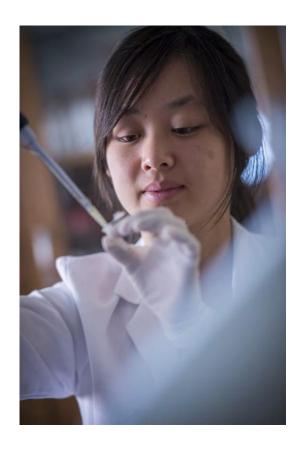
The University of Alabama at Birmingham Sparks Center 1720 7th Avenue South Birmingham, Alabama 35294

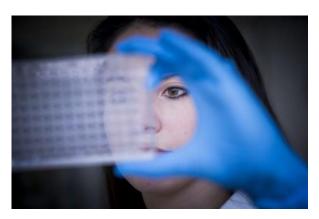
LAS THE UNIVERSITY OF ALABAMA AT BIRMINGHAM

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# INSTITUTE DIRECTOR'S OVERALL REPORT

# **Institute Director's Overall Report**

The University of Alabama at Birmingham (UAB) Evelyn F. McKnight Brain Institute (EMBI) has just completed its first complete reporting year under the leadership of **Ronald M. Lazar, PhD**, Evelyn F. McKnight Endowed Chair in Learning and Memory in Aging and Director of the UAB EMBI. Consistent with his vision to build upon the already-existing strengths in basic and translational neuroscience by establishing new relationships with patient-oriented departments, the clinical faculty now includes representatives from: Neuropsychology, Movement Disorders, Memory Disorders (Neurology); Behavioral Neuroscience, Medical Psychology (Psychology); Molecular Imaging & Therapeutics, Advanced Medical Imaging Research (Radiology); Biostatistics; Gerontology/Geriatrics, Cardiovascular Medicine, Pulmonology, Infectious Disease (Medicine); Ophthalmology; and Behavioral Neurobiology



(Psychiatry). In all, the total faculty membership over Dr. Lazar's initial 18 months of leadership has expanded from 30 to 55 investigators.

The 2018 reporting year began with a request for pilot grant proposals in which there would be collaboration between basic and clinical neuroscientists, and as described below, we funded three outstanding projects, beginning March 15. Then, on April 4 – 6, the UAB EMBI hosted the Tenth Annual McKnight Institutional Meeting in Birmingham (See McKnight Chair Report, Section 12). There was a newly-fashioned Pre-Meeting in 2-hour sessions, with an organizer for every session, giving roughly equal representation across the four EMBI sites. At the end of the main meeting on Friday, Apr 6, an hour was devoted for all of the 10 organizers to spend 5 minutes each outlining the nature of their group meeting sessions and the action items for follow-up. Fifty-four faculty from the four EMBI's attended. There were four sessions across two days for the main meeting. We were extremely pleased to have the April 5 lunchtime Keynote Address delivered by Steve Horvath, PhD, from UCLA. The dinner Keynote Address that night was delivered at Vulcan Park by Madhav Thambisetty, MD, PhD, from the National Institute of Aging and Board Member of the MBRF.

To help foster McKnight MBI inter-institutional relationships, Dr. Lazar also had important conversations during the Inter-Institutional Meeting with Drs. Lee Ryan and Meredith Hay from the University of Arizona about a joint NIH/NIA application for a late Phase 1/Early Phase 2 randomized controlled trial. Using a design to examine safety and early efficacy of an experimental drug to mitigate neuroinflammation related to the angiotensin-renin-aldosterone system in patients with heart failure, UAB and UA submitted a proposal in November 2018 for review in early 2019.

The scientific productivity of UAB faculty continued to flourish, again with more than 200 peer-reviewed publications in high-impact journals, many of which are listed below.



Under the leadership of **David Standaert, M.D., Ph.D.,** Chairman of the UAB Department of Neurology and former Interim Director of the UAB MBI, The University of Alabama at Birmingham became one of eight Udall Centers of Excellence in Parkinson's Disease Research by the National Institutes of Health. The Udall centers, begun in 1997, are funded by congressional legislation in honor of former U.S. Rep. Morris Udall of Arizona, who died in 1998 after a long battle with the disease. The Alabama Udall Center was established as the result of a new NIH award of nearly \$10 million over five years, and will focus on the role of inflammation and immune response in the progression of Parkinson's, which is a new approach to the disease.

Dr. Lazar and his colleagues Drs. Toby Gropen from the UAB Department of Neurology and Mark Harrigan from the UAB Department of Neurosurgery will serve as Multiple Principal Investigators for a new stroke initiative to fill a large gap in the stroke belt as UAB will lead a consortium of medical institutions from the Deep South in joining the National Institutes of Health StrokeNet under a grant of \$1 million over five years from the National Institute of Neurological Disorders and Stroke. NIH StrokeNet is a network of 25 regional centers across the U.S., involving more than 200 hospitals, designed to serve as the infrastructure and pipeline for exciting new potential treatments for patients with stroke and those at risk for stroke.

Drs. Gerstenecker, Triebel, Martin, and Marson studied financial capacity among older adults who represented the cognitive spectrum from normal cognitive aging to mild cognitive impairment. They were able to extract four skill-based factors, which can serve as clinical metrics for potential financial changes during aging and targets for intervention.

Dr. Mark Bolding and colleagues are pioneering new systems of drug delivery into the brain. They have validated a novel method of non-invasive delivery of viruses and nanoparticle scintillators to hippocampus and motor cortex of the brain in a murine model. Both viruses and nanoparticles were injected IV and localized delivery was induced with focused ultrasound. Delivery of viruses was confirmed by light-induced expression, nanoparticle delivery was confirmed with PET and MRI, and histology confirmed that delivery did not cause damage to the tissue.

The McKnight Brain Aging Registry (MBAR) study is well underway. Recruitment and the data acquisition are in progress. The tremendous investment in organization across sites to harmonize data acquisition of neuropsychological data, computerized behavioral data of several types, tissue of several types from blood draws, and 7 different kinds of MRI data has been worth it to see harmonized data from 4 different sites, which have undergone quality control and are similar enough to be compared across sites. The protocol involves two visits at which behavioral testing (neuropsychological testing and other behavioral tests including the NIH toolbox) is performed. During one of these visits, blood is acquired from the participants. On the third visit, the participants undergo an extensive MRI battery. The study has a massive number of moving parts, including organizing neurologists to be available on time for participants, blood draws, recruiting potential participants, running MRI scans, and quality checking all the data. This machinery, which took great care to build, is running smoothly. For recruitment, along with other standard recruitment methods, we regularly visit local senior centers and

have a second big postcard recruitment campaign scheduled after the holidays. The four sites still have weekly telephone calls during which we discuss ongoing quality assurance issues and ensure compatibility across sites. Our first analyses beyond extensive quality control and across-site checks, looking at aggregate data across sites, are scheduled for early 2019. UAB has had a very strong role in all aspects of the project. UAB's MBAR coordinator is Sara Sims, who is the go-to person for all MBAR questions from the other coordinators. She was the driver of the MOP creation (though it was a collaborative effort), and she has been instrumental in setting up and maintaining both the RedCap and Supercomputer (Hipergator) databases. All these things have been instrumental at all sites and contribute to keeping data quality the same across sites. She and Dr. Virginia Wadley developed the online training protocols that we use to train new people at the other sites. Sara has been instrumental in identifying a behavioral metric that needed to be taken out of the protocol due to inconsistent data. She has caught and remediated several inconsistencies in MRI data acquisition.

Additionally, Sara and Jeff Edberg of UAB's CCTS have been instrumental in finalizing our blood biobanking protocols, used at all four sites. UAB was strong out of the gate recruiting participants — for a time most of the participants were from UAB. However, the others are catching up, as recruitment here has slowed. We are approaching 100 participants across all 4 sites.

# Additional Highlights:

- The Evelyn F. McKnight Brain Institute requested grant applications for pilot studies (Appendix A) on age related memory and cognitive decline that demonstrated a collaboration between clinical and pre-clinical faculty. The intent of the award was to create teams of basic and applied neuroscientists whose research goals are to generate and test novel, integrative hypotheses. The award is expected to create preliminary data that will support more permanent funding through Federal agencies and/or non-profit entities. Recipients of this year's awards presented their data at the Evelyn F. McKnight Brain Institute Scientific Updates seminar which was held on December 6, 2018.
  - "Exercise related effects on memory function and neural circuitry a parallel clinical and preclinical investigation"

    Land P. Allanderfor Ph. D. Assistant Professor Department of Neural and
    - Jane B. Allendorfer, Ph.D., Assistant Professor, Department of Neurology Farah Lubin, Ph.D., Associate Professor, Department of Neurobiology
  - "Cardiorespiratory fitness, cognition, neuroimaging, and aging in persons with secondary progressive multiple sclerosis"

    Prior Son droff, Ph.D. A sciented Professor, Department of Physical Thomas
  - Brian Sandroff, PhD, Assistant Professor, Department of Physical Therapy
  - "Status Update Effects of cardiovascular disease in a mouse model of HIV-associated neurological damage"
    - John Shacka, PhD, Assistant Professor, Department of Pharmacology & Toxicology
- Dr. Farah Lubin's research titled "Novel epigenetic control mechanism found for critical brain proteins in memory strengthening" was published in the Journal of Neuroscience. It addresses understanding how memories form and are retrieved, which has implications for neurological and

neurodegenerative disorders, and may be helpful to attenuate maladaptive memories in psychiatric disorders.



• Erik Roberson, M.D., Ph.D. continues in his role as Associate Director of the UAB MBI. Dr. Roberson is the Patsy W. and Charles A. Collat Endowed Professor of Neuroscience, Director of the Alzheimer's Disease Center and Co-Director, Center for Neurodegeneration and Experimental Therapeutics.

The Roberson lab studies the neurobiology of age-related cognitive changes, especially Alzheimer's disease and frontotemporal dementia (FTD), using mouse models to understand the cellular and molecular

mechanisms of these disorders and to identify new therapeutic strategies. Dr. Roberson is active in clinical research, patient care, leading clinical trials, and caring for patients with memory disorders and dementia. As a physician-scientist working at the interface between basic science animal model studies and human clinical research, Dr. Roberson helps focus the translational research of the UAB EMBI. He and colleagues from University of Arizona and University of Florida EMBIs published an important paper in *Trends in Neuroscience*. A predominant view of perirhinal cortex (PRC) and postrhinal/parahippocampal cortex (POR/PHC) function contends that these structures are tuned to represent objects and spatial information, respectively. However, known anatomical connectivity, together with recent electrophysiological, neuroimaging, and lesion data, indicate that both brain areas participate in spatial and nonspatial processing. Instead of content-based organization, the PRC and PHC/POR may participate in two computationally distinct cortical-hippocampal networks: one network that is tuned to process coarse information quickly, forming gist-like representations of scenes/environments, and a second network tuned to process information about the specific sensory details that are necessary for discrimination across sensory modalities. The available data suggest that the latter network may be more vulnerable in advanced age.

- With the NIH's new emphasis on rigor and reproducibility in research, Dr. Lloyd Edwards, Chairman of the Department of Biostatistics in the UAB School of Public Health, is forming the building blocks for a new biostatistics neuroscience program. The goal is to foster collaborations between neuroscientists and experts in contemporary methods of data analysis in animal and clinical models.
- Dr. Meador-Woodruff and his colleagues have long argued that given the early onset of memory impairment in patients with schizophrenia, that this illness may be a useful model of cognitive aging in non-psychiatric populations, and that insights learned from defining the pathophysiology of this illness may prove useful to identify novel targets for the treatment of age-related memory decline. During 2018, they added to their body of work examining neurochemical abnormalities in postmortem brain of elderly subjects that had suffered from schizophrenia while living, including discovering abnormalities of the post translational modification of protein glycosylation, as well as evidence for protein processing abnormalities in the endoplasmic reticulum and Golgi apparatus in the brain in schizophrenia.

- The Civitan International Neuroimaging Laboratory (CINL) located on the first floor of UAB Highlands Hospital houses a Siemens Prisma 3T whole body scanner for structural and functional brain and body imaging. It is operated as a University core facility, and is of great value to McKnight investigators. It provides a state-of-the-art imaging facility to study human brain function and its relationship to memory and aging. It serves these roles in the MBAR project.
- The CIRC Neurodevelopmental Bioinformatics Initiative has established the dedicated expertise and infrastructure necessary for the application of genomic/epigenomic techniques to studies related to neurodevelopmental disorders, cognitive impairment and aging. This support is now available for the UAB EMBI faculty, postdocs and students.
- Dr. Dudenbostel's group has identified a phenotype of young adults with premature
  hypertension and premature cardiovascular morbidity and mortality, including stroke, coronary
  artery disease, heart failure and kidney disease. Early vascular aging in these individuals has
  been identified as a main driver of premature cardiovascular disease. Dr. Lazar and his group
  are now exploring within this cohort whether this group, which is also suspected to have early
  cognitive decline, represents a model of premature cognitive aging.
- The Tenth Annual McKnight Brain Foundation Poster Reception was held in San Diego, CA on November 4, 2018. Guests from across the nation attended the event. Seventy-eight posters were presented by scientists from the four McKnight Brain Institutes for review by judges. Six posters were selected to receive cash awards and certificates for display. UAB's own Yuliya Voskobiynyk from the lab of Dr. Erik Robinson won second place honors. Ms. Voskobiynyk, seen here,



accepted the award from McKnight Brain Research Foundation Trustees, Dr. Robert Wah and Dr. Lee Dockery.

#### 1. Summary of Scientific Achievements since Last Report

Individual McKnight Investigators' scientific accomplishments are noted in a separate section. The next few paragraphs highlight a few of the principal discoveries from the Institute this year.

• For patients with asymptomatic high-grade carotid stenosis, clinical investigations have focused on preventing cerebral infarction, yet stenosis that reduces cerebral blood flow may independently impair cognition. Dr. Lazar and his team studied pre-revascularization cognitive function in the first 200 patients randomized in the Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial (CREST-2). Cognition at 49 nation-wide medical centers was assessed via a centralized, telephone-administered test battery. We found that these CREST-2 participants had significantly lower baseline cognitive scores than the general population, even in the absence of frank stroke.

#### 2. Publications in Peer Reviewed Journals

The publication rate from the UAB Evelyn F. McKnight Brain Institute was very successful with investigators publishing a total of 214 research papers, reviews, and commentaries in peer-reviewed journals.

## **3. Publications (Other)**

Successful research was documented in one book and three book chapters.

#### 4. Presentations at Scientific Meetings (Also Includes Invited Research Seminars)

Investigators presented their research at various institutions and also at national meetings. Over 170 presentations were given by key faculty representing the Evelyn F. McKnight Institute at the University of Alabama at Birmingham.

# 5. Presentations at Public (Non-Scientific) Meetings or Events

Community service continues with McKnight key representatives speaking at over 40 meetings.

#### 6. Awards and Honors

- Dr. Craig Powell, Department of Neurobiology Director; Civitan International Research Center; Virginia B. Spencer Endowed Chair
- Dr. Kristen Triebel, Fellow of the National Academy of Neuropsychology
- Dr. Tanja Dudenbostel, Member of European Society of Hypertension, Elected Member Southern American Federation of Medical Research/Society of Clinical Investigation American Heart Association, Blogger and Social Media News Team Fellow (FAHA), American Heart Association Ramon F. Dacheux Promising Scientist Award
- Dr. Sumanth Prabhu, Scientific Committee, Sarnoff Cardiovascular Research Foundation, 2018-2021

#### 7. Faculty

For faculty bios, see Appendix D.

#### 8. Trainees

Training the future generation of researchers continues to be a priority as indicated with number of students receiving ongoing guidance from the faculty.

#### A. Post-doctoral, residents,

63

## B. Pre-doctoral students,

74

# C. Other students,

61



# A. New Programs

Dr. Craig Powell is laying the groundwork to bring autism and neurodevelopmental clinical entities together with central triage intake and increased access.

Dr. David Geldmacher is conducting qualitative analyses on the effects of telemedicine caregiver coaching in people with behavioral and psychiatric symptoms of dementia and differences between caregiver needs related to behavioral symptoms in Alzheimer's disease vs. Traumatic Brain Injury survivors.

Additional new programs are noted in the Chair Report below.

## **B.** Update on Existing Clinical Studies

Dr. Erik Roberson continues his work in the Alzheimer's Disease Center with enrollment underway and seeking ~50% African-American patients.

Dr. Wadley continues her work with the Center for Translational Research on Aging and Mobility and also her work with CARDIA, which is a multisite study in which cognitive testing and brain MRIs were measured.

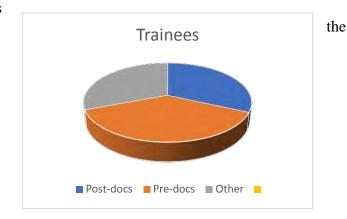
Additional clinical studies are noted in the Chair Report.

## 10. Technology transfer

## A. Patent Applications

Bolding, Mark

MRI-DETECTABLE MULTILAYER MICROCAPSULES FOR ULTRASOUND-TRIGGERED DELIVERY OF PHARMACOLOGICALLY ACTIVE AGENTS



With Eugenia Kharlampieva in Chemistry and Jason Warram in Otolaryngology

# **B.** Revenue Generated from Technology

Not applicable

#### 11. Budget Update

A full financial report is included in the Finance Section.

#### 12. Educational Programs Focusing on Age-Related Memory Loss

#### A. Scientific

Scientific Updates seminar was held on December 6, 2018, allowing recipients of the UAB McKnight pilot grants to share their findings. – Appendix B

#### B. Public

Throughout the year, faculty members represented the Evelyn F. McKnight Brain Institute by participating in speaking engagements to various civic groups at Neuroscience Café events and Civitan Club meetings.

# 13. Collaborative Programs with other McKnight Institutes, Institutions and Research Programs

- In addition to the Collaborative Programs mentioned in the Chair Report below, collaborative work continues on the McKnight Brain Aging Registry. This is a collaborative project in many ways, but UAB has had a very strong role in all aspects of the project. Sara Sims been instrumental in setting up and maintaining both the RedCap and Supercomputer (Hipergator) databases.
- As a result of a discussion during the Tenth Annual McKnight Institutional Meeting, Dr. Lazar and Drs. Lee Ryan and Meredith Hay from the **Univ of Arizona** EMBI submitted an NIH grant application to the National Institute of Aging, entitled "Safety and Efficacy of Angiotensin -(1-7) on Cognitive Impairment in Heart Failure Patients At-Risk for Alzheimer's Disease."

#### 14. Collaborative Programs with Non McKnight Institutes, Institutions and Research Programs

Investigators have identified inter and intra institutional collaborations locally, nationally, and internally. Additional programs are noted in the Chair Report Below.

#### 15. Briefly describe plans for future research and/or clinical initiatives.

See Chair Report Below.

16. If applicable, please provide endowment investments results for the report period.

See Finance report.

17. Were any funds used for a Prohibited Purpose during the report period?

No

18. Do you recommend any modification to the Purpose or mandates in the Gift Agreement?

No

19. Did all activities during the report period further the Purpose?

Yes

20. Please describe any negative events (loss of personnel, space, budget, etc.) that occurred during the report period and the possible impact on carrying out the Gift Agreement.

No negative events to report.

- 21. Please provide any general comments or thoughts not covered elsewhere a response is not required. Please respond only if you would like to add something not covered elsewhere.
- 22. What do you consider your most important scientific achievement this year?

The McKnight Brain Aging Registry continues to grow. The principal investigators, along with co-investigators and study coordinators involved in both the MBAR Neuroimaging and Cognitive Cores have worked hard to advance the project and considerable progress has been made over the current reporting period. To date, 88 participants who have been recruited across the four MBI sites and have been fully enrolled in the registry, approaching 50% of our targeted enrollment. It is anticipated that recruitment and assessments will be completed by the end of the next fiscal year with enrollment of the originally planned cohort of 200 MBAR oldest old participants.

# 23. Signature, date, and title of person submitting report

Ronald M. Lazar, PhD, FAHA, FAAN

Professor of Neurology

Evelyn F. McKnight Endowed Chair for Learning and Memory in Aging

Date: \_1/15/2019

Date: \_1/15/2019

Director, UAB Evelyn F. McKnight Brain Institute

Director, Division of Neuropsychology (Neurology)

Department of Neurology

Erik D. Roberson, MD, PhD

person, MD, PhD

Associate Professor of Neurology and Neurobiology Patsy W. and Charles A. Collat Professor of Neuroscience

Director, Alzheimer's Disease Center

Associate Director, UAB Evelyn F. McKnight Brain Institute

Co-Director, Center for Neurodegeneration and Experimental Therapeutics

# **FINANCE**

# Financial Summary Format:

# (Institute) and/or (Endowed Chair)

# All Endowments benefitting the Evelyn F. McKnight Brain Institute

| Summa | ry for 12 months ended <u>09/30/2018</u> |                  |
|-------|--|------------------|
| A.    | Beginning Balance on 10/1/2017           | \$<br>13,513,214 |
| B.    | Investment Growth                        | \$<br>841,747    |
| C.    | Distributions                            | \$<br>(592,488)  |
| D.    | Additional Contribution                  | \$<br><u>0</u>   |
| E.    | Ending Balance on <u>09/30/2018</u>      | \$<br>13,762,473 |

## **DEFINITIONS**

*DISTRIBUTION* is the money transferred from the account to the spendable/operating account for the designated use.

*BALANCE* is the market value of the account as of the first or last day of the reporting year.

ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

Financial Summary Format:

# (Institute) and/or (Endowed Chair)

Account Name: Evelyn F. McKnight Brain Institute Endowed Support Fund

| Summar | ry for 12 months ended $09/30/2018$ |                 |
|--------|-------------------------------------|-----------------|
| B.     | Beginning Balance on 10/1/2017      | \$<br>5,356,641 |
| B.     | Investment Growth                   | \$<br>333,467   |
| C.     | Distributions                       | \$<br>(245,636) |
| D.     | Additional Contribution             | \$<br><u>0</u>  |
| E.     | Ending Balance on 09/30/2018        | \$<br>5,444,472 |

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ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

Financial Summary Format:

(Institute) and/or (Endowed Chair)

Account Name: Evelyn F. McKnight Endowed Chair for Learning and Memory in Aging

| Summa | ry for 12 months ended <u>09/30/2018</u> |                        |
|-------|--|------------------------|
| C.    | Beginning Balance on 10/1/2017           | \$<br><u>1,521,896</u> |
| B.    | Investment Growth                        | \$<br>94,743           |
| C.    | Distributions                            | \$<br>(69,789)         |
| D.    | Additional Contribution                  | \$<br><u>0</u>         |
| E.    | Ending Balance on <u>09/30/2018</u>      | \$<br><u>1,546,850</u> |

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ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

# Financial Summary Format:

## (Institute) and/or (Endowed Chair)

Account Name: Geropsychiatry Research Chair

Summary for 12 months ended 09/30/2018

| D. | Beginning Balance on 10/1/2017      | \$<br>1,983,237        |
|----|-------------------------------------|------------------------|
| B. | Investment Growth                   | \$<br>123,463          |
| C. | Distributions                       | \$<br>(90,944)         |
| D. | Additional Contribution             | \$<br><u>0</u>         |
| E. | Ending Balance on <u>09/30/2018</u> | \$<br><u>2,015,756</u> |

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ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

Financial Summary Format:

(Institute) and/or (Endowed Chair)

Account Name: F. Cleveland Kinney Endowed Chair in Geriatric Psychiatry

| Summar | ry for 12 months ended $09/30/2018$ |                 |
|--------|-------------------------------------|-----------------|
| E.     | Beginning Balance on 10/1/2017      | \$<br>1,431,972 |
| B.     | Investment Growth                   | \$<br>89,294    |
| C.     | Distributions                       | \$<br>(38,128)* |
| D.     | Additional Contribution             | \$<br><u>0</u>  |
| E.     | Ending Balance on 09/30/2018        | \$<br>1,483,138 |

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*BALANCE* is the market value of the account as of the first or last day of the reporting year.

ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

<sup>\*</sup>When market value is less than principal, a portion of the endowment's earnings will be reinvested, thereby purchasing additional units of the pooled endowment fund and assisting the fund recover to a healthy position. Endowment performance is evaluated on a quarterly basis

Financial Summary Format:

(Institute) and/or (Endowed Chair)

Account Name: Warren Family Endowed Chair in Neurology

| Summar | ry for 12 months ended $09/30/2018$ |                        |
|--------|-------------------------------------|------------------------|
| F.     | Beginning Balance on 10/01/2017     | \$<br><u>1,614,424</u> |
| B.     | Investment Growth                   | \$<br>100,504          |
| C.     | Distributions                       | \$<br>(74,032)         |
| D.     | Additional Contribution             | \$<br><u>0</u>         |
| E.     | Ending Balance on 09/30/2018        | \$<br>1,640,896        |

#### **DEFINITIONS**

*DISTRIBUTION* is the money transferred from the account to the spendable/operating account for the designated use.

*BALANCE* is the market value of the account as of the first or last day of the reporting year.

ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

Financial Summary Format:

## (Institute) and/or (Endowed Chair)

Account Name: Patsy W. and Charles A. Collat Endowed Professorship in Neuroscience

| Summar | y for 12 months ended <u>09/30/2018</u> |                      |  |
|--------|---|----------------------|--|
| G.     | Beginning Balance on 10/1/2017          | \$<br><u>513,146</u> |  |
| B.     | Investment Growth                       | \$<br>31,945         |  |
| C.     | Distributions                           | \$<br>(23,531)       |  |
| D.     | Additional Contribution                 | \$<br><u>0</u>       |  |
| E.     | Ending Balance on <u>09/30/2018</u>     | \$<br><u>521,560</u> |  |

## **DEFINITIONS**

*DISTRIBUTION* is the money transferred from the account to the spendable/operating account for the designated use.

*BALANCE* is the market value of the account as of the first or last day of the reporting year.

ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

Financial Summary Format:

# (Institute) and/or (Endowed Chair)

Account Name: <u>Jarman F. Lowder Endowed Professorship in Neuroscience</u>

| Summa | ry for 12 months ended $09/30/2018$ |                      |
|-------|-------------------------------------|----------------------|
| H.    | Beginning Balance on 10/1/2017      | \$<br><u>564,461</u> |
| B.    | Investment Growth                   | \$<br>35,139         |
| C.    | Distributions                       | \$<br>(25,884)       |
| D.    | Additional Contribution             | \$<br><u>0</u>       |
| E.    | Ending Balance on <u>09/30/2018</u> | \$<br><u>573,716</u> |

## **DEFINITIONS**

*DISTRIBUTION* is the money transferred from the account to the spendable/operating account for the designated use.

*BALANCE* is the market value of the account as of the first or last day of the reporting year.

ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

Financial Summary Format:

(Institute) and/or (Endowed Chair)

Account Name: Virginia B. Spencer Endowed Professorship in Neuroscience

| Summa | ry for 12 months ended $09/30/2018$ |                      |
|-------|-------------------------------------|----------------------|
| I.    | Beginning Balance on 10/1/2017      | \$<br>527,437        |
| B.    | Investment Growth                   | \$<br>33,192         |
| C.    | Distributions                       | \$<br>(24,544)       |
| D.    | Additional Contribution             | \$<br><u>0</u>       |
| E.    | Ending Balance on <u>09/30/2018</u> | \$<br><u>536,085</u> |

#### **DEFINITIONS**

*DISTRIBUTION* is the money transferred from the account to the spendable/operating account for the designated use.

*BALANCE* is the market value of the account as of the first or last day of the reporting year.

ADDITIONAL CONTRIBUTION is additional contribution by MBRF, the reporting institution, match etc.

*INVESTMENT GROWTH* (Loss) is the total undistributed interest, dividends, and realized and unrealized gains and losses.

# MCKNIGHT BRAIN INSTITUTE AT UAB 2018 ANNUAL REPORT FINANCIAL SUPPLEMENT

In compliance with Section 6.3 of the gift agreement between the Evelyn F. McKnight Brain Research Foundation (MBRF) and UAB, this income and distributions report is provided as a supplement to the annual report on the McKnight Brain Institute (MBI) at UAB.

In compliance with Sections 9.2.1.2 and 10.3 of said gift agreement, UAB ensures that the contributions from the MBRF and the distributions from the endowed chair have been used solely for the purpose of promoting research and investigation of the brain in the fundamental mechanisms that underlie the neurobiology of memory with a clinical relevance to the problems of age-related memory loss.

In compliance with Sections 7, and 9.1.5.3, of said gift agreement, UAB ensures that no portion of the contributions received from the MBRF or distributions from the endowed chair were used directly or indirectly to construct, purchase, improve, or maintain real property; to pay overhead or indirect costs; or for anything other than direct expenditures in furtherance of the purpose of the fund.

| Fisca  | Item   | MBRF<br>Funds<br>Expende<br>d | Matching<br>Funds<br>Expende<br>d | MBRF Chair,<br>Gift and<br>Endowment<br>Distributions | Matching<br>Endowment<br>Distribution<br>s |
|--------|--|-------------------------------|-----------------------------------|---|--|
| 2018   | MBRF New Agreement                                   |                               |                                   |   |  |
|        | R. Lazar Salary                                      | \$70,663                      |                                   |   |  |
|        | V. Hixon Salary                                      | \$31,836                      |                                   |   |  |
|        | T. Myers Salary                                      | \$5,446                       |                                   |   |  |
|        | R. Lazar Cell Phone                                  | \$1,811                       |                                   |   |  |
|        | Travel Expenses                                      | \$3,422                       |                                   |   |  |
|        | Business Meals & Refreshments                        | \$661                         |                                   |   |  |
|        | Supplies & Shipping                                  | \$245                         |                                   |   |  |
|        | 2018 Postdoc Research Day Support                    | \$250                         |                                   |   |  |
|        | Behavior Core Salaries                               | \$10,236                      |                                   |   |  |
|        | Behavior Core Supplies & Services                    | \$3,176                       |                                   |   |  |
|        | Physiology Core Salaries                             | \$48,890                      | \$23,124                          |   |  |
|        | Physiology Core Supplies & Services                  | \$2,771                       | \$1,500                           |   |  |
|        | FY18 Pilot Project Salaries                          | \$6,758                       |                                   |   |  |
|        | F. Cleveland Kinney Endowed Chair                    |                               |                                   |   | \$38,128                                   |
|        | Geropsychiatry Research Chair                        |                               |                                   |   | \$90,944                                   |
|        | Warren Family Endowed Chair                          |                               |                                   |   | \$74,032                                   |
|        | Jarman F. Lowder Endowed Professorship               |                               |                                   |   | \$25,884                                   |
|        | Virginia B. Spencer Endowed Professorship            |                               |                                   |   | \$24,545                                   |
|        | Patsy W. and Charles A. Collat Endowed Professorship |                               |                                   |   | \$23,531                                   |
|        | MBRF Chair Spendable Earnings                        |                               |                                   | \$69,789  |  |
|        | MBRF Institute Spendable Earnings                    |                               |                                   | \$245,636   |  |
| FY 201 | 8 TOTAL  | \$186,164                     | \$24,624                          | \$315,425   | \$277,064                                  |

Evelyn F. McKnight Brain Institute FY2019 Budget

| Category                             | cKnight<br>nstitute | M  | lcKnight<br>Chair | cKnight<br>ehavior<br>Core | IcKnight<br>nysiology<br>Core* | Er<br>S | Matching<br>ndowment<br>pendable<br>Earnings | Totals        |
|--------------------------------------|---------------------|----|-------------------|----------------------------|--------------------------------|---------|--|---------------|
| Salary and<br>Benefits               | \$<br>38,000        | \$ | 70,000            | \$<br>17,000               | \$<br>69,500                   |         |  | \$<br>194,500 |
| Dr. Lazar Phone                      |                     | \$ | 1,800             |                            |                                |         |  | \$<br>1,800   |
| Travel & Meetings                    | \$<br>5,000         |    |                   |                            |                                |         |  | \$<br>5,000   |
| Supplies & Non-<br>Capital Equipment |                     |    |                   | \$<br>16,000               | \$<br>10,500                   |         |  | \$<br>26,500  |
| Laundry Services                     |                     |    |                   | \$<br>2,000                |                                |         |  | \$<br>2,000   |
| Pilot Projects                       | \$<br>70,000        |    |                   |                            |                                |         |  | \$<br>70,000  |
| F. Cleveland<br>Kinney Chair         |                     |    |                   |                            |                                | \$      | 38,128                                       | \$<br>38,128  |
| Geropsychiatry<br>Research Chair     |                     |    |                   |                            |                                | \$      | 90,944                                       | \$<br>90,944  |
| Warren Chair                         |                     |    |                   |                            |                                | \$      | 74,032                                       | \$<br>74,032  |
| Lowder<br>Professorship              |                     |    |                   |                            |                                | \$      | 25,884                                       | \$<br>25,884  |
| Collat<br>Professorship              |                     |    |                   |                            |                                | \$      | 23,531                                       | \$<br>23,531  |
| Spencer<br>Professorship             |                     |    |                   |                            |                                | \$      | 24,545                                       | \$<br>24,545  |
| TOTAL                                | \$<br>113,000       | \$ | 71,800            | \$<br>35,000               | \$<br>80,000                   | \$      | 277,064                                      | \$<br>576,864 |

# Financial Schedule for the Evelyn F. McKnight Brain Institute at UAB

# MBRF CONTRIBUTION

# **UAB MATCH**

| Fisca<br>I Year | Endowment    | Operations   | Endowment    | Operations   | Endowment Distribution |  |  |
|-----------------|--------------|--------------|--------------|--------------|------------------------|--|--|
| FY 2010         | \$ 1,000,000 | \$ 500,000   | \$ 2,722,946 | \$ 2,170,000 | \$ 316,041             |  |  |
| FY2011          | \$ 1,000,000 | \$ 500,000   | \$ -         | \$ 365,000   | \$ 341,804             |  |  |
| FY 2012         | \$ 1,000,000 |              | \$ 500,000   | \$ 100,000   | \$ 480,918             |  |  |
| FY 2013         | \$ 1,000,000 |              | \$ 2,005,519 | \$ 68,062    | \$ 581,128             |  |  |
| FY 2014         | \$ 1,000,000 |              |              | \$ 1,029,500 | \$ 646,699             |  |  |
| FY 2015         |              |              |              | \$ 3,301,000 | \$ 661,299             |  |  |
| FY 2016         |              |              |              | \$ 1,000,000 | \$ 671,688             |  |  |
| Total           | \$ 5,000,000 | \$ 1,000,000 | \$ 5,228,465 | \$ 8,033,562 | \$ 3,699,577           |  |  |

# INVESTMENT REPORT

# **Investment Report**

# Evelyn F. McKnight Brain Institute Extramural Funding Summary

The Evelyn F. McKnight Brain Institute currently has active extramural funding of \$23,400,464 in direct costs and \$29,817,419 in total costs broken down as follows:

| Federal                | 18,061,584 |
|------------------------|------------|
| Foundations            | 391,976    |
| Industry               | 2,488,537  |
| Institutions           | 983,121    |
| NP Agency/Associations | 475,246    |
| State Contracts        | 1,000,000  |
| Total Direct           | 23,400,464 |

A detailed report of grant awards is attached.

# **Evelyn F. McKnight Brain Institute Active Extramural Funding**

| PI Name              | Project Title  | Primary<br>Sponsor  | Sponso<br>r Type                    | Sponso<br>r Award<br>Number | Awarde<br>d Start<br>Date | Awarded<br>End<br>Date | Currently<br>Active<br>Total<br>Direct<br>Dollars | Currently<br>Active<br>Total<br>Indirect<br>Dollars | Currently<br>Active<br>Total<br>Dollars | Total<br>Direct<br>Dollars<br>Awarded<br>to Date | Total<br>Indirect<br>Dollars<br>Awarded<br>to Date | Total<br>Dollars<br>Awarded<br>to Date |
|----------------------|--|---|-------------------------------------|-----------------------------|---------------------------|------------------------|---|---|---|--|--|--|
| Amara, Amy<br>Willis | Systemic Synuclein Sampling<br>Study (S4)  | Fox (Michael J.)<br>Foundation for<br>Parkinson's<br>Research | Foundati<br>ons<br>Philanthr<br>opy |                             | 11/17/15                  | 12/31/18               | 1,500   | 375   | 1,875                                   | 161,200  | 40,300   | 201,500                                |
| Amara, Amy<br>Willis | A Phase 2, Double-Blind, Randomized, Placebo- Controlled Study of Nelotanserin versus Placebo in Patients with Dementia with Lewy Bodies (DLB) Experiencing REM Sleep Behaviors (RBD)  | AXOVANT<br>SCIENCES,<br>INC NEW                               | Industry                            |                             | 10/18/16                  | 10/17/202<br>0         | 27,242  | 8,173   | 35,415                                  | 81,728   | 24,519   | 106,247                                |
| Amara, Amy<br>Willis | Impact of a Novel Exercise Intervention on Executive Function and Sleep in Patients with Parkinson's Disease   | Parkinson's<br>Disease<br>Foundation                          | Foundati<br>ons<br>Philanthr<br>opy | PDF-<br>TRG-<br>1720        | 3/1/17                    | 06/30/201<br>8         | -   | 1   | 1                                       | 90,909   | 9,091  | 100,000                                |
| Amara, Amy<br>Willis | Clinician-input Study: How the<br>Fox Wearable Companion<br>Mobile Application can<br>Influence Treatment and Care<br>(CIS-PD)   | Fox (Michael J.)<br>Foundation for<br>Parkinson's<br>Research | Foundati<br>ons<br>Philanthr<br>opy | 12763                       | 11/1/17                   | 10/31/201<br>8         | -   | -   | -                                       | 46,640   | 11,660   | 58,300                                 |
| Amara, Amy<br>Willis | An Open Label Study of<br>Nelotanserin in Patients with<br>Lewy Body Dementia who have<br>frequent visual Hallucinations<br>or REM Sleep Behaviors   | AXOVANT<br>SCIENCES,<br>INC NEW                               | Industry                            |                             | 12/18/17                  | 12/17/202<br>1         | 33,158  | 9,947   | 43,105                                  | 66,319   | 19,897   | 86,216                                 |
| Amara, Amy<br>Willis | A 4-Week, Double-blind, Placebo-Controlled, Randomized, Multicenter, Crossover Study of the Safety, Efficacy, and Pharmacokinetics of JZP-110 [(R)-2-Amino-3- Phenylpropylcarbamate Hydrochloride] in Subjects with Parkinson's Disease and Excessive Sleepiness | JAZZ<br>PHARMACEUTI<br>CALS, INC.                             | Industry                            |                             | 3/21/18                   | 3/20/22                | 33,758  | 10,129  | 43,887                                  | 33,758   | 10,129   | 43,887                                 |
| Amara, Amy<br>Willis | Development of T Cell-Based<br>Biomarkers for Autoimmunity in<br>Parkinson's Disease   | LA JOLLA INSTITUTE FOR ALLERGY AND IMMUNOLOGY                 | NP<br>Agency/<br>Assoc              | 11117-<br>37-381            | 2/14/18                   | 2/13/19                | 22,059  | 7,941   | 30,000                                  | 22,059   | 7,941  | 30,000                                 |
| Amara, Amy<br>Willis | Effect of LY3154207 on<br>Cognition in Mild to Moderate<br>Parkinson's Disease Dementia<br>(PDD) (The Presence Study)  | LILLY USA, LLC  | Industry                            |                             | 7/19/18                   | 07/18/202<br>2         | 35,243  | 10,576  | 45,819                                  | 35,243   | 10,576   | 45,819                                 |

| Austad,<br>Steven N | Comparative Energetics and<br>Aging - Administration and<br>Program Enhancement Core A   | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>50886  | 7/15/15        | 6/30/20  | 83,549  | 39,268 | 122,817 | 334,197   | 157,073 | 491,270   |
|---------------------|--|---|-------------------------------------|------------------|----------------|----------|---------|--------|---------|-----------|---------|-----------|
| Austad,<br>Steven N | Comparative Energetics and<br>Aging - Sex Hormones and<br>Arthritis in a Long Lived Animal<br>Model                                  | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>50886  | 7/15/15        | 6/30/20  | 46,000  | 13,823 | 59,823  | 93,500    | 28,373  | 121,873   |
| Austad,<br>Steven N | Comparative Energetics and<br>Aging - Comparative Data<br>Analytics Core E   | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>50886  | 7/15/15        | 6/30/20  | 57,471  | 27,011 | 84,482  | 57,471    | 27,011  | 84,482    |
| Austad,<br>Steven N | Glenn Award for Research in<br>Biological Mechanisms of<br>Aging   | GLENN<br>FOUNDATION<br>FOR MEDICAL<br>RESEARCH -<br>NEW | Foundati<br>ons<br>Philanthr<br>opy |                  | 9/14/15        | 9/13/18  | -       | -      | -       | 60,000    | -       | 60,000    |
| Austad,<br>Steven N | The Metabolomic Consequences of Size and Age in the Domestic Dog: A New Model of Human Morbidity and Mortality                       | American<br>Federation for<br>Aging Research            | NP<br>Agency/<br>Assoc              |                  | 11/1/16        | 12/31/17 | -       | -      | -       | 51,000    | 1       | 51,000    |
| Austad,<br>Steven N | A Sex Difference Approach to<br>Evaluating Resilience as a<br>Predictor of Healthspan in Mice  | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | R01AG0<br>57434  | 10/1/17        | 5/31/22  | 205,000 | 99,425 | 304,425 | 410,000   | 198,850 | 608,850   |
| Ball, Karlene<br>K  | Center for Translational<br>Research on Aging and<br>Mobility - Core A: Management<br>and Administration Core                        | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>22838  | 9/30/14        | 5/31/19  | -       | -      | -       | 488,410   | 205,415 | 693,825   |
| Ball, Karlene<br>K  | Center for Translational<br>Research on Aging and<br>Mobility - Core B: Pilot Core   | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>22838  | 9/30/14        | 5/31/19  | 194,700 | 91,509 | 286,209 | 479,248   | 249,385 | 728,633   |
| Ball, Karlene<br>K  | Center for Translational<br>Research on Aging and<br>Mobility - Older Veterans<br>Empowered to use Regular<br>Exercise (OVERTURE) II | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>22838  | 9/30/14        | 5/31/19  | 86,771  | 42,084 | 128,855 | 86,771    | 42,084  | 128,855   |
| Ball, Karlene<br>K  | Examining the FMCSA Vision<br>Standard and Vision Waiver for<br>Commercial Motor Vehicle<br>Drivers                                  | VIRGINIA TECH<br>TRANSPORTAT<br>ION INSTITUTE           | Institutio<br>ns                    | 451430-<br>19979 | 9/19/16        | 9/18/18  | -       | -      | 1       | 226,384   | 200,754 | 427,138   |
| Ball, Karlene<br>K  | ACTIVE Cognitive Training<br>Trial: 20-Yr Follow-up of<br>Functioning, Health, &<br>Dementia   | UNIVERSITY<br>OF<br>WASHINGTON                          | Institutio<br>ns                    | 10148            | 9/15/17        | 5/31/21  | 70,002  | 32,901 | 102,903 | 139,024   | 65,341  | 204,365   |
| Ball, Karlene<br>K  | Deep South Resource Center<br>in Minority Aging Research<br>(RCMAR) Research<br>Education Core (REC)                                 | National Institute<br>on<br>Aging/NIH/DHH<br>S          | Federal                             | P30AG0<br>31054  | 09/15/20<br>18 | 6/30/23  | 157,242 | 12,579 | 169,821 | 157,242   | 12,579  | 169,821   |
| Benveniste,<br>Etty | Targeting the JAK/STAT-3<br>Pathway Signaling Axis in<br>Glioma  | National Cancer<br>Institute/NIH/DH<br>HS               | Federal                             | R01CA1<br>58534  | 3/1/12         | 2/28/18  | -       | -      | -       | 1,018,825 | 473,756 | 1,492,581 |

| Benveniste,<br>Etty             | Training Program in Brain<br>Tumor Biology  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | T32NS04<br>8039 | 7/1/13  | 6/30/19  | -       | -       | -       | 871,277   | 61,487  | 932,764   |
|---------------------------------|---|---|-------------------------------------|-----------------|---------|----------|---------|---------|---------|-----------|---------|-----------|
| Benveniste,<br>Etty             | Therapeutic Intervention of the JAK/STAT Pathway for Neuroinflammation  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R01NS0<br>57563 | 9/15/13 | 8/31/19  | -       | -       | -       | 1,091,562 | 512,944 | 1,604,506 |
| Benveniste,<br>Etty             | HSF-GEF Research<br>Acceleration Award -<br>Benveniste  | UNIVERSITY OF ALABAMA HEALTH SERVICES FOUNDATION                              | Foundati<br>ons<br>Philanthr<br>opy |                 | 9/1/14  | 8/31/22  | -       | -       | -       | 500,000   | -       | 500,000   |
| Benveniste,<br>Etty             | The Role of CK2 in<br>Glioblastoma Development  | National Cancer<br>Institute/NIH/DH<br>HS                                     | Federal                             | R01CA1<br>94414 | 12/1/15 | 11/30/20 | 221,887 | 104,287 | 326,174 | 925,970   | 423,541 | 1,349,511 |
| Benveniste,<br>Etty             | In Vivo Models-Evaluation of<br>JAK1-Selective Tool<br>Compounds  | Pfizer Inc., U.S.<br>Pharmaceuticals<br>Group                                 | Industry                            |                 | 4/12/17 | 4/30/18  | -       | -       | -       | -         | -       | -         |
| Benveniste,<br>Etty             | Innate and Adaptive Immunity<br>in Parkinson Disease - Project<br>2: Validating the JAK/STAT<br>Pathway as a Novel<br>Therapeutic Strategy in PD  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | P50NS1<br>08675 | 9/30/18 | 7/31/23  | 257,838 | 125,051 | 382,889 | 257,838   | 125,051 | 382,889   |
| Bolding, Mark<br>S              | Clemson Collaboration<br>Agreement  | Clemson<br>University   | Institutio<br>ns                    |                 | 5/11/16 | 5/10/19  | -       | -       | -       | -         | -       | -         |
| Bolding, Mark<br>S              | Pulse Sequence and Image<br>Reconstruction Software<br>Exchange Agreement for<br>Sequences from The Regents<br>of the University of California,<br>on behalf of its Los Angeles<br>campus | Siemens   | Industry                            |                 | 3/9/18  | 3/8/23   | -       | -       | -       | -         | -       | -         |
| Bradley,<br>Virginia<br>Grissom | Processing Speed Training to<br>Preserve Driving and<br>Functional Competencies in<br>MCI   | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                             | R01AG0<br>45154 | 8/15/14 | 4/30/19  | 277,507 | 101,709 | 379,216 | 1,767,136 | 659,456 | 2,426,592 |
| Buford,<br>Thomas               | ACE2 as a Novel Therapeutic<br>to Preserve Physical Function<br>in Late Life  | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                             | R01AG0<br>54538 | 8/1/17  | 5/31/22  | 205,000 | 99,425  | 304,425 | 410,000   | 198,850 | 608,850   |
| Buford,<br>Thomas               | Resveratrol and Exercise to<br>Treat Functional Limitations in<br>Late Life   | National Institute<br>on<br>Aging/NIH/DHH                                     | Federal                             | R21AG0<br>49974 | 2/15/18 | 3/31/19  | 15,152  | 7,349   | 22,501  | 139,618   | 67,714  | 207,332   |
| Buford,<br>Thomas               | ACES-ACE Inhibitors<br>Combined with Exercise for<br>Hypertensive Seniors   | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                             | R01AG0<br>56769 | 9/15/17 | 5/31/22  | 481,332 | 115,358 | 596,690 | 931,991   | 231,942 | 1,163,933 |

| Buford,<br>Thomas     | MtDNA Variant Modifiers Of<br>Cardiopulmonary<br>Responsiveness To Physical<br>Activity                               | University of Florida                                  | Institutio<br>ns       | UFDSP0<br>0011843         | 7/1/17   | 12/31/17 | -       | -       | -       | 2,936     | 1,424   | 4,360     |
|-----------------------|---|--|------------------------|---------------------------|----------|----------|---------|---------|---------|-----------|---------|-----------|
| Buford,<br>Thomas     | Wearable Technology to<br>Reduce Sedentary Behavior<br>and CVD Risk in Older Adults                                   | American Heart<br>Association                          | NP<br>Agency/<br>Assoc | 16IRG27<br>250237         | 7/1/17   | 12/31/18 | -       | -       | -       | 64,511    | 6,092   | 70,603    |
| Day, Jeremy<br>J.     | Epigenetic Regulation of<br>Cocaine-Induced<br>Neuroadaptions   | National Institute<br>on Drug<br>Abuse/NIH/DHH<br>S    | Federal                | R00DA0<br>34681           | 7/15/13  | 6/30/19  | -       | -       | -       | 605,371   | 244,962 | 850,333   |
| Day, Jeremy<br>J.     | Epigenetic Control of Brain<br>Reward Systems   | National Institute<br>on Drug<br>Abuse/NIH/DHH<br>S    | Federal                | DP1DA0<br>39650           | 7/1/15   | 6/30/20  | 365,000 | 171,550 | 536,550 | 1,262,000 | 593,140 | 1,855,140 |
| Day, Jeremy<br>J.     | Behavioral Epigenetics of<br>Developmental Methylmercury<br>Exposure  | AUBURN<br>UNIVERSITY                                   | Institutio<br>ns       | 15-CLA-<br>201289-<br>UAB | 8/1/15   | 7/31/18  | -       |         |         | 91,614    | 43,058  | 134,672   |
| Day, Jeremy<br>J.     | Striatal Modulation of<br>Epigenetic DNA Demethylation<br>in Reward Learning  | National Institute<br>on Drug<br>Abuse/NIH/DHH<br>S    | Federal                | F32DA04<br>1778           | 7/1/16   | 6/30/19  | 63,034  | -       | 63,034  | 178,871   | -       | 178,871   |
| Day, Jeremy<br>J.     | Gene-Specific Epigenetic<br>Modifications in Cocaine-<br>Induced Plasticity   | National Institute<br>on Drug<br>Abuse/NIH/DHH<br>S    | Federal                | F31DA04<br>2514           | 3/1/17   | 2/28/20  | 37,524  |         | 37,524  | 74,568    | -       | 74,568    |
| Day, Jeremy<br>J.     | CRISPR/dCas9-targeted Manipulation of BDNF Transcription in Synaptic Plasticity and Contextual Learning               | National Institute<br>of Mental<br>Health/NIH/DHH<br>S | Federal                | F32MH1<br>12304           | 9/15/16  | 1/2/20   | 61,174  | -       | 61,174  | 174,426   | -       | 174,426   |
| Day, Jeremy<br>J.     | Enhancer RNA Regulation of<br>Experience-dependent<br>Neuroepigenetic Processes                                       | National Institute<br>of Mental<br>Health/NIH/DHH<br>S | Federal                | R01MH1<br>14990           | 7/1/18   | 3/30/23  | 493,188 | 144,204 | 637,392 | 493,188   | 144,204 | 637,392   |
| Day, Jeremy<br>J.     | NBI_Thomas_Foster_UF_01   | University of Florida                                  | Institutio<br>ns       |                           | 11/28/18 | 11/27/19 | 1,260   | 189     | 1,449   | 1,260     | 189     | 1,449     |
| Dobrunz,<br>Lynn      | Interneuron Dysfunction Alters the Dynamics of the Inhibition-Excitation Balance                                      | National Institute<br>of Mental<br>Health/NIH/DHH<br>S | Federal                | R01MH0<br>98534           | 7/1/12   | 2/28/19  | -       | -       | -       | 1,240,000 | 576,600 | 1,816,600 |
| Dobrunz,<br>Lynn      | Effects of NPY on Hippocampal<br>Circuit Function   | National Institute<br>of Mental<br>Health/NIH/DHH<br>S | Federal                | R01MH1<br>08342           | 9/1/15   | 5/31/20  | 250,000 | 117,500 | 367,500 | 1,000,000 | 470,000 | 1,470,000 |
| Dudenbostel,<br>Tanja | Bioactive Compounds in<br>Watermelon Modulating<br>Oxidative Stress and<br>Inflammation in Elders: The<br>MOXIE Study | UNIVERSITY<br>OF ALABAMA<br>(TUSCALOOSA)               | Institutio<br>ns       | UA17-<br>001              | 1/1/16   | 12/31/17 | -       | -       | -       | 7,216     | -       | 7,216     |

| Gamlin, Paul<br>D    | Motor Unit Diversity in<br>Horizontal Eye Movement<br>Control   | National Eye<br>Institute/NIH/DH<br>HS            | Federal                | R01EY0<br>22290          | 8/1/12  | 7/31/18  | -       | -       | -       | 2,030,609 | 648,301 | 2,678,910 |
|----------------------|---|---|------------------------|--------------------------|---------|----------|---------|---------|---------|-----------|---------|-----------|
| Gamlin, Paul<br>D    | Developing Efficient AAV<br>Vectors for Photoreceptor<br>Targeting via the Vitreous   | University of Florida                             | Institutio<br>ns       | UFDSP0<br>0010866        | 6/1/15  | 5/31/20  | 12,555  | 5,901   | 18,456  | 304,986   | 145,214 | 450,200   |
| Gamlin, Paul<br>D    | Screening of Novel AAV<br>Library in Non-Human Primate  | APPLIED GENETIC TECHNOLOGIE S CORPORATION         | Industry               |                          | 7/1/14  | 9/30/17  | -       | -       | -       | 580,907   | 251,875 | 832,782   |
| Gamlin, Paul<br>D    | Research to Prevent Blindness<br>Disney Award for Amblyopia<br>Research   | Research to<br>Prevent<br>Blindness               | NP<br>Agency/<br>Assoc |                          | 6/13/14 | 6/12/19  | -       | -       | -       | 100,000   | -       | 100,000   |
| Gamlin, Paul<br>D    | Midbrain Circuitry for Neuronal<br>Control of Gaze  | UNIVERSITY<br>OF MISSISSIPPI<br>MEDICAL<br>CENTER | Institutio<br>ns       | 6666315<br>0316UA<br>B   | 4/1/15  | 3/31/20  | 171,675 | 80,687  | 252,362 | 687,826   | 323,277 | 1,011,103 |
| Gamlin, Paul<br>D    | UAB CSA: Melanopsin<br>Photosensitivity and<br>Psychopathology  | University of<br>Pittsburgh                       | Institutio<br>ns       | 0042833(<br>125330)      | 9/14/14 | 5/31/19  | -       | -       | 1       | 29,412    | 10,588  | 40,000    |
| Gamlin, Paul<br>D    | Intrinsically Photosensitive<br>Retinal Ganglion Cells and<br>their Central Projections   | National Eye<br>Institute/NIH/DH<br>HS            | Federal                | R01EY0<br>25555          | 12/1/15 | 11/30/20 | 626,852 | 104,790 | 731,642 | 2,535,305 | 449,663 | 2,984,968 |
| Gamlin, Paul<br>D    | UAB Vision Science Research<br>Center - Instrument Core   | National Eye<br>Institute/NIH/DH<br>HS            | Federal                | P30EY00<br>3039          | 9/1/16  | 7/31/21  | 139,193 | 65,844  | 205,037 | 408,353   | 192,349 | 600,702   |
| Gamlin, Paul<br>D    | Gene Editing using the<br>CRISPR/Cas9 System in<br>Primate Retina   | EDITAS  | Industry               |                          | 2/26/16 | 5/31/18  | -       | -       | -       | 330,345   | 144,756 | 475,101   |
| Gamlin, Paul<br>D    | RII Track-2 FEC Bridging<br>Cognitive Science and<br>Neuroscience Using Innovative<br>Imaging Technologies  | Medical<br>University of<br>South Carolina        | Institutio<br>ns       | MUSC16<br>-075-<br>8B357 | 8/1/16  | 7/31/19  | 257,040 | 111,409 | 368,449 | 822,796   | 307,191 | 1,129,987 |
| Gamlin, Paul<br>D    | Optimizing AAV Vectors for<br>Central Nervous System<br>Transduction  | University of Florida                             | Institutio<br>ns       | UFDSP0<br>0011993        | 8/1/17  | 5/31/22  | 102,214 | 49,574  | 151,788 | 139,498   | 67,657  | 207,155   |
| Gamlin, Paul<br>D    | Preclinical Toxicology and<br>Biodistribution Study of Adeno-<br>Associated Virus Mediated<br>Gene Therapy for Friedreich's<br>Ataxia in Non-Human Primates | University of Florida                             | Institutio<br>ns       | UFDSP0<br>0011855        | 7/1/17  | 1/15/19  | 67,894  | -       | 67,894  | 253,467   | -       | 253,467   |
| Gamlin, Paul<br>D    | Lacerta-Pfizer joint project to identify Optimum AAV Variants for CNS Gene Therapy  | LACERTA<br>THERAPEUTIC<br>S, INC.                 | Industry               |                          | 11/1/17 | 7/31/19  | -       | -       | -       | 271,341   | 131,600 | 402,941   |
| Geldmacher,<br>David | Consultant Agreement (for Services on DSMB Board)   | GlaxoSmithKline                                   | Industry               | 28921                    | 9/15/11 | 12/31/17 | -       | -       | -       | 76,336    | 23,664  | 100,000   |

| Geldmacher,<br>David | A Placebo-controlled, Double-<br>blind, Parallel-group, Bayesian<br>Adaptive Randomization<br>Design and Dose Regimen-<br>finding Study to Evaluate<br>Safety, Tolerability and Efficacy  | EISAI, INC.  | Industry |                            | 6/18/13 | 6/17/19 | -       | -      | -       | 894,994 | 232,697 | 1,127,691 |
|----------------------|---|--|----------|----------------------------|---------|---------|---------|--------|---------|---------|---------|-----------|
|                      | of BAN2401 in Subjects With<br>Early Alzheimer's Disease  |  |          |                            |         |         |         |        |         |         |         |           |
| Geldmacher,<br>David | An Open-Label Extension Study to Evaluate the Long- Term Safety and Tolerability of Lu AE58054 as Adjunctive Treatment to Acetylcholinesterase Inhibitors in Patients with Mild-Moderate Alzheimer's Disease  | H. LUNDBECK<br>A/S   | Industry |                            | 7/10/14 | 7/9/18  | -       | -      | -       | 126,107 | 32,788  | 158,895   |
| Geldmacher,<br>David | A Phase 3 Multicenter,<br>Randomized, Double-Blind,<br>Placebo-Controlled, Parallel-<br>Group Study to Evaluate the<br>Efficacy and Safety of<br>Aducanumab (BIIB037) in<br>Subjects with Early Alzheimer's<br>Disease                                  | BIOGEN, INC.   | Industry |                            | 1/25/16 | 1/24/20 | 248,693 | 64,659 | 313,352 | 730,480 | 189,924 | 920,404   |
| Geldmacher,<br>David | Improving Family Quality of Life<br>through training to reduce care-<br>resistant behaviors by people<br>with Alzheimer Dementia and<br>Traumatic Brain Injury  | DOD - ARMY<br>MEDICAL<br>RESEARCH<br>ACQUISITION<br>ACTIVITY | Federal  | W81X<br>W H-16-<br>1- 0527 | 9/1/16  | 8/31/19 | 179,472 | 84,352 | 263,824 | 499,969 | 234,986 | 734,955   |
| Geldmacher,<br>David | A Phase 2, Multicenter,<br>Randomized, Double-Blind,<br>Placebo-Controlled, Cross-<br>Over Study to Assess the<br>Safety, Tolerability and Efficacy<br>of AVP-786 for the Treatment<br>of Disinhibition in Patients with<br>Neurodegenerative Disorders | AVANIR<br>PHARMACEUTI<br>CALS, INC.                          | Industry |                            | 3/16/16 | 3/15/20 | 33,148  | 8,618  | 41,766  | 99,446  | 25,856  | 125,302   |
| Geldmacher,<br>David | A Multicenter, Open-Label,<br>Long-Term Treatment Study of<br>Intravenously Administered<br>BMS-986168 in Patients with<br>Progressive<br>Supranuclear Palsy Who<br>Participated in Study<br>CN002003   | BRISTOL<br>MYERS SQUIBB<br>PHARMACEUTI<br>CAL COMPANY        | Industry |                            | 4/5/16  | 4/4/20  | 65,980  | 17,154 | 83,134  | 197,940 | 51,465  | 249,405   |
| Geldmacher,<br>David | Pharmacogenetic Guidance to<br>Optimize Safety and Efficacy of<br>Psychotropic Drug Use in<br>Treatment of Behavioral and<br>Psychiatric Symptoms in<br>Dementia  | ASSUREX<br>HEALTH INC.                                       | Industry |                            | 5/23/16 | 5/22/18 | -       | -      | -       | -       | -       | -         |
| Geldmacher,<br>David | A Phase 2b/3 Randomized,<br>Double-blind, Placebo-<br>Controlled, Parallel Group,<br>Multicenter Study Investigation<br>the Efficacy and Safety of JNJ-<br>54861911 in Subjects who are   | JANSSEN<br>RESEARCH &<br>DEVELOPMENT<br>, LLC                | Industry |                            | 3/24/17 | 2/24/23 | 214,104 | 64,232 | 278,336 | 409,834 | 122,951 | 532,785   |

|                       | Asymptomatic at Risk for<br>Developing Alzheimer's<br>Dementia   |  |                  |                 |         |          |         |         |         |         |         |           |
|-----------------------|--|--|------------------|-----------------|---------|----------|---------|---------|---------|---------|---------|-----------|
| Geldmacher,<br>David  | Alzheimer's Disease<br>Neuroimaging Initiative 3<br>(ADNI3)  | University of<br>Southern<br>California  | Institutio<br>ns | 7963477<br>4    | 9/15/16 | 7/31/19  | -       | -       | 1       | 767,621 | 361,008 | 1,128,629 |
| Geldmacher,<br>David  | Tau PET Imaging for the USC<br>Alzheimer's Therapeutic<br>Research Institute (USC ATRI)<br>A4 Trial ("A4 TAU")   | University of<br>Southern<br>California  | Institutio<br>ns | CTAAMP<br>012   | 1/1/17  | 12/31/17 | -       | -       | -       | 114,545 | 11,455  | 126,000   |
| Geldmacher,<br>David  | A Randomized, Double-Blind,<br>Placebo-Controlled Multiple<br>Dose Study to Assess Efficacy,<br>Safety, Tolerability, and<br>Pharmacokinetics of ABBV-<br>8E12 in Progressive<br>Supranuclear Palsy                                    | ABBVIE INC   | Industry         |                 | 4/12/17 | 4/11/22  | 64,981  | 19,494  | 84,475  | 129,966 | 38,991  | 168,957   |
| Geldmacher,<br>David  | Randomized, Double-blind,<br>Parallel-Group, Placebo-<br>Controlled, Dose Ranging<br>Study of Piromelatine in<br>Patients with Mild Dementia<br>Due to Alzheimer's Disease   | NEURIM<br>PHARMACEUTI<br>CALS  | Industry         |                 | 5/4/17  | 5/3/21   | 29,184  | 8,755   | 37,939  | 58,368  | 17,510  | 75,878    |
| Geldmacher,<br>David  | An Extension Study of ABBV-<br>8E12 in Progressive<br>Supranuclear Palsy (PSP)   | ABBVIE INC   | Industry         |                 | 6/14/18 | 6/13/22  | 590,235 | 177,070 | 767,305 | 590,235 | 177,070 | 767,305   |
| Geldmacher,<br>David  | A Multicenter, Open-Label,<br>Long-Term Treatment Study of<br>Intravenously Administered<br>BIIB092 in Patients with<br>Progressive Supranuclear<br>Palsy Who Participated in<br>Study CN002003  | BIOGEN, INC.   | Industry         |                 | 6/4/18  | 4/4/20   | 176,747 | 53,024  | 229,771 | 176,747 | 53,024  | 229,771   |
| Geldmacher,<br>David  | Randomized, Double-Blind, Placebo-Controlled, Parallel- Group Study to Assess the Safety, Tolerability, and Efficacy of BIIB092 in Subjects with Mild Cognitive Impairment due to Alzheimer's Disease or with Mild Alzheimer's Disease | BIOGEN MA<br>INC   | Industry         |                 | 8/1/18  | 7/31/22  | 89,206  | 26,763  | 115,969 | 89,206  | 26,763  | 115,969   |
| Geldmacher,<br>David  | A Phase II, Multicenter,<br>Randomized, Double-Blind,<br>Placebo-Controlled, Parallel-<br>Group, Efficacy, and Safety<br>Study Of MTAU9937A in<br>Patients with Prodromal to Mild<br>Alzheimer's Disease                               | Genentech  | Industry         |                 | 8/17/18 | 8/16/22  | 193,849 | 58,154  | 252,003 | 193,849 | 58,154  | 252,003   |
| Gerstenecker,<br>Adam | Investigating the Impact of<br>Cognition on Capacity in<br>Multiple Sclerosis  | National Institute<br>of Child Health<br>and Human<br>Development/NI<br>H/DHHS | Federal          | K23HD0<br>91849 | 9/12/18 | 8/31/23  | 116,829 | 9,346   | 126,175 | 116,829 | 9,346   | 126,175   |

| Gerstenecker,<br>Kristen<br>Triebel | Decisional Capacity Evaluation in Metastatic Brain Cancer   | American<br>Cancer Society,<br>Inc.   | NP<br>Agency/<br>Assoc              | MRSG-<br>14-204-<br>01-<br>PCSM | 1/1/15   | 12/31/19 | 134,901 | 10,792  | 145,693 | 539,103 | 43,128  | 582,231   |
|-------------------------------------|---|---|-------------------------------------|---------------------------------|----------|----------|---------|---------|---------|---------|---------|-----------|
| Goldberg,<br>Matthew S              | LRRK2 Consortium<br>Memorandum of<br>Understanding  | Fox (Michael J.)<br>Foundation for<br>Parkinson's<br>Research                 | Foundati<br>ons<br>Philanthr<br>opy |                                 | 1/12/15  | 1/31/20  | -       | -       | -       | -       | -       | -         |
| Goldberg,<br>Matthew S              | Analysis of Parkin Activity in<br>W403A Parkin Knockin Mice   | Fox (Michael J.) Foundation for Parkinson's Research                          | Foundati<br>ons<br>Philanthr<br>opy | 11947                           | 10/18/16 | 7/31/18  | -       | -       | -       | 161,469 | 40,367  | 201,836   |
| Goldberg,<br>Matthew S              | Advancing PINK1 KO Rat<br>Animal Models of PD   | Fox (Michael J.) Foundation for Parkinson's Research                          | Foundati<br>ons<br>Philanthr<br>opy | 11380.01                        | 10/1/17  | 4/1/19   | 106,667 | 26,667  | 133,334 | 160,000 | 40,000  | 200,000   |
| Goldberg,<br>Matthew S              | Role of Alpha-synuclein in<br>PINK1-linked Parkinson's<br>Disease   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | F99NS10<br>8458                 | 7/1/18   | 6/30/20  | 36,532  | -       | 36,532  | 36,532  | -       | 36,532    |
| Goldberg,<br>Matthew S              | Characterization of The In Vivo<br>Effects of A-Synuclein<br>Preformed Fibrils on Mouse<br>Brain Mitochondria | Fox (Michael J.) Foundation for Parkinson's Research                          | Foundati<br>ons<br>Philanthr<br>opy | 15984                           | 6/6/18   | 12/6/19  | 128,145 | 32,036  | 160,181 | 192,217 | 48,054  | 240,271   |
| Gray,<br>Michelle                   | Exploring the Contribution of Astrocytes to Huntington Disease  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R01NS0<br>89750                 | 8/1/15   | 7/31/20  | 266,968 | 120,529 | 387,497 | 997,861 | 435,093 | 1,432,954 |
| Hablitz, John<br>Joseph             | Acquired HCN<br>Channelopathies in Cortical<br>Dysplasia  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R01NS0<br>90041                 | 9/15/14  | 7/31/19  | -       | -       | -       | 875,000 | 411,252 | 1,286,252 |
| Hablitz, John<br>Joseph             | UAB Neuroscience Core<br>Center - Core D: Administrative<br>Core  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | P30NS0<br>47466                 | 8/1/16   | 5/31/20  | 65,192  | 30,640  | 95,832  | 195,576 | 91,920  | 287,496   |
| Hablitz, John<br>Joseph             | Training Program in the<br>Neurobiology of Cognition and<br>Cognitive Disorders                               | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | T32NS06<br>1788                 | 7/1/16   | 6/30/18  | -       | -       | -       | 390,145 | 23,147  | 413,292   |
| Hablitz, John<br>Joseph             | Training Program in the<br>Neurobiology of Cognition and<br>Cognitive Disorders                               | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | T32NS06<br>1788                 | 7/1/18   | 6/30/23  | 228,144 | 13,932  | 242,076 | 228,144 | 13,932  | 242,076   |
| Herskowitz,<br>Jeremy H             | Investigating the RhoA/ROCK<br>Pathway for the Treatment of<br>Alzheimer's Disease                            | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                             | R00AG0<br>43552                 | 8/1/14   | 3/31/18  | -       | -       | -       | 534,705 | 232,126 | 766,831   |

| Herskowitz,<br>Jeremy H    | Fasudil as a Therapeutic for Alzheimer's Disease  | Alzheimer's<br>Association  | NP<br>Agency/<br>Assoc | 2015-<br>NIRG-<br>339422  | 6/1/15  | 12/31/17 | -       | -       | -         | 90,910    | 9,090   | 100,000   |
|----------------------------|---|---|------------------------|---------------------------|---------|----------|---------|---------|-----------|-----------|---------|-----------|
| Herskowitz,<br>Jeremy H    | Targeting Rho Kinases for<br>Alzheimer's Disease<br>Therapeutics  | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                | R01AG0<br>54719           | 7/15/17 | 5/31/22  | 250,000 | 121,250 | 371,250   | 500,000   | 242,500 | 742,500   |
| Herskowitz,<br>Jeremy H    | Identifying Therapeutic Targets That Confer Synaptic Resilience to Alzheimer's Disease  | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                | R01AG0<br>61800           | 9/30/18 | 4/30/23  | 990,166 | 129,088 | 1,119,254 | 990,166   | 129,088 | 1,119,254 |
| Kennedy,<br>Richard E.     | In Silico Screening of<br>Medications for Slowing<br>Alzheimer's Disease<br>Progression   | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                | R01AG0<br>57684           | 9/15/17 | 3/31/22  | 482,787 | 163,191 | 645,978   | 965,574   | 338,507 | 1,304,081 |
| Kennedy,<br>Richard E.     | Speed of Processing Training<br>for Cognitive Deficits After<br>Delirium in Older Adults  | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                | R21AG0<br>57982           | 9/15/17 | 5/31/19  | 139,001 | 67,415  | 206,416   | 275,002   | 133,375 | 408,377   |
| Knight, David<br>C         | Multidimensional Neuroimaging<br>Investigation of Posttraumatic<br>Stress in Humans   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                | F99NS10<br>5171           | 9/28/17 | 9/27/18  | -       | -       | -         | 38,644    | -       | 38,644    |
| Lahti,<br>Adrienne C.      | Glutamate, Brain Connectivity<br>and Duration of Untreated<br>Psychosis   | National Institute<br>of Mental<br>Health/NIH/DHH<br>S                        | Federal                | R01MH1<br>02951           | 4/1/14  | 1/31/20  | 396,500 | 162,856 | 559,356   | 2,069,790 | 799,429 | 2,869,219 |
| Lahti,<br>Adrienne C.      | Remote Physiological,<br>Behavioral and Symptom<br>Assessment Study to Identify<br>Predictors of Symptom<br>Exacerbation  | Janssen   | Industry               |                           | 6/30/14 | 7/31/17  | -       | -       | -         | 568,400   | 204,624 | 773,024   |
| Lahti,<br>Adrienne C.      | Trajectories of Treatment Response as Window into the Heterogeneity of Psychosis: a Longitudinal Multimodal Imaging Study in Medication- naive first Episode Psychosis Patients | National Institute<br>of Mental<br>Health/NIH/DHH<br>S                        | Federal                | R01MH1<br>13800           | 4/10/18 | 12/31/22 | 494,995 | 240,073 | 735,068   | 494,995   | 240,073 | 735,068   |
| Landefeld,<br>Charles Seth | A Trial to Improve Surrogate<br>Decision-Making for Critically III<br>Older Adults  | University of<br>Pittsburgh   | Institutio<br>ns       | 0034034<br>(123602-<br>1) | 9/1/13  | 5/31/18  | -       | -       | -         | 23,783    | 11,172  | 34,955    |
| Lazar, Ronald<br>M         | Cerebral Hemodynamics And<br>Neurocognition In Severe<br>Aortic Valve Disease   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                | R21NS0<br>96972           | 8/1/17  | 7/31/19  | -       | -       | -         | 173,402   | 84,100  | 257,502   |
| Lazar, Ronald<br>M         | Carotid Revascularization And<br>Medical Management For<br>Asymptomatic Carotid Stenosis<br>Trial-Hemodynamics (CREST-<br>H)  | Columbia<br>University  | Institutio<br>ns       | 5(GG012<br>011-01)        | 5/15/17 | 4/30/22  | 29,055  | 14,092  | 43,147    | 58,407    | 27,887  | 86,294    |

| Lazar, Ronald<br>M              | Genetic Contribution to Brain<br>Arterial Dilatation and its Role<br>in Cognition and Dementia  | Columbia<br>University  | Institutio<br>ns                    | 3(GG014<br>803-01)       | 8/15/18  | 4/30/23  | 7,304   | 3,542   | 10,846  | 7,304     | 3,542   | 10,846    |
|---------------------------------|---|---|-------------------------------------|--------------------------|----------|----------|---------|---------|---------|-----------|---------|-----------|
| Lubin, Farah<br>D               | Epigenetic Mechanisms in<br>Epilepsy-Related Memory<br>Formation  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R21NS0<br>90250          | 7/1/15   | 6/30/18  | -       | -       | -       | 275,000   | 129,250 | 404,250   |
| Lubin, Farah<br>D               | EEG System to Benefit the UAB Community   | UNIVERSITY OF ALABAMA HEALTH SERVICES FOUNDATION                              | Foundati<br>ons<br>Philanthr<br>opy |                          | 11/1/15  | 10/31/17 | -       | -       | -       | 47,497    | -       | 47,497    |
| Lubin, Farah<br>D               | NF-kB Methyl-Lysine Signaling in the Epigenetic Regulation of Memory  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | F30NS10<br>0340          | 1/1/17   | 12/31/20 | 35,324  | -       | 35,324  | 70,168    | -       | 70,168    |
| Lubin, Farah<br>D               | The Role of DNS Hydroxymethylation in Agerelated Memory Decline by Early Life Stress.   | American<br>Federation for<br>Aging Research                                  | NP<br>Agency/<br>Assoc              |                          | 12/31/16 | 12/30/17 | -       | -       | -       | 54,500    | -       | 54,500    |
| Lubin, Farah<br>D               | Chromatin Remodeling<br>Mechanism of Gene<br>Transcription in Memory  | National Institute<br>of Mental<br>Health/NIH/DHH<br>S                        | Federal                             | R56MH0<br>97909          | 8/21/18  | 8/20/19  | 345,632 | 156,040 | 501,672 | 345,632   | 156,040 | 501,672   |
| McMahon<br>Wakefield,<br>Lori L | UAB Neuroscience Roadmap<br>Scholars Program  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R25NS0<br>89463          | 9/30/14  | 7/31/19  | 231,809 | 18,545  | 250,354 | 1,169,044 | 93,526  | 1,262,570 |
| McMahon<br>Wakefield,<br>Lori L | Interactions of 17beta Estradiol and Ketamine on Depression-Like Behavior, Hippocampal Synaptic Function, and Cognition in Ovariectomized Rats  | National Institute<br>of Mental<br>Health/NIH/DHH<br>S                        | Federal                             | R56MH1<br>07190          | 8/1/16   | 7/31/18  | -       | -       |         | 244,767   | 101,058 | 345,825   |
| McMahon<br>Wakefield,<br>Lori L | Impact of Estrogen Loss and<br>Replacement on GLuN2B<br>containing NMDAR's, Synaptic<br>Plasticity, and Learning and<br>Memory in Females using a<br>Novel Transgenic Rat Model of<br>Alzheimer's Disease | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                             | R21AG0<br>53067          | 4/1/16   | 3/31/19  | -       | -       | -       | 275,000   | 129,250 | 404,250   |
| McMahon<br>Wakefield,<br>Lori L | RII Track-2 FEC: The Creation of Next Generation Tools for Neuroscience - Noninvasive Radioluminescence Approaches to Optogenetics  | Clemson<br>University   | Institutio<br>ns                    | 1877-<br>206-<br>2011576 | 9/1/16   | 7/31/20  | -       | -       | -       | 647,191   | 254,361 | 901,552   |
| McMahon<br>Wakefield,<br>Lori L | Effects off NMDAR Antagonist on Hippocampal Circuits  | National Institute<br>of Mental<br>Health/NIH/DHH<br>S                        | Federal                             | F31MH1<br>10096          | 9/1/16   | 8/31/18  | -       | -       | -       | 68,764    | -       | 68,764    |

| McMahon<br>Wakefield,<br>Lori L | 17-Beta-Estradiol Rescues<br>Synaptic GluN2B-Mediated<br>NMDAR Current in<br>Hippocampus in The TgF344-<br>AD Rat Model By Increasing<br>GLT-1   | National Institute<br>on<br>Aging/NIH/DHH<br>S                                 | Federal                      | F31AG0<br>54087           | 3/1/17   | 2/28/19  | 35,096  | -       | 35,096  | 69,712    | -       | 69,712    |
|---------------------------------|--|--|------------------------------|---------------------------|----------|----------|---------|---------|---------|-----------|---------|-----------|
| Meador-<br>Woodruff,<br>James   | N-glycome Abnormalities in<br>Cortex of Elderly Male and<br>Female Schizophrenia<br>Subjects   | Burroughs<br>Wellcome Fund   | NP<br>Agency/<br>Assoc       | 1016359                   | 6/1/16   | 12/31/17 | -       | -       | -       | 6,000     | -       | 6,000     |
| Powell, Craig<br>M.             | Novel Genetic Models of<br>Autism  | National Institute<br>of Child Health<br>and Human<br>Development/NI<br>H/DHHS | Federal                      | R01HD0<br>69560           | 9/1/18   | 5/31/21  | 387,218 | 187,801 | 575,019 | 387,218   | 187,801 | 575,019   |
| Pozzo-Miller,<br>Lucas D        | Restoring GABA Inhibition in<br>ASD by Turning a Kinase-<br>Regulated CI-Rheostat  | Yale University  | Institutio<br>ns             | M17R12<br>549<br>(R13804) | 8/1/16   | 7/31/18  | -       | -       | -       | 47,078    | 9,416   | 56,494    |
| Pozzo-Miller,<br>Lucas D        | REU Site: Summer Program in Neuroscience   | NSF - National<br>Science<br>Foundation  | Federal                      | DBI-<br>1658965           | 3/1/17   | 2/28/20  | 114,139 | 1,496   | 115,635 | 228,788   | 3,442   | 232,230   |
| Pozzo-Miller,<br>Lucas D        | Cortical Spread of<br>Hippocampal Hyperactivity in<br>Rett Syndrome  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S  | Federal                      | R56NS1<br>03089           | 4/1/18   | 3/31/19  | 350,000 | 107,549 | 457,549 | 350,000   | 107,549 | 457,549   |
| Pozzo-Miller,<br>Lucas D        | Exploring Nonsense<br>Suppression as a Treatment for<br>Rett Syndrome  | RETTSYNDRO<br>ME.ORG   | Foundati<br>ons<br>Philanthr |                           | 6/1/18   | 5/31/20  | 68,828  | 6,172   | 75,000  | 68,828    | 6,172   | 75,000    |
| Prabhu,<br>Sumanth D            | Splenic Marginal Zone<br>Macrophages in Chronic<br>Ischemic Heart Failure  | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS                   | Federal                      | R01HL12<br>5735           | 3/10/15  | 1/31/19  | 250,000 | 117,500 | 367,500 | 1,000,000 | 470,000 | 1,470,000 |
| Prabhu,<br>Sumanth D            | Genomic Analysis of Enhanced<br>Response to Heart Failure<br>Therapy in African Americans'<br>Grant# 1 Ro1MD009118-01  | University of<br>Pittsburgh  | Institutio<br>ns             | 0041119<br>(124864-<br>7) | 5/1/15   | 4/30/19  | -       | -       | -       | 21,429    | 10,071  | 31,500    |
| Prabhu,<br>Sumanth D            | A Double-blind, Randomized, Sham—procedure—controlled, Parallel-group Efficacy and Safety Study of Allogeneic Mesenchymal Precursor Cells (CEP—41750) in Patients with Chronic Heart Failure Due to Left Ventricular Systolic Dysfunction of Either Ischemic or Nonischemic Etiology | TEVA<br>BRANDED<br>PHARMACEUTI<br>CAL<br>PRODUCTS<br>R&D                       | Industry                     |                           | 11/11/15 | 11/10/23 | 34,153  | 8,878   | 43,031  | 120,594   | 31,362  | 151,956   |
| Prabhu,<br>Sumanth D            | Basic and Translational<br>Science in Heart Failure  | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS                   | Federal                      | T32HL12<br>9948           | 4/1/17   | 3/31/22  | 288,673 | 21,653  | 310,326 | 426,629   | 31,969  | 458,598   |

| Prabhu,<br>Sumanth D | 6th Annual Comprehensive<br>Cardiovascular Center (CCVC)<br>Symposium: Focus on<br>Cardiovascular<br>Electrophysiology   | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS | Federal                | R13HL13<br>8967 | 8/1/17   | 7/31/18  | -      | -     | -      | 10,000  | -       | 10,000    |
|----------------------|--|--|------------------------|-----------------|----------|----------|--------|-------|--------|---------|---------|-----------|
| Prabhu,<br>Sumanth D | 2017 Medtronic Cardiac<br>Rhythm and Heart Failure<br>CCVC Symposium Grant   | MEDTRONIC,<br>INC.   | Industry               |                 | 6/6/17   | 12/31/17 | 1      | -     | -      | 4,545   | 455     | 5,000     |
| Prabhu,<br>Sumanth D | 2017 Biosense Webster Inc,<br>CCVC Symposium Grant   | BIOSENSE<br>WEBSTER, INC.                                    | Industry               |                 | 7/19/17  | 12/31/17 | -      | -     | -      | 13,636  | 1,364   | 15,000    |
| Prabhu,<br>Sumanth D | 2017 Boston Scientific CCVC<br>Symposium Grant   | BOSTON<br>SCIENTIFIC<br>CORPORATION                          | Industry               |                 | 6/14/17  | 10/31/17 | -      | -     | -      | 18,182  | 1,818   | 20,000    |
| Prabhu,<br>Sumanth D | 2018 Abbott CCVC Symposium<br>Grant  | ABBOTT<br>LABORATORIE<br>S                                   | Industry               |                 | 8/6/18   | 10/7/18  | -      | -     | -      | 1,364   | 136     | 1,500     |
| Roberson,<br>Erik    | A Phase II/III Randomized, Double-Blind, Placebo- Controlled Multi-Center Study of 2 Potential Disease Modifying Therapies in Individuals at Risk for and with Dominantly Inherited Alzheimer's Disease      | Washington<br>University in St.<br>Louis                     | Institutio<br>ns       |                 | 11/22/13 | 11/21/20 | 18,855 | 4,902 | 23,757 | 896,969 | 233,210 | 1,130,179 |
| Roberson,<br>Erik    | 18F-AV-1451-A09: 18F-AV- 1451 Injection for Brain Imaging of Tau in Subjects with Progressive Supranuclear Palsy (PSP), Subjects with Corticobasal Degeneration (CBD) and Healthy Volunteers                 | AVID<br>RADIOPHARMA<br>CEUTICALS                             | Industry               |                 | 8/7/14   | 8/6/18   | -      | -     | -      | 104,512 | 27,173  | 131,685   |
| Roberson,<br>Erik    | Causes, Treatment, and<br>Prevention of Corticobasal<br>Degeneration   | University of<br>California, San<br>Francisco                | Institutio<br>ns       | 8174sc          | 7/1/14   | 9/30/18  | 1      | -     | -      | 56,181  | 2,809   | 58,990    |
| Roberson,<br>Erik    | Development of Inhibitors of<br>the Tau-Fyn Interaction For the<br>Treatment of Alzheimer's<br>Disease   | BRIGHT FOCUS<br>FOUNDATION                                   | NP<br>Agency/<br>Assoc | A201569<br>3S   | 7/1/15   | 6/30/18  | -      | -     | -      | 250,000 | -       | 250,000   |
| Roberson,<br>Erik    | Investigating Functional Ability in PSP  | CUREPSP  | NP<br>Agency/<br>Assoc |                 | 7/1/15   | 6/30/17  | 1      | -     | -      | 34,162  | 1       | 34,162    |
| Roberson,<br>Erik    | A Randomized, Double-Blind,<br>Placebo-Controlled, Single<br>Ascending Dose to Assess the<br>Safety, Tolerability, and<br>Pharmacokinetics of C2N-8E12<br>in Subjects with Progressive<br>Supranuclear Palsy | C2N<br>DIAGNOSTICS,<br>LLC                                   | Industry               |                 | 10/1/15  | 9/30/19  | 6,369  | 2,103 | 8,472  | 25,408  | 8,385   | 33,793    |

| Roberson,<br>Erik  | Preclinical Testing of a<br>Progranulin-Raising<br>Therapeutic  | ALECTOR, INC.  | Industry                            |                 | 3/16/16 | 6/30/18  | -       | -       | -       | 86,506  | 40,658  | 127,164 |
|--------------------|---|--|-------------------------------------|-----------------|---------|----------|---------|---------|---------|---------|---------|---------|
| Roberson,<br>Erik  | Advancing Research and<br>Treatment for Frontotemporal<br>Lobar Degeneration [ARTFL]:<br>Research Project 1 & 2   | University of<br>California, San<br>Francisco          | Institutio<br>ns                    | 8851c           | 5/1/15  | 7/31/17  | -       | 1       | -       | 43,480  | 4,348   | 47,828  |
| Roberson,<br>Erik  | Imaging Dementia - Evidence<br>for Amyloid Scanning (IDEAS)<br>Study: A Coverage with<br>Evidence Development<br>Longitudinal Cohort Study                      | American<br>College of<br>Radiology                    | Institutio<br>ns                    |                 | 4/21/16 | 4/20/21  | 17,160  | 4,290   | 21,450  | 51,480  | 12,870  | 64,350  |
| Roberson,<br>Erik  | Abnormal Late Endosomal Trafficking in Frontotemporal Dementia due to Progranulin Mutations   | National Institute<br>on<br>Aging/NIH/DHH<br>S         | Federal                             | K99AG0<br>56597 | 7/15/17 | 6/30/19  | 125,067 | 10,005  | 135,072 | 250,634 | 20,050  | 270,684 |
| Roberson,<br>Erik  | The Frontotemporal Lobar Degeneration Clinical Research Consortium  | University of<br>California, San<br>Francisco          | Institutio<br>ns                    | 9740sc          | 8/1/16  | 7/31/19  | 20,075  | 9,736   | 29,811  | 64,375  | 31,222  | 95,597  |
| Roberson,<br>Erik  | A Study to Model Rates of Change on Neuropsychological Test Measures in Subjects Diagnosed With Behavioral Variant Frontotemporal Dementia and Healthy Subjects | BIOGEN IDEC,<br>INC.                                   | Industry                            |                 | 7/24/17 | 7/23/21  | 11,164  | 4,019   | 15,183  | 22,331  | 8,039   | 30,370  |
| Roberson,<br>Erik  | BIN1, Interneuron Activity, and<br>Network Dysfunction in<br>Alzheimer Disease  | National Institute<br>on<br>Aging/NIH/DHH<br>S         | Federal                             | RF1AG0<br>59405 | 6/15/18 | 5/31/23  | 630,995 | 300,019 | 931,014 | 630,995 | 300,019 | 931,014 |
| Roberson,<br>Erik  | Developing Inhibitors Of Tau-<br>SH3 Interactions As<br>Treatments For Alzheimer's<br>Disease And Hyperexcitability   | WESTON<br>BRAIN<br>INSTITUTE                           | Foundati<br>ons<br>Philanthr<br>opy |                 | 7/1/17  | 6/30/18  | -       | -       | -       | 60,000  | -       | 60,000  |
| Roberson,<br>Erik  | Consortium For Frontotemporal<br>Dementia Research  | THE BLUEFIELD PROJECT TO CURE FRONTOTEMP ORAL DEMENTIA | NP<br>Agency/<br>Assoc              |                 | 10/1/17 | 12/31/19 | 163,636 | 16,364  | 180,000 | 327,272 | 32,728  | 360,000 |
| Roberson,<br>Erik  | Therapeutic Strategies in<br>Mouse Models of FTD Due to<br>Progranulin Insufficiency<br>(Consortium for Frontotemporal<br>Dementia Research)                    | THE BLUEFIELD PROJECT TO CURE FRONTOTEMP ORAL DEMENTIA | NP<br>Agency/<br>Assoc              |                 | 1/1/17  | 12/31/17 | -       | -       | -       | 224,336 | 22,434  | 246,770 |
| Saag,<br>Michael S | Developing Research at the<br>Interface of HIV and Aging  | WAKE FOREST<br>UNIVERSITY                              | Institutio<br>ns                    | WFUHS<br>110918 | 9/1/13  | 5/31/19  | 18,960  | 9,196   | 28,156  | 81,082  | 38,199  | 119,281 |
| Saag,<br>Michael S | A Phase IIb, Dose Ranging<br>Study of Oral GSK1265744 in<br>Combination with Nucleoside<br>Reverse Transcriptase  | GlaxoSmithKline  | Industry                            |                 | 9/7/12  | 5/31/19  | -       | -       | -       | 203,289 | 52,855  | 256,144 |

|                    | Inhibitors for Induction of HIV-1<br>Virologic Suppression followed<br>by an Evaluation of<br>Maintenance of Virologic<br>Suppression when Oral<br>Rilpivirine in HIV-1 Infected,<br>Antiretroviral Therapy Naive<br>Adult Subjects  |   |          |                 |         |         |         |        |         |           |           |           |
|--------------------|--|---|----------|-----------------|---------|---------|---------|--------|---------|-----------|-----------|-----------|
| Saag,<br>Michael S | UAB Center for AIDS Research - Administrative Core   | National Institute<br>of Allergy and<br>Infectious<br>Diseases/NIH/D<br>HHS | Federal  | P30AI02<br>7767 | 6/1/14  | 5/31/19 | 172,374 | 81,016 | 253,390 | 3,160,467 | 1,489,346 | 4,649,813 |
| Saag,<br>Michael S | UAB Center for AIDS Research - The Role of HIV-Infection and Antiretroviral Therapy Related Mitochondrial Toxicity in Accelerated Aging  | National Institute<br>of Allergy and<br>Infectious<br>Diseases/NIH/D<br>HHS | Federal  | P30Al02<br>7767 | 6/1/14  | 5/31/19 | -       | -      | -       | 100,000   | 47,000    | 147,000   |
| Saag,<br>Michael S | UAB Center for AIDS Research - UAB CFAR- Administrative Supplement-Clinical Core Equipment   | National Institute<br>of Allergy and<br>Infectious<br>Diseases/NIH/D<br>HHS | Federal  | P30AI02<br>7767 | 6/1/14  | 5/31/19 | -       | -      | -       | 21,007    | 3,114     | 24,121    |
| Saag,<br>Michael S | UAB Center for AIDS Research - UAB CFAR- Administrative Supplement CFAR HIV in Women Symposium   | National Institute<br>of Allergy and<br>Infectious<br>Diseases/NIH/D<br>HHS | Federal  | P30Al02<br>7767 | 6/1/14  | 5/31/19 | -       | -      | -       | 32,971    | 15,496    | 48,467    |
| Saag,<br>Michael S | UAB Center for AIDS Research - UAB CFAR-UAB CFAR - Administrative Supplement Establishment of a HIV-1 Transplant Tissue  | National Institute<br>of Allergy and<br>Infectious<br>Diseases/NIH/D<br>HHS | Federal  | P30AI02<br>7767 | 6/1/14  | 5/31/19 | -       | -      | -       | 52,113    | 1,309     | 53,422    |
| Saag,<br>Michael S | A Phase III Multicenter, Double-Blind, Randomized, Active Comparator-Controlled Clinical Trial to Evaluate the Safety and Efficacy of Reformulated Raltegravir 1200 mg Once Daily Versus Raltegravir 400 mg Twice Daily, each in Combination with TRUVADA™, in Treatment- Naïve HIV-1 Infected Subjects                    | MERCK & COMPANY, INC.   | Industry |                 | 7/17/14 | 7/16/17 | -       | -      | -       | 268,176   | 69,726    | 337,902   |
| Saag,<br>Michael S | A Phase 3b, Randomized, Double-Blind Switch Study to Evaluate the Safety and Efficacy of Emtricitabine/Rilpivirine/Tenofo vir Alafenamide (FTC/RPV/TAF) Fixed Dose Combination (FDC) in HIV-1 Positive Subjects who are Virologically Suppressed on Emtricitabine/Rilpivirine/Tenofo vir Disoproxil Fumarate (FTC/RPV/TDF) | Gilead Sciences   | Industry |                 | 6/3/15  | 7/31/18 | -       | -      | -       | 139,673   | 50,281    | 189,954   |

| Saag,<br>Michael S | Unsolicited R24 CFAR Network of Integrated Clinical Systems (CNICS)   | National Institute<br>of Allergy and<br>Infectious<br>Diseases/NIH/D<br>HHS | Federal                | R24Al06<br>7039 | 9/30/16 | 8/31/21  | 4,076,510 | 385,495 | 4,462,005 | 11,319,488 | 2,107,845 | 13,427,333 |
|--------------------|---|---|------------------------|-----------------|---------|----------|-----------|---------|-----------|------------|-----------|------------|
| Saag,<br>Michael S | GS-US-380-1489, "A Phase 3,<br>Randomized, Double-Blind<br>Study to Evaluate the Safety<br>and Efficacy of GS-98831<br>Emtlicitabinel Tenofovir<br>Alafenamide Versus Abacavirl<br>Dolutegravirl Lamivudine in<br>HIV-1 Infected, Antiretroviral<br>Treatment-Narve Adults"   | Gilead Sciences   | Industry               |                 | 1/20/16 | 1/19/20  | 125,988   | 45,355  | 171,343   | 295,800    | 106,489   | 402,289    |
| Saag,<br>Michael S | A Phase 3b Randomized, Open-label, Controlled Study of the Efficacy, Safety and Tolerability of 12 Weeks of Ledipasvir/Sofosbuvir (LDV/SOF) Treatment for HIV/HCV Co-infected Subjects who Switch to Elvitegravir/Cobicistat/Emtricita bine/Tenofovir Alafenamide (E/C/F/TAF) or Emtricitabine/Rilpivirine/Tenofo vir Alafenamide (F/R/TAF) prior to LDV/SOF HCV Treatment, the HIV/HCV Co-STARs study (Co-infection treatment with Single Tablet Antiviral Regimens) | Gilead Sciences   | Industry               |                 | 4/6/16  | 4/5/19   | 17,454    | 6,283   | 23,737    | 52,362     | 18,850    | 71,212     |
| Saag,<br>Michael S | IMPAACT P1115   | Johns Hopkins<br>University   | Institutio<br>ns       | PCTL 08         | 12/1/15 | 11/30/17 | -         | -       | -         | 51,164     | 12,602    | 63,766     |
| Saag,<br>Michael S | Epidemiology of HIV-Related<br>Atrial Fibrillation and<br>Associations with Substance<br>Abuse  | UNIVERSITY<br>OF<br>WASHINGTON  | Institutio<br>ns       | UWSC10<br>100   | 9/30/17 | 8/31/19  | -         | -       | -         | 36,053     | 17,486    | 53,539     |
| Saag,<br>Michael S | HIV, HCV. and The<br>Menopausal Transition- Effects<br>on Stealosis and Fibrosis<br>Progression   | NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION - NEW              | NP<br>Agency/<br>Assoc | T1E1980<br>-07  | 10/1/16 | 4/30/18  | -         | -       | -         | 6,734      | 3,266     | 10,000     |
| Saag,<br>Michael S | CNICS 141-Prevalence and<br>Nature of Heavily Treatment<br>Experienced Patients in a<br>Large Clinical Cohort   | GlaxoSmithKline   | Industry               |                 | 4/26/18 | 4/25/20  | 119,647   | 43,073  | 162,720   | 119,647    | 43,073    | 162,720    |
| Saag,<br>Michael S | Evaluation of Wirelessly Observed Therapy to Optimize Adherence in Patients with Hepatitis C and Increased Risk for Nonadherence to Treatment Protocol PB-WOTFORHEPC  | PROTEUS<br>DIGITAL<br>HEALTH, INC.  | Industry               |                 | 8/15/17 | 8/14/22  | 7,539     | 2,261   | 9,800     | 15,081     | 4,527     | 19,608     |

| Saag,<br>Michael S         | Comprehensive Ascertainment of Hospitalization for Cardiovascular Disease Ascertainment  | ALBERT<br>EINSTEIN<br>COLLEGE OF<br>MEDICINE                    | Institutio<br>ns                    | 310429  | 4/10/17  | 12/31/18 | 2,337     | 1,099  | 3,436     | 2,337     | 1,099   | 3,436     |
|----------------------------|--|---|-------------------------------------|---------|----------|----------|-----------|--------|-----------|-----------|---------|-----------|
| Saag,<br>Michael S         | CNICS 141-Prevalance and<br>Nature of Heavily Treatment<br>Experienced Patients in a<br>Large Clinical Cohort  | GlaxoSmithKline   | Industry                            |         | 10/13/17 | 10/12/18 | -         | -      | -         | 96,837    | 34,861  | 131,698   |
| Saag,<br>Michael S         | GSK 209025   | GlaxoSmithKline   | Industry                            |         | 6/19/18  | 6/18/19  | 29,024    | 4,353  | 33,377    | 29,024    | 4,353   | 33,377    |
| Saag,<br>Michael S         | A Phase 3b, Multicenter, Open-<br>Label Study to Evaluate Switching From a Regimen of Two Nucleos(t)ide Reverse Transcriptase Inhibitors (NRTI) plus a Third Agent to a Fixed Dose Combination (FDC) of Bictegravir/Emtricitabine/Tenof ovir Alafenamide (B/F/TAF), in Virologically-Suppressed, HIV- 1 Infected African American Participants | Gilead Sciences   | Industry                            |         | 9/27/18  | 9/26/22  | 16,259    | 4,880  | 21,139    | 16,259    | 4,880   | 21,139    |
| Standaert,<br>David George | APDA Advanced Center for<br>Parkinson Disease Research at<br>UAB   | American Parkinson Disease Association                          | NP<br>Agency/<br>Assoc              |         | 9/1/08   | 8/31/19  | 134,650   | -      | 134,650   | 1,483,655 | -       | 1,483,655 |
| Standaert,<br>David George | The Parkinson's Progression<br>Markers Initiative (PPMI)   | Fox (Michael J.)<br>Foundation for<br>Parkinson's<br>Research   | Foundati<br>ons<br>Philanthr<br>opy |         | 7/27/10  | 12/31/18 | 38,636    | 9,659  | 48,295    | 1,580,669 | 396,249 | 1,976,918 |
| Standaert,<br>David George | UAB Bachmann-Straus Dystonia and Parkinson's Disease Center of Excellence  | Bachmann-<br>Strauss Dystonia<br>& Parkinson<br>Foundation, Inc | Foundati<br>ons<br>Philanthr<br>opy |         | 8/20/13  | 9/14/18  | -         | -      | -         | 360,000   | 40,000  | 400,000   |
| Standaert,<br>David George | A Phase 1/2 Trial Assessing the Safety and Efficacy of Bilateral Intraputaminal and Intranigral Administration of CERE-120 (Adeno-Associated Virus Serotype 2[AAV2]-Neurturin{NTN]) in Subjects with Idiopathic Parkinson's Disease (CERE 120-09)  | SANGAMO<br>BIOSCIENCES,<br>INC.                                 | Industry                            |         | 6/3/13   | 6/2/16   | -         | -      | -         | 170,368   | 44,295  | 214,663   |
| Standaert,<br>David George | Molecular Etiology of Early<br>Onset Torsion Dystonia  | MASSACHUSET<br>TS GENERAL<br>HOSPITAL                           | Institutio<br>ns                    | 226500  | 7/1/15   | 6/30/20  | 186,735   | 87,765 | 274,500   | 749,768   | 352,390 | 1,102,158 |
| Standaert,<br>David George | Role of HLA/MHCII in<br>Parkinson's Disease<br>Pathogenesis  | Emory University  | Institutio<br>ns                    | T520555 | 9/30/15  | 6/30/20  | -         | -      | -         | 32,132    | 15,101  | 47,233    |
| Standaert,<br>David George | UAB Cannabidiol Program  | ALABAMA<br>DEPARTMENT<br>OF COMMERCE                            | State                               |         | 4/1/14   | 9/30/19  | 1,000,000 | -      | 1,000,000 | 5,000,000 | -       | 5,000,000 |

| Standaert,<br>David George | BTK Inhibitors and Their<br>Potential Role in Inhibiting the<br>Pro-Inflammatory<br>Microenvironment Associated<br>with Neurodegeneration                    | ACERTA<br>PHARMA B.V.   | Industry                            |                       | 12/5/14 | 12/5/16  | -       | -      | -       | 100,679 | 47,319  | 147,998 |
|----------------------------|--|---|-------------------------------------|-----------------------|---------|----------|---------|--------|---------|---------|---------|---------|
| Standaert,<br>David George | The Edmond J. Safra<br>Fellowship in Movement<br>Disorders   | Fox (Michael J.) Foundation for Parkinson's Research                          | Foundati<br>ons<br>Philanthr<br>opy | 10790                 | 7/1/16  | 6/20/19  | -       | -      | -       | 180,000 | -       | 180,000 |
| Standaert,<br>David George | UAB Neuroscience Core<br>Center - Core B: Molecular<br>Detection and Stereology Core   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | P30NS0<br>47466       | 8/1/16  | 5/31/20  | 107,420 | 50,487 | 157,907 | 322,260 | 151,461 | 473,721 |
| Standaert,<br>David George | DUOdopa/Duopa in Patients<br>with Advanced Parkinson's<br>Disease (PD) – A Global<br>Observational Study Evaluating<br>Long-Term Effectiveness<br>(DUOGLOBE) | ABBVIE INC  | Industry                            |                       | 2/8/16  | 2/7/19   | 22,333  | 5,807  | 28,140  | 67,000  | 17,420  | 84,420  |
| Standaert,<br>David George | UAB Research and Education<br>Program in Neuroscience  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R25NS0<br>79188       | 8/1/17  | 6/30/22  | 40,990  | 3,279  | 44,269  | 73,766  | 5,901   | 79,667  |
| Standaert,<br>David George | UAB Training Program in<br>Neurodegeneration   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | T32NS09<br>5775       | 7/1/17  | 6/30/22  | 143,436 | 9,344  | 152,780 | 213,844 | 13,911  | 227,755 |
| Standaert,<br>David George | MJFF Emerging Targets<br>Committee Membership  | Fox (Michael J.) Foundation for Parkinson's Research                          | Foundati<br>ons<br>Philanthr<br>opy |                       | 6/1/16  | 12/31/18 | 3,200   | 800    | 4,000   | 6,400   | 1,600   | 8,000   |
| Standaert,<br>David George | Genetics of Levodopa Induced<br>Dyskinesia   | 23ANDME, INC.   | NP<br>Agency/<br>Assoc              |                       | 8/24/17 | 8/23/18  | -       | -      | -       | -       | -       | -       |
| Standaert,<br>David George | University of Alabama at<br>Birmingham_The Edmond J.<br>Safra Fellowship in Movement<br>Disorders 2017   | Fox (Michael J.)<br>Foundation for<br>Parkinson's<br>Research                 | Foundati<br>ons<br>Philanthr<br>opy |                       | 9/20/17 | 6/30/20  | 45,000  | -      | 45,000  | 90,000  | -       | 90,000  |
| Standaert,<br>David George | Interactions of Gut Microbiome,<br>Genetic Susceptibility and<br>Environmental Factors in<br>Parkinson's Disease   | DOD -<br>Department of<br>Defense   | Federal                             | W81XW<br>H181050<br>9 | 9/1/18  | 8/31/22  | 109,690 | 53,200 | 162,890 | 109,690 | 53,200  | 162,890 |
| Standaert,<br>David George | Contribution of the Interaction<br>Between Synuclein and Tau in<br>the Pathophysiology of<br>Dementia with Lewy Bodies                                       | National Institute<br>on<br>Aging/NIH/DHH<br>S                                | Federal                             | F30AG0<br>58458       | 9/1/18  | 8/31/22  | 37,434  | -      | 37,434  | 37,434  | -       | 37,434  |
| Standaert,<br>David George | Innate and Adaptive Immunity in Parkinson Disease - Core A: Administrative Core  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | P50NS1<br>08675       | 9/30/18 | 7/31/23  | 82,500  | 40,013 | 122,513 | 82,500  | 40,013  | 122,513 |

| Standaert,<br>David George | Innate and Adaptive Immunity<br>in Parkinson Disease - Project<br>1: Role of Innate Immune Cells<br>in Human Parkinson Disease                                    | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S              | Federal                             | P50NS1<br>08675 | 9/30/18 | 7/31/23 | 201,451 | 97,704  | 299,155 | 201,451   | 97,704  | 299,155   |
|----------------------------|---|--|-------------------------------------|-----------------|---------|---------|---------|---------|---------|-----------|---------|-----------|
| Standaert,<br>David George | The Edmond J. Safra Visiting<br>Nurse Faculty Program at the<br>Parkinson Disease Foundation  | National<br>Parkinson<br>Foundation, Inc.  | Foundati<br>ons<br>Philanthr<br>opy |                 | 10/3/17 | 10/2/19 | -       | -       | ı       | 12,727    | 1,273   | 14,000    |
| Standaert,<br>David George | Border-Associated<br>Macrophages in an Alpha-<br>Synuclein Overabundance<br>Model of Parkinson Disease  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S              | Federal                             | F31NS10<br>6722 | 9/30/18 | 9/29/21 | 35,532  | -       | 35,532  | 35,532    | -       | 35,532    |
| Standaert,<br>David George | Role of T cells in an Alpha-<br>Synuclein Mediated Mouse<br>Model of Parkinson Disease  | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S              | Federal                             | F31NS10<br>6820 | 9/30/18 | 9/29/21 | 35,532  | -       | 35,532  | 35,532    | -       | 35,532    |
| Thannickal,<br>Victor John | Rheumatic Diseases Research Core Centers - P&F 8: Development of Monoclonal Antibodies Against NOX4 for Studies/Treatment of Rheumatic Diseases                   | National Institute<br>of Arthritis &<br>Musculoskeletal<br>& Skin<br>Diseases/NIH/D<br>HHS | Federal                             | P30AR0<br>48311 | 9/1/12  | 8/31/19 | -       | -       |         | 20,000    | 9,300   | 29,300    |
| Thannickal,<br>Victor John | Therapeutic Targeting of the Myofibroblast in Fibrotic Lung Disease: Core A - Administrative and Biostatistics Core   | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS                               | Federal                             | P01HL11<br>4470 | 9/16/13 | 7/31/18 | -       | -       |         | 409,565   | 192,459 | 602,024   |
| Thannickal,<br>Victor John | Therapeutic Targeting of the<br>Myofibroblast in Fibrotic Lung<br>Disease: Project 3 - NOX4 as a<br>Therapeutic Target in IPF                                     | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS                               | Federal                             | P01HL11<br>4470 | 9/16/13 | 7/31/18 | -       | -       | -       | 1,411,860 | 663,573 | 2,075,433 |
| Thannickal,<br>Victor John | Myofibroblast Senescence in<br>Pulmonary Fibrosis   | National Institute<br>on<br>Aging/NIH/DHH<br>S   | Federal                             | R01AG0<br>46210 | 9/1/14  | 5/31/19 | 225,000 | 105,750 | 330,750 | 1,118,250 | 525,577 | 1,643,827 |
| Thannickal,<br>Victor John | Training Program in Lung<br>Biology and Translational<br>Medicine   | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS                               | Federal                             | T32HL10<br>5346 | 9/1/15  | 8/31/20 | 428,159 | 32,093  | 460,252 | 1,428,614 | 109,210 | 1,537,824 |
| Thannickal,<br>Victor John | Study of Nfr2 Activation in Lung Fibrosis   | BIOGEN IDEC,<br>INC.   | Industry                            |                 | 9/15/14 | 9/14/16 | -       | -       | -       | 218,681   | 102,780 | 321,461   |
| Thannickal,<br>Victor John | Protein o, o'-Dityrosine Cross-<br>Linking in Lung Injury and<br>Wound Healing  | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS                               | Federal                             | F30HL13<br>6195 | 2/1/17  | 1/31/21 | 35,324  | -       | 35,324  | 70,168    | -       | 70,168    |
| Thannickal,<br>Victor John | Efficacy of GKT1 37831, Administered Alone or in Combination with Pirfenidone or Nintedanib, on Circulating Markers of Lung Fibrosis in Aged Mice with Bleomycin- | GENKYOTEX<br>SA  | Industry                            |                 | 6/24/16 | 6/23/17 | -       | -       | -       | 36,593    | 17,198  | 53,791    |

|                            | induced interstitial Lung<br>Fibrosis   |  |          |                 |         |         |         |         |         |         |         |         |
|----------------------------|---|--|----------|-----------------|---------|---------|---------|---------|---------|---------|---------|---------|
| Thannickal,<br>Victor John | Therapeutic Targeting of the Myofibroblast in Fibrotic Lung Disease - Core A: Administrative and Biostatistical Core  | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS | Federal  | P01HL11<br>4470 | 8/1/18  | 7/31/23 | 70,573  | 34,228  | 104,801 | 70,573  | 34,228  | 104,801 |
| Thannickal,<br>Victor John | Therapeutic Targeting of the Myofibroblast in Fibrotic Lung Disease - Project Two: Redox Regulation of Metabolic Reprogramming in Activated Myofibroblasts  | National Heart,<br>Lung, and Blood<br>Institute/NIH/DH<br>HS | Federal  | P01HL11<br>4470 | 8/1/18  | 7/31/23 | 247,000 | 119,795 | 366,795 | 247,000 | 119,795 | 366,795 |
| Ubogu,<br>Eroboghene<br>E. | PATH Extension: Multicenter, Open-Label Extension Study to Investigate the Long-Term Safety and Efficacy of IgPro20 in Maintenance Treatment of Chronic Inflammatory Demyelinating Polyneuropathy (CIDP) in Subjects Completing Study IgPro20_3003  | CSL BEHRING,<br>LLC  | Industry |                 | 8/25/14 | 8/24/19 | 10,161  | 2,641   | 12,802  | 25,401  | 6,604   | 32,005  |
| Ubogu,<br>Eroboghene<br>E. | Randomized, Multicenter, Double-Blind, Placebo- Controlled, Parallel-Group Phase III Study to Investigate the Efficacy, Safety, and Tolerability of 2 Different Doses of IgPro20 (Subcutaneous Immunoglobulin) for the Treatment of Chronic Inflammatory Demyelinating Polyneuropathy (CIDP) - the PATH Study | CSL BEHRING,<br>LLC  | Industry |                 | 7/11/13 | 7/10/17 | -       | -       | -       | 634,156 | 164,879 | 799,035 |
| Ubogu,<br>Eroboghene<br>E. | An Open-Label, Continuation<br>Protocol for Amifampridine<br>Phosphate (3,4-<br>Diaminopyridine Phosphate)<br>Treatment in Patients with<br>Lambert-Eaton Myasthenic<br>Syndrome (LEMS) Completing<br>2-year Follow-up in Phase 3<br>LMS-002 Study  | CATALYST<br>PHARMACEUTI<br>CAL<br>PARTNERS,<br>INC.          | Industry |                 | 1/6/16  | 1/5/19  | -       | -       | -       | 19,250  | 5,004   | 24,254  |
| Ubogu,<br>Eroboghene<br>E. | A Phase 3, Multicenter, Double-blind, Placebo- controlled Randomized Discontinuation Study Followed by an Open-Label Extension Period to Evaluate the Efficacy and Safety of Amifampridine Phosphate (3,4- Diaminopyridine Phosphate) in Patients with Lambert-Eaton Myasthenic Syndrome (LEMS)               | CATALYST<br>PHARMACEUTI<br>CAL<br>PARTNERS,<br>INC.          | Industry |                 | 9/1/15  | 8/31/17 | -       | -       | -       | -       | -       | -       |

| Ubogu,<br>Eroboghene<br>E. | Teriflunomide as a Disease<br>Modifying Anti-Inflammatory<br>Therapy for a Severe Animal<br>Model of Chronic Inflammatory<br>Demyelinating Polyneuropathy | Genzyme<br>Corporation  | Industry                            |                 | 6/23/17 | 12/22/19 | 252,918 | 118,871 | 371,789 | 379,378   | 178,307 | 557,685   |
|----------------------------|---|---|-------------------------------------|-----------------|---------|----------|---------|---------|---------|-----------|---------|-----------|
| Ubogu,<br>Eroboghene<br>E. | A Phase II of Rituximab in<br>Myasthenia Gravis (NN 103)  | MASSACHUSET<br>TS GENERAL<br>HOSPITAL   | Institutio<br>ns                    | NN 103          | 4/21/15 | 6/30/23  | -       | -       | -       | 11,249    | 5,287   | 16,536    |
| Ubogu,<br>Eroboghene<br>E. | UAB MDA CARE CENTER   | Muscular<br>Dystrophy<br>Association  | NP<br>Agency/<br>Assoc              | 492451          | 1/1/17  | 12/31/19 | 20,000  | -       | 20,000  | 40,000    | -       | 40,000    |
| Ubogu,<br>Eroboghene<br>E. | Topiramate as a Disease<br>Altering Therapy for<br>Cryptogenic Sensory<br>Peripheral Neuropalhy (CSPN)  | MASSACHUSET<br>TS GENERAL<br>HOSPITAL   | Institutio<br>ns                    |                 | 9/1/17  | 6/30/23  | -       | -       | -       | 104,042   | 50,460  | 154,502   |
| Visscher,<br>Kristina M    | Visual Brain Core-Research<br>Resources on Visual<br>Dysfunction and Plasticity   | UNIVERSITY OF ALABAMA HEALTH SERVICES FOUNDATION                              | Foundati<br>ons<br>Philanthr<br>opy |                 | 11/1/14 | 10/31/17 | -       | -       | -       | 164,300   | -       | 164,300   |
| Visscher,<br>Kristina M    | Changes in Visual Cortical<br>Connectivity Following Central<br>Visual Field loss   | National Eye<br>Institute/NIH/DH<br>HS  | Federal                             | U01EY0<br>25858 | 5/1/16  | 4/30/20  | 430,878 | 191,478 | 622,356 | 1,149,054 | 518,703 | 1,667,757 |
| Visscher,<br>Kristina M    | McKnight Brain Aging Registry   | University of<br>Miami  | Institutio<br>ns                    |                 | 12/1/16 | 5/31/20  | -       | -       | -       | -         | -       | -         |
| Wadiche,<br>Jacques I.     | Timing of Neurotransmitter<br>Release   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R01NS0<br>65920 | 6/1/14  | 5/31/19  | 218,750 | 102,813 | 321,563 | 1,093,750 | 514,065 | 1,607,815 |
| Wadiche,<br>Jacques I.     | Subcellular Localization of<br>Glutamate Spillover on to<br>Inhibitory Interneurons in the<br>Cerebellar Cortex   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | F32NS11<br>0154 | 12/1/18 | 11/30/20 | 58,654  | -       | 58,654  | 58,654    | -       | 58,654    |
| Wadiche,<br>Linda S.       | Newborn Neurons in the Adult<br>Hippocampal Network   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R01NS0<br>64025 | 4/1/15  | 2/28/19  | 258,717 | 121,596 | 380,313 | 1,034,865 | 486,387 | 1,521,252 |
| Wadiche,<br>Linda S.       | GABAergic Signaling to Adult-<br>born Neurons from<br>Parvalbumin-expressiing<br>Interneurons   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | F31NS09<br>8553 | 8/1/16  | 7/31/18  | -       | -       | -       | 72,420    | -       | 72,420    |
| Wadiche,<br>Linda S.       | Inhibitory Neural Circuits in<br>Dentate Function   | National Institute<br>of Neurological<br>Disorders and<br>Stroke/NIH/DHH<br>S | Federal                             | R01NS1<br>05438 | 6/15/18 | 4/30/23  | 279,707 | 131,257 | 410,964 | 279,707   | 131,257 | 410,964   |

| TOTAL | 23,400,464 | 6,416,955 | 29,817,419 | 86,80<br>8,435 | 25,806,469 | 112,614,904 |
|-------|------------|-----------|------------|----------------|------------|-------------|
|-------|------------|-----------|------------|----------------|------------|-------------|

## MCKNIGHT BRAIN INSTITUTE AT UAB

#### **Cumulative Endowment Total**

Book Value at 9/30/2018: \$12,263,470 Market Value at 9/30/2018: \$13,762,473 Actual Spendable Earnings for FY 2017/18: \$592,488

## Evelyn F. McKnight Brain Institute Endowed Support Fund

Date Approved: 2/4/2011

Book Value at 9/30/2018: \$5,000,000 Market Value at 9/30/2018: \$5,444,472 Actual Spendable Earnings for FY 2017/18: \$245,636

## Evelyn F. McKnight Endowed Chair for Learning and Memory in Aging

Date Approved: 10/1/2004

Current Occupant: Ronald M. Lazar, Ph.D. Occupant Date: 9/15/2017

Book Value at 9/30/2018: \$1,500,000 Market Value at 9/30/2018: \$1,546,850 Actual Spendable Earnings for FY 2017/18: \$69,789

## Geropsychiatry Research Chair

Date Approved: 6/28/1993

**Current Occupant: Vacant** 

Book Value at 9/30/2018: \$1,222,896 Market Value at 9/30/2018: \$2,015,756 Actual Spendable Earnings for FY 2017/18: \$90,944

## F. Cleveland Kinney Endowed Chair in Geriatric Psychiatry

Date Approved: 6/15/2007

Current Occupant: Adrienne C. Lahti, M.D. Occupant Date: 4/6/2018

Book Value at 9/30/2018: \$1,528,337 Market Value at 9/30/2018: \$1,483,138 Actual Spendable Earnings for FY 2017/18: \$38,128\*

## Warren Family Endowed Chair in Neurology

Date Approved: 6/15/2012

Current Occupant: David S. Gelmacher, M.D., FACP Occupant Date: 11/4/2016

Book Value at 9/30/2018: \$1,506,618 Market Value at 9/30/2018: \$1,640,896 Actual Spendable Earnings for FY 2017/18: \$74,032

<sup>\*</sup>When market value is less than principal, a portion of the endowment's earnings will be reinvested, thereby purchasing additional units of the pooled endowment fund and assisting the fund recover to a healthy position. Endowment performance is evaluated on a quarterly basis

## Patsy W. and Charles A. Collat Endowed Professorship in Neuroscience

Date Approved: 4/4/2014

Current Occupant: Erik D. Roberson, M.D., Ph.D. Occupant Date: 11/4/2016

Book Value at 9/30/2018: \$500,000 Market Value at 9/30/2018: \$521,560 Actual Spendable Earnings for FY 2017/18: \$23,531

## Jarman F. Lowder Endowed Professorship in Neuroscience

Date Approved: 6/15/2012

Current Occupant: Lori L. McMahon, Ph.D. Occupant Date: 6/15/2012

Book Value at 9/30/2018: \$505,619 Market Value at 9/30/2018: \$573,716 Actual Spendable Earnings for FY 2017/18: \$25,884

## Virginia B. Spencer Endowed Professorship in Neuroscience

Date Approved: 9/14/2012

Current Occupant: Craig Matlow Powell, M.D., Ph.D. Occupant Date: 6/8/2018

Book Value at 9/30/2018: \$500,000 Market Value at 9/30/2018: \$536,085 Actual Spendable Earnings for FY 2017/18: \$24,544

## MCKNIGHT CHAIR'S REPORT

## McKnight Chair Report

#### 1. Summary of scientific achievements since last report

- Patients with end-stage heart failure, not eligible for heart transplant, can now receive a permanent left ventricular assist device (LVAD) to augment blood flow into the aorta, and then to the rest of the body. We discovered for the first time that prior to LVAD placement, patients (usually older) have impaired cognition, which remains unchanged at 30-days after implantation, but is found to improve significantly by Day 90. Our findings suggest that systemic inflammation from the reperfusion to the brain affecting cognition persists far longer than previously known. (Pavol, M.A., Willey, J.Z., Wei, Y., Yuzefpolskaya, M., Marshall, R.S., Marascalco, P.J., Harwood, J., Lazar, R.M., Does cognition improve following LVAD implantation? General Thoracic and Cardiovascular Surgery, 2018, Aug;66(8):456-463.)
- We studied the long-term patterns of cognitive recovery among cardiac arrest (CA) survivors and explored factors that are associated with the evolution of their cognitive recovery at 1 year relative to their outcomes at hospital discharge, now made possible for the first time because of longer-term survival. To our surprise, there was significant variability in the recovery patterns among patients discharged with mild-to-moderate cerebral dysfunction. An early good outcome at discharge did not necessarily maintain neurological status over 1 year. Variables showing significant associations with a poor recovery pattern (62.5%) in a multivariate model were age more than 70 years, Hispanic ethnicity, and discharge to home, and the need for acute rehabilitation. (Agarwal S, Presciutti A, Roth W, Matthews E, Rodriguez A, Roh DJ, Park S, Claassen J, Lazar RM. Determinants of Long-Term Neurological Recovery Patterns Relative to Hospital Discharge Among Cardiac Arrest Survivors. Crit Care Med. 2018 Feb;46(2):e141-e150.)
- For patients with asymptomatic high-grade carotid stenosis, clinical investigations have focused on preventing cerebral infarction, yet stenosis that reduces cerebral blood flow may independently impair cognition. We studied baseline cognitive function in the first 200 patients randomized in the Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial (CREST-2). Cognition at 49 nation-wide medical centers was assessed via a centralized, telephone-administered test battery. We found that these CREST-2 participants had significantly lower baseline cognitive scores than the general population, even in the absence of frank stroke. (Lazar, RM, Wadley, VG, Marshall, RS, Howard, G, Howard V, Voeks, JH, Yuan, Y, Lal, BK, Meschia, J, Brott, T. Baseline cognitive function among participants in the Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial [CREST-2]), Neurology, Abstr, 2018.

#### 2. Publications in peer reviewed journals

• Lazar, R.M., Pavol, M., Browndyke, J., Bormann, Dwyer, M.G., Kraemer, C., White, R., Zivadinov, R., Wertheimer, J.C., Thöne-Otto, A., Ravdin, L.D., Naugle, R., Mechanic-Hamilton, D., Garmoe.W.S., Stringer. A.Y., Bender, H.A., Kapadia, S.R., Susheel Kodali, S.K., Ghanem, A., Linke, A., Mehran, R., Virmani, R., Nazif, T., Parhizgar, A., Leon, M.B. Neurocognition and Cerebral lesion

burden in High Risk Patients before Undergoing TAVR: Insights from the Sentinel Trial, JACC Cardiovasc Interv. 2018 Feb 26;11(4):384-392PMID: 29397361.

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- Norling, A.M., Marshall, R.S., Pavol, M.A., Howard, H., Howard, V., Liebeskind, D., **Lazar, R.M.** Is Hemispheric Hypoperfusion a Treatable Cause of Cognitive Impairment? Current Cardiology Reports, 2018, in press.
- Gerstenecker, A., **Lazar, R.M.** Language recovery following stroke. The Clinical Neuropsychologist, 2018, in press.

#### 3. Publications (other)

- Dunn, L.E., Willey, J.Z., Lazar, R.M., (2018) Neuroprotection for Mechanical Circulatory Support. In D.L. Reich, S.A. Mayer, S. Uysal (Eds) Neuroprotection in Critical Care and Perioperative Medicine. Oxford: New York, pp 211-223.
- Lazar, R.M., Stroke. Encyclopedia of Clinical Neuropsychology, In Kreutzer, J, DeLuca, J., Caplan, B. (Eds.) Encyclopedia of Clinical Neuropsychology. Volume 4, 2018, New York: Springer, in press.
- Dwyer MG, Lazar RM, Zivadinov R. Reply: Don't Leave the Back Door Open. JACC Cardiovasc Interv. 2018 Jul 23;11(14):1420.

#### 4. Presentations at scientific meetings

• Turan TN, Voeks J, Barrett KM, Brown, Jr RD, Chaturvedi S, Chimowitz M, Demaerschalk B, Em yP, Howard G, Howard VJ, Huston J III, Jones M, Lal BK, Lazar RM, Meschia JF, Moore W, Mo y CS, Roldan AM, Roubin GS, Brott TG for the CREST2 Investigators. Relationship Between Risk Factor Control and Physician Specialty in the CREST2 Trial. International Stoke Conference, 201 8. Stroke. 2018.

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- Meschia JF, Lal BK, Howard G, Roubin G, Brown RD Jr, Barrett KM, Chaturvedi S, Chimowitz M, Demaerschalk BM, Howard VJ, Huston III J, Lazar R, Moore W, Moy C, Turan T, Voeks J, Br ott TG, for the CREST2 Investigators. Carotid Revascularization and Medical Management for As ymptomatic Carotid Stenosis: CREST2 Update. American Academy of Neurology Annual Meetin g, 2018. Neurology. 2018. 26.
- Lazar RM, Wadley VG, Marshall RS, Howard G, Howard VJ, Meschia JF, Voeks JH, Yuan Y, Lal BK, Heck D, Jones M, Brott TG. Baseline cognitive function among participants in the Carotid Re vascularization and Medical Management for Asymptomatic Carotid Stenosis Trial (CREST-2). American Academy of Neurology Annual Meeting, 2018. Neurology. 2018.
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- Howard VJ, Wadley VG, Wolff P, Zhang Y, Knopman D, Lal BK, Meschia JF, Voeks JH, Howard G, Brott TG, Lazar R. Methods, Successes and Lessons Learned from Centralized Cognitive Asse ssment in a Multicenter Clinical Trial of Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis (CREST2). Society for Clinical Trials Annual Meeting, 2018. SC T Journal, 2018.
- Meschia JF, Lal BK, Howard G, Roubin G, Brown RD Jr, Barrett KM, Chaturvedi S, Chimowitz M, Demaerschalk BM, Howard VJ, Huston III J, Lazar R, Moore W, Moy C, Turan T, Voeks J, Br ott TG, for the CRES2 Investigators. Carotid Revascularization and Medical Management for Asy mptomatic Carotid Stenosis: CREST2 Update. American Neurological Association Annual Meetin g, 2018.
- Heart Brain Symposium 2018. Cognition and the Heart. Aortic Stenosis, TAVR and Cognition: Imaging and Clinical Findings. Chicago, IL
- UAB Stroke Symposium 2018. Pharmacology and Stroke Recovery, Birmingham, AL.
- Arizona Stroke Conference 2018. Cognition and Silent Brain Infarction. Phoenix, AZ
- Aortic Stenosis, TAVR and Cognition. Division of Gerontology, Geriatrics, and Palliative Care Conference, February, 2018.

#### 5. Presentations at public (non-scientific) meetings or events

Aphasia and Stroke, Neuroscience Café, Mountain Brook Library, Mount4/19/2018

#### 6. Awards (other)

#### 7. CV

See Appendix D

#### 8. Trainees

- a. Post doctoral
   Benjamin Jones, MD
   Stephen Benesh, MD
- b. Pre-doctoralAmani Norling, MAAlexandra Jacob, BA
- c. Other
  Adam Gerstenecker, PhD
  Lin Chen, MD
  Ekaterina Bakradze, MD
  Andrew MacDonald, MD
  Thomas Buford, PhD

### 9. Clinical/translational programs

a. New programs

#### <u>U24NS107223 (Gropen, Lazar, Harrigan)</u>

#### NIH/NINDS StrokeBelt StrokeNet

The goal of the newly-funded (August 2018) StrokeBelt StrokeNet is to establish a Regional Coordinating Center to facilitate Stroke research in the Southeastern States of Alabama and Mississippi. This infrastructure will provide research opportunities in acute stroke treatment, primary and secondary prevention, and post-stroke rehabilitation for an underserved, high-risk stroke population.

# R01 AG057709-01 (Pl: Gutierrez, Columbia: Role: Co-I)) NIH/NINDS Genetic Contribution to Brain Arterial Dilatation and its Role in Cognition and Dementia

The goal of this new project is to study the role of gene regulation in the dilatation of intracerebral arteries in response to systemic cardiovascular risk factors and its contribution to the onset of cognitive decline.

#### • Cerebral Oxygen Perfusion and Exercise in Aging.

**UAB McKnight Funds**. Increasing age is highly associated with alterations in the cardiovascular and cerebrovascular systems. A weakened capacity of the cerebral arteries to expand and contract in response to changes in cerebral blood pressure during the cardiac cycle has been linked with compromised cardiovascular function and elevated risks of high blood pressure, stroke, heart attack and congestive heart failure. Studying

women over 65 years old, we are determining if there is a positive association between increased levels of fitness and enhanced cognitive function. We propose that improved cognition is facilitated by a biochemical cascade of events that begins with an increase in cerebral flood flow and oxygen extraction, and vascular inflammation, followed by increased growth factors and angiogenesis and neurogenesis, ultimately leading to improved cognition.

#### • Neuroinflammation after Myocardial Infarction

**UAB Impact Funds.** The association between cardiovascular disease and cognitive impairment has been known since the 1970's, leading to the search for the underlying cause for brain dysfunction. We and others have shown that coronary artery bypass grafting (CABG), congestive heart failure and associated heart transplant and mechanical device support, abnormal heart rhythms, valve disease and repair/replacement, and carotid artery blockage and corrective surgery affect cognition, especially among older patients. Recent animal models have shown that a temporary blockage of a coronary artery and removing the blockage causes inflammation in the brain in specific regions, with alterations on memory tasks. It is now possible to measure brain inflammation in patients using novel methods of brain imaging. The purpose of this study is to determine whether patients who recently experienced a heart attack and treated with a stent have an inflammatory response in their brains, which affects their cognitive function, and whether the inflammatory effects last over time.

#### • Cognitive and Surgical Predictors of Post-Surgical Delirium in the Elderly

**UAB McKnight Funds.** Elderly patients are uniquely susceptible to post-surgical delirium detected during post-procedural care. Moreover, the cognitive changes appearing during hospitalization often persist for months and sometimes never resolve, and appear unrelated to any underlying dementia. In this unique collaboration among specialists from Neuropsychology; Gerontology, Geriatrics and Palliative Care; Anesthesiology; Orthopedic Surgery; and Nursing, we have just begun designing a protocol to examine the relationships between pre-surgical cognition and other medical and demographic factors, with the long-term goals of establishing a delirium risk model and formulating preventive strategies.

#### b. Update on existing clinical studies

#### • 1 R01 NS076277-01A1 (Lazar/Marshall)

NIH/NIND. Blood Flow and Cognition in Asymptomatic Carotid Artery Disease. This project studies the relationship of four measures of cerebral hemodynamics and cognitive function in patients with asymptomatic carotid artery disease. We completed enrollment for both patients and controls. Having published several papers on the effects of hemodynamic compromise on brain structure, we are now examining the relationship between these hemodynamic factors and cognition in elderly patients (mean age = 76). Collaboration is between UAB and Columbia.

#### • 1 U01 NS080168-01A1 (PI: Brott; Cognitive Core PI: Lazar)

#### NIH/NINDS CREST-2 Clinical Coordinating Center.

The goal of this project is to assess if contemporary medical therapy is not inferior to contemporary revascularization (carotid endarterectomy or carotid angioplasty/stenting)

plus best medical therapy in patients with  $\geq$  70% asymptomatic carotid stenosis. The cognitive substudy is to assess whether medical therapy alone is non-inferior to revascularization to maintain the level of cognitive function at 4 years of follow-up. We reported at the 2018 meeting of the American Academic of Neurology the cognitive profile of the first 200 randomized patients, demonstrating cognitive decline in the absence of stroke. Collaboration is among UAB, Columbia, Mayo Clinic and UMaryland.

#### • 1R21NS096972-01A1(Lazar/Kodali)

# NIH/NINDS/NIA Cerebral Hemodynamics and Neurocognition in Aortic Valve Disease.

The goal of this project is to determine whether severe aortic stenosis is associated with impaired cerebral hemodynamics and, in turn, impaired cognition, and whether valve replacement is associated with improved cerebral hemodynamics and improved cognition. This grant was successfully transferred to UAB, and enrollment was resumed in September 2017. We have enrolled 30 patients. Collaboration is between UAB and Columbia.

## R01NS097876 (Lazar, Marshall, Liebeskind, Connolly) NIH/NINDS Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial - Hemodynamics

The purpose of this project is to determine whether there is a subset of patients with carotid stenosis who have MRI-detected cerebral hemodynamic compromise and associated cognitive decline, and whether revascularization will be associated with improved hemodynamics and improved cognition. This new grant was funded just as Dr. Lazar arrived at UAB, and clinical site training has taken place for 150 investigators and coordinators across the US. The first enrollment took place in January 2018, and we now have enrolled 35 patients. (Collaboration is among UAB, Columbia and UCLA).

#### 10. Technology transfer

- a. Patents applications None
- b. Revenue generated from technology N/A

#### 11. Budget update

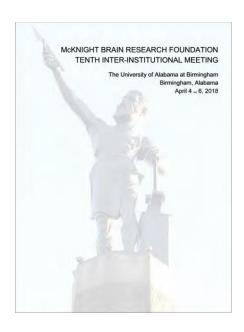
A full financial report is included in the Finance Section.

#### 12. Educational programs focusing on age related memory loss

a. Scientific -

The UAB Evelyn F. McKnight Brain Institute and Dr. Lazar (Chair) hosted the **Tenth McKnight Inter-Institutional** Meeting in Birmingham on April 4 – 6, 2018 (Appendix C).
 The overarching theme was clinical translation of pre-clinical science toward the overall goal of promoting memory and cognitive resilience in aging.

<u>Pre-Meeting</u>: To expand and make more accountable, we structured the pre-meeting into two, 2-hour sessions. There was an organizer for every session, giving roughly equal representation across the four EMBI sites. The organizers held a conference call with attendees in advance of April 4 so that an agenda and goals could be formulated. Every attendee was able to participate in at least two group meetings. At the end of each session, the organizer facilitated the listing the action items. At the end of the main meeting on Friday, Apr



6, an hour was devoted for all of the 10 organizers to spend 5 minutes each outlining the nature of their group meeting sessions and the action items for follow-up. The topics, reflecting in large part the recent position papers by the National Academies and the American Heart Association/American Stroke Association, included perirhinal cortex, vascular risk factors, Inflammation, Exercise, MBAR, stress, cerebral blood flow, epigenetics, sleep and cognitive training. Fifty-four faculty from the four EMBI's attended.



Main Meeting: There were four sessions across two days: "Intervention" moderated by Carol Barnes, "McKnight Brain Aging Registry (MBAR)" moderated by Tatjana Rundek, "New Faculty" moderated by Ronald Cohen, and "Data Blitz: Trends in Neuroscience" moderated by Erik Roberson. We were extremely pleased to have the April 5 lunchtime Keynote Address, entitled "Epigenetic Clock Analysis of Cognitive Aging," delivered by Steve Horvath, PhD, Professor of Human Genetics and Biostatistics at the David Geffen School of Medicine at UCLA. The dinner Keynote Address that night,

entitled "Bears, Bile and the Brain: Towards New Cures for Alzheimer's Disease," was delivered at Vulcan Park by Madhav Thambisetty, MD, PhD, MBRF Board member and Investigator and Chief, Unit of Clinical and Translational Neuroscience, National Institute of Aging.

• "Scientific Updates" seminar was held on December 6, 2018 (Appendix B) allowing guests from across the UAB campus the opportunity to see the work currently being done at the UAB McKnight Institute.

b. Public - None

#### 13. Collaborative programs with other McKnight Institutes, institutions and research programs.

As a result of a discussion during the Tenth Annual McKnight Institutional Meeting, Dr. Lazar and Drs. Lee Ryan and Meredith Hay from the **Univ of Arizona** EMBI submitted an NIH grant application to the National Institutes of Aging, entitled "Safety and Efficacy of Angiotensin -(1-7) on Cognitive Impairment in Heart Failure Patients At-Risk for Alzheimer's Disease." This project is a late Phase

1/Early Phase 2 randomized controlled trial to determine if a novel drug developed in Dr. Hay's laboratory is safe and has an early indication of efficacy in the protection against neuroinflammatory-related changes in cognition among heart-failure patients with reduced ejection fraction. This application, submitted in November 2018, will be reviewed in February 2019.

#### 14. Collaborative program with non-McKnight Institutes, institutions and research programs.

- Dr. Lazar and Dr. Maarten Lansberg from the **Stanford University School of Medicine** submitted a Multi-PI NIH grant application in June 2018 that is an ancillary study to the ARCADIA trial, which is determining whether aspirin or apixaban (a novel anticoagulant) is superior in secondary stroke prevention among patients with atrial cardiopathy. The purpose of this ancillary is to ascertain whether aspirin or apixaban reduces the number of silent brain infarcts in this patient cohort, with the concomitant effect of mitigating cognitive decline. Five-hundred patients will be studied across 100 hospital in the US. This application underwent Study Section review on 11/5/2018 and received an excellent impact score, and it is expected to be brought to NINDS Council in January 2019.
- Grants/Contracts (2018-present)

#### **ACTIVE**

 U24NS107223 (Gropen, Lazar, Harrigan) 09/01/2018 – 08/31/2023 NIH/NINDS StrokeBelt StrokeNet

The goal of the StrokeBelt StrokeNet is to establish a Regional Coordinating Center to facilitate Stroke research in the Southeastern States of Alabama and Mississippi. This infrastructure will provide research opportunities in acute stroke treatment, primary and secondary prevention, and post-stroke rehabilitation for an underserved, high-risk stroke population.

○ 1 U01 NS080168-01A1 (Brott) 1/1/2014 - 12/31/2021

hemodynamics and improved cognition.

NIH/NINDS CREST-2 Clinical Coordinating Center.

The goal of this project is to assess if contemporary medical therapy is not inferior to contemporary revascularization (carotid endarterectomy or carotid angioplasty/stenting) plus best medical therapy in patients with  $\geq 70\%$  asymptomatic carotid stenosis. The cognitive aim is to assess whether medical therapy alone is non-inferior to revascularization to maintain the level of cognitive function at 4 years of follow-up. Role: Co-I and Cognitive Core Leader.

- NIH/NINDS Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial Hemodynamics

  The purpose of this project is to determine whether there is a subset of patients with carotid stenosis who have MRI-detected cerebral hemodynamic compromise and associated cognitive decline, and whether revascularization will be associated with improved
- AMC21 Multi-PI Pilot Grant, UAB School of Medicine (MPI:C Brown, Corresponding PI; Lazar, Co-PI) Prevention of and Recovery from Hospital-Associated Disability. (1/20/2018 – 1/19/2020)

Pilot funding in preparation for 2019 submission for an NIA Claude D Pepper Older Americans Independence Center

1R21NS096972-01A1 (Lazar/Kodali) 8/1/2016 – 3/31/2018
 NIH/NINDS Cerebral Hemodynamics and Neurocognition in Severe Aortic Valve Disease.

The goal of this project is to determine whether severe aortic stenosis is associated with impaired cerebral hemodynamics and, in turn, impaired cognition, and whether valve replacement is associated with improved cerebral hemodynamics and improved cognition.

o R01 AG057709-01 (Pl Gutierrez)

NIH/NINDS Genetic Contribution to Brain Arterial Dilatation and its Role in Cognition and Dementia

The goal of this project is to study the role of gene regulation in the dilatation of intracerebral arteries in response to systemic cardiovascular risk factors. Role: Co-I (neurocognitive outcomes).

#### o **PENDING**

- 1U01NS110728-01 (Lazar/Lansberg) 04/01/2019 03/31/2024 NIH/NINDS ARCADIA CSI (Cognition and Silent Infarcts) This ancillary study to the ARCADIA trial will determine whether aspirin or apixaban reduces the number of silent brain infarcts in patients with atrial cardiomyopathy, with the concomitant effect of mitigating cognitive decline.
- 1R01AG059697-01A1 (Ryan/Lazar/Sweitzer) 07/01/2019 06/31/2024
   NIH/NIA Safety and Efficacy of Angiotensin-(1-7) on Cognitive Impairment in Heart
   Failure Patients At-Risk for Alzheimer's Disease.

   This project is a late phase1/early phase 2 clinical trial to determine if this drug is safe and
   has an early indication of efficacy in the protection against neuroinflammation among
   heart-failure patients with reduced ejection fraction.

#### 15. Briefly describe plans for future research and/or clinical initiatives

The future of the Evelyn F. McKnight Brain Institute is bright as new focus has begun with innovative research projects and clinical initiatives. There's a pilot project planned to study a cohort of 35- to 50-year-old patients with refractory hypertension as a model of premature vascular aging and cognitive decline. A new collaboration has been established with the mechanical circulatory team in the Heart Failure Service in the Division of Cardiovascular Medicine to study the long-term cognitive effects of artificial pumps on the brain's vascular system.

## LISTING OF INVESTIGATORS

## **Listing of Investigators**

#### **Professors**

Ronald M. Lazar, PhD, FAHA, FAAN

Professor, Department of Neurology

Evelyn F. McKnight Endowed Chair for Learning and Memory in Aging

Director, McKnight Brain Research Institute

Director, Division of Neuropsychology (Neurology)

<u>Area of Interest</u>: Cognitive Resilience and Recovery in Aging, Cerebral hemodynamics, Neurovascular Disease.

Steve Austad, PhD

Professor and Chair, Department of Biology

Area of Interest: Molecular and organismal biology of aging

Karlene Ball, PhD

Professor and Chair, Department of Psychology

Area of Interest: Aging-related cognitive function

Etty (Tika) Benveniste, PhD

Senior Associate Dean for Research Administration, SOM

Associate Vice President for Medicine and Basic Sciences

Charlene A. Jones Endowed Chair in Neuroimmunology

Professor, Department of Cell, Developmental and Integrative Biology

Co-Director, UAB Multiple Sclerosis Center

Associate Director, Basic Science Research • Comprehensive Cancer Center

Virginia Wadley Bradley, PhD

Associate Professor, Division of Gerontology, Geriatrics, and Palliative Care

Director, Dementia Care Research Program

Associate Director, Edward R. Roybal Center for Translational Research on Aging and Mobility

Area of Interest: Mild Cognitive Impairment, Alzheimer's disease, comorbid cerebrovascular disease

Michael Brenner, PhD

Professor Emeritus, Department of Neurobiology

Area of Interest: Glial cell biology, Alexander Disease

Cynthia J. Brown, MD, MSPH

Professor

Director, Division of Gerontology, Geriatrics and Palliative Care

Comprehensive Center for Healthy Aging

Area of Interest: quality of life for the aging through research, education and clinical care

Lynn Dobrunz, PhD

Professor, Department of Neurobiology

Area of Interest: Regulation of short-term synaptic plasticity in the hippocampus

Lloyd J. Edwards, PhD

Professor and Chair

Department of Biostatistics, School of Public Health

<u>Area of Interest:</u> Conducting statistical research in linear and generalized linear mixed model methodology, longitudinal data analysis, health disparities, cardiovascular disease, neuroscience, and clinical trials design and analysis

Paul Gamlin, PhD

Professor, Department of Ophthalmology

Area of Interest: Cell biology and systems neuroscience of vision and visual disorders

David Geldmacher, MD

Professor, Collat Scholar, Department of Neurology

Area of Interest: Aging-related memory disorders and visual cognition in AD.

John Hablitz, PhD

**Professor** 

Interim Chair, Department of Neurobiology

Area of Interest: Modulation of excitability in neocortical circuits

Adrianne Lahti, MD

Patrick H. Linton Professor

Director, Division of Behavioral Neurobiology

Co-director, Alabama Advanced Imaging Consortium

Area of Interest: Neuroimaging

Seth Landefeld, MD

Professor and Chair

Department of Medicine

Area of Interest: Geriatrics and Health Care Research

Robin Lester, PhD

Professor, Department of Neurobiology

Area of Interest: Nicotinic receptors in CNS function

Dan Marson, JD, PhD

Professor Emeritus, Department of Neurology

Area of Interest: Neuropsychology

Lori McMahon, PhD

Professor and Dean, Graduate School

Professor, Department of

Physiology/Biophysics Director, UAB

Comprehensive Neuroscience Center

Area of Interest: Hormonal control of synaptic plasticity in aging

James H. Meador-Woodruff, MD

Professor and Chair, Department of Psychiatry and Behavioral Neurobiology

Area of Interest: Cellular alterations of neural circuitry and molecular expression in psych

Vlad Parpura, MD, PhD

Professor, Department of Neurobiology

Area of Interest: Imaging approaches to investigating synaptic and glial cell function

Craig Powell, MD, PhD

Professor and Chair, Department of Neurobiology

Area of Interest: Autism

Lucas Pozzo-Miller, PhD

Professor, Department of Neurobiology

Area of Interest: Mechanisms controlling dendritic spine morphology

Sumanth D. Prabhu, MD

Mary G. Waters Chair of Cardiovascular Medicine

Professor of Medicine and Cell, Developmental, and Integrative Biology

Area of Interest: cardiovascular disease

Michael Saag, MD

Division of Infectious Diseases

Director, The William C. Gorgas Center for Geographic Medicine

Director, Center for AIDS Research

Areas of Interest: Infectious Diseases, HIV/AIDS, Blood Equality, Hepatitis, Antiretroviral Therapy

David Standaert, MD, PhD

John N. Whitaker Professor and Chair of Neurology

Area of Interest: Aging, Neurodegeneration, and Translational Neuroscience

Victor J. Thannickal, MD

Professor and Chair of Medicine in Respiratory Disease, Division of Pulmonary, Critical Care

Area of Interest: Fibrotic lung diseases, acute lung injury

Anne Theibert, PhD

Professor, Department of Neurobiology

Director, UAB Undergraduate Neuroscience B.S. Program

Area of Interest: PI-3-Kinase signal transduction in neuronal cell biology

Erobo Ubogu, PhD

Professor, Department of Neurology

Director of the Neuromuscular Division of Neurology

<u>Area of Interest:</u> Inflammatory neuropathies

#### **Associate Professors**

Mark Bolding, PhD

Associate Professor, Division of Advanced Medical Imaging Research

Area of Interest: Visual cognition, MRI, and neuroimaging

Thomas Buford, PhD, FACSM, FAHA

Associate Professor, Division of Gerontology, Geriatrics & Palliative Care

Area of Interest: Exercise medicine

Christy Carter, PhD

Associate Professor, Division of Gerontology, Geriatrics & Palliative Care

Area of Interest: Exercise medicine

Matt Goldberg, PhD (Recruited from UT

Southwestern) Associate Professor, Neurology

Area of Interest: Mechanisms of neurodegeneration

Michelle Gray, PhD

Associate Professor, Dixon Scholar, Department of Neurology

Area of Interest: Neurogenetics, glial function, and Huntington's disease

Alecia Gross, PhD

Associate Professor, Department of Vision Sciences

Area of Interest: Signal transduction mechanisms in the CNS

Richard E. Kennedy, MD, PhD, FAPM

Associate Professor, Department of Gerontology, Geriatrics & Palliative Care

Area of Interest: aging

David Knight, PhD

Associate Professor, Department of Psychology

Area of Interest: Human imaging approached to investigating memory

Farah Lubin, PhD

Associate Professor, Department of Neurobiology

Area of Interest: Signal transduction mechanisms in memory and memory disorders

Roy C. Martin, PhD

Associate Professor, Department of Neurology

Area of Interest: Neuropsychology

Kazu Nakazawa, PhD

Associate Professor, Department of Psychiatry

Area of Interest: Epigenetics and cognition

Linda Overstreet-Wadiche, PhD

Associate Professor, Department of Neurobiology

Area of Interest: Adult neurogenesis in the dentate gyrus

Erik Roberson, MD, PhD

Associate Professor, Department of Neurology

Patsy W. and Charles A. Collat Professor of Neuroscience

Director, Alzheimer's Disease Center

Co-Director, UAB Center for Neurodegeneration and Experimental Therapeutics

Associate Director, Evelyn F. McKnight Brain Institute

Area of Interest: Aging-related memory disorders

Kristen Triebel, PsyD Assistant Professor, Department of Neurology Area of Interest: Neuropsychology

Kristina Visscher, PhD
Assistant Professor, Department of Neurobiology
Co-director, Civitan International Neuroimaging Laboratory
Area of Interest: Human imaging approaches to investigating memory.

Jacques Wadiche, PhD
Associate Professor, Department of Neurobiology
<u>Area of Interest:</u> Synaptic plasticity and function in the cerebellum

Scott Wilson, PhD
Associate Professor, Department of Neurobiology
Area of Interest: The ubiquitin/proteasome system in neuronal function

#### Assistant Professors

Amy Amara, MD, PhD Assistant Professor

Area of Interest: Sleep disorders, movement disorders

Jeremy Day, PhD
Assistant Professor, Department of Neurobiology
Area of Interest: Epigenetic mechanisms in memory formation.

Tanja Dudenbostel, MD Assistant Professor, Department of Medicine, Cardiovascular Disease Area of Interest: Cardiovascular disease

Cristin Gavin, PhD
Assistant Professor, Department of Neurobiology
Co-director, Undergraduate Neuroscience Program
Co-director, Post baccalaureate Research Education Program
Area of Interest: Cellular and molecular mechanisms of structural and functional plasticity

Adam Gerstenecker, PhD

Assistant Professor, Department of Neurology

<u>Area of Interest</u>: Functional activity, decisional capacity, and cognition in persons with cognitive impairment and dementia.

Jeremy Herskowitz, PhD
Assistant Professor, Department of Neurology
<u>Area of Interest:</u> Amyloid beta effects on neurons.

Gwen King, PhD
Assistant Professor, Department of Neurobiology
<u>Area of Interest:</u> Memory and aging, Klotho proteins in aging and cognition

Scott Phillips, PhD Assistant Professor, Department of Neurobiology <u>Area of Interest:</u> Neurogenetics, neurobiochemistry

Mohammad S. Sarraf, MD Assistant Professor, Division of Cardiovascular Disease <u>Area of Interest</u>: Cardiovascular disease

# INDIVIDUAL INVESTIGATORS' REPORTS

# **Individual Investigators' Reports**

### 1. Summary of Scientific Achievements

### Amar, Amy

- 1. Completion of randomized, controlled study investigating effects of high-intensity exercise on sleep, vigilance, resting state functional connectivity, and cognition in patients with Parkinson's disease (PD).
- 2. Submission of manuscript showing relationship between slow wave sleep and cognition in PD.
- 3. Acceptance of manuscript showing the relationship between physical activity and disease progression in PD, in collaboration with the Parkinson's Progression Markers Initiative.
- 4. Presented oral presentation at ANA annual meeting
- 5. Completion of study procedures in an investigation of the effects of speed of processing training on pedestrian safety in PD and healthy controls.

#### Austad, Steven

Since the last report, my laboratory has initiated a study in mice of the mechanisms involving sex differences in responsiveness to senescence-retarding drugs such as  $17\alpha$ -estradiol, acarbose, and rapamycin using a novel mouse model which allows separation of chromosomal from gonadal sex. We have also been evaluating the metabolome of companion dogs to try to learn what metabolic pathways are involved in the relative rapid physical, sensory, and cognitive aging of large dogs relative to small dogs. We have also been working on a comparative analysis of the proteomes of a large selection of fibroblasts from diverse bird species, again, seeking to understand the metabolic pathways involved in slow vs fast aging.

#### Ball, Karlene

Just completed the Roybal Center competing renewal which includes a progress report (summary of achievements) and highlights our translational programs, resources of the Center, ongoing grants (including new ones) in part supported by the Center and recent successes with technology transfer.

### Benveniste, Tika

Continued work on the role of neuroinflammation in Parkinson's Disease (PD), Multiple Sclerosis (MS) and Brain Tumors. Assessing the role of T-cells, monocytes/microglia, B-cells and astrocytes in pre-clinical models of these diseases as well as evaluation of peripheral blood from patients.

#### **Bolding**, Mark

- 1. Non-invasive delivery of viruses and of nanoparticle scintillators to hippocampus and motor cortex of the brain in a murine model. Both viruses and nanoparticles were injected IV and localized delivery was induced with focused ultrasound. Delivery of viruses was confirmed by GFP expression. Nanoparticle delivery was confirmed with PET and MRI. Histology confirmed that delivery did not cause damage to the tissue.
- 2. Started using c. elegans to investigate the use of x-rays for optogenetics. Initial results suggest this may be possible.

#### Day, Jeremy

- 1. Engineered CRISPR/dCas9 tools that allow robust and modular regulation of gene expression profiles across brain regions and cell types of rodent model systems. We have used this system to alter levels of Brain-derived neurotrophic factor (BDNF), a key signaling protein linked to learning and memory
- 2. Obtained new R01 funding for our work on understanding non-coding RNAs that arise from enhancer regions of the genome.

3. Began collaborations with Roberson and Herskowitz labs to use high-throughput multielectrode array recordings to identify neuronal alterations following amyloid beta treatment.

### Dobrunz, Lynn

- 1. My lab has shown differences in short-term plasticity at excitatory inputs onto inhibitory interneurons and pyramidal cells in hippocampus during physiologically relevant stimulus patterns that contributes to dynamic regulation of the excitation/inhibition balance in hippocampus. In addition, we used mathematical modeling to show that this is primarily caused by target cell specific differences in the initial release probability per vesicle at different synapses.
- 2. My lab has shown that chronic overexpression of NPY does not reduce baseline anxiety-like behavior in mice, but instead causes downregulation of NPY receptors. Because increasing NPY has been proposed as a treatment or preventative for anxiety and PTSD, our result suggest that long-term increases in NPY may not be effective.
- 3. My lab has shown that specific radioluminescent nanoparticles that have been proposed for noninvasive optogenetics are not toxic to neurons, but have effects themselves to modulate the activity of excitatory and inhibitory synaptic transmission. As a result, other materials are being investigated to potentially reduce these effects.

### **Dudenbostel**, Tanja

Identification of a phenotype of young adults with premature hypertension and premature cardiovascular morbidity and mortality including stroke, coronary artery disease, heart failure and kidney disease. Early vascular aging in these individuals has been identified by my laboratory as main driver of premature cardiovascular disease.

### Edwards, Lloyd

Dr. Eddy Kwessi begins 1-year sabbatical in Department of Biostatistics with concentration in biostatistical and computational neuroscience.

### Gamlin, Paul

- 1. We were able to show somatic gene editing of guanylate cyclase 2D, retinal (GUCY2D) in macaque photorececeptors using subretinally-delivered AAV-CRISPR/Cas9 (Adeno-associated virus -Clustered Regularly Interspaced Short Palindromic Repeats/ CRISPR associated protein 9).
- 2. We further investigated the neural substrates for the control of eye movements in non-human primates.
- 3. We investigated the roles of intrinsically-photosensitive retinal ganglion cells in pupillary and circadian responses.

#### Gerstenecker, Adam

- 1. Awarded NIH K23 mentored research grant.
- 2. Became lead neuropsychologist for Alabama Udall Center.
- 3. Served on two internal grant review panels.
- 4. Continued to publish in peer-reviewed journals.
- 5. Continued to conduct peer review for academic journals.

### Goldberg, Matthew

A major research focus of the Goldberg laboratory is the role of mitochondrial dysfunction in aging and age-dependent neurodegenerative disease, particularly loss-of-function mutations in the mitochondrial kinase, PINK1, linked to early onset Parkinson's disease. With funding from three new research grants since the last report, we have identified the mechanism of age-dependent axon terminal degeneration in PINK1 deficient rats, identified age-dependent electrophysiological abnormalities in the striatum of PINK1 deficient rats, and begun to analyze mitochondrial function and dysfunction caused by alpha-synuclein protein inclusions in the brains of mice.

### Gray, Michelle

1. We published our work on the contribution of the mutated huntingtin gene in astrocytes to the progression of Huntington's Disease (HD) pathology.

2. We previously identified significant changes in heart rhythms in HD mouse models. Some of these changes have been observed by others in other mouse models of HD. We wanted to determine if similar changes were observed in HD patients seen at our clinic at UAB. We initiated an observational study of Huntington's Disease patients to assess heart rhythm using 48-hour Holter monitoring. To date, we have enrolled 10 patients.

### Gross, Alecia

We have uncovered the molecular mechanisms of disk formation in rod and cone photoreceptors and have made strides in understanding the role of key ciliopathic proteins in the transition zone of primary cilia in disease.

#### Hablitz, John

We have been investigating developmental changes in modulation of inhibitory interneurons in neocortical layer 1 (L1). L1 interneurons are critical for proper cortical development and cortical layering. We identified three physiologically discrete IN populations which were classified as regular spiking (RS), burst accommodating (BA) and non-accommodating (NA). A distinct developmental pattern of excitability modulation by HCN channels was observed for each group. RS and NA cells displayed distinct morphologies with modulation of EPSPs increasing in RS cells and decreasing in NA cells across development. The results indicate a possible role of HCN channels in the formation and maintenance of cortical circuits through alteration of the excitability of distinct L1 Ins.

### Herskowitz, Jeremy

Published 3 papers and received another NIH R01 grant.

### Kennedy, Richard

Since the last report, we are continuing to expand our research on the identification and management of delirium occurring in hospitalized older adults. We are also continuing our research on novel clinical trial designs and biomarkers in Alzheimer's disease.

#### King, Gwendolyn

We published a paper showing that klotho is important for postnatal neurogenesis. Some assume that all klotho effects are the result of FGF23 signaling. Thus, we examined the brain of the FGF23-deficient mouse and report that klotho functions independent of FGF23 to effect neurogenesis in a paper just submitted.

We discovered that klotho-deficiency decreases the threshold to seizure induction in mice and are working to understand what occurs in neurons to allow this.

We conducted RNA-seq analysis on our conditional klotho-deficient mice missing klotho from only the choroid plexus. We compared hippocampus and choroid plexus from these mice and have discovered that klotho-deficiency increases immune related signaling in both locations.

### Lahti, Adrianne

- 1. Awarded a second R01
- 2. Named F. Cleveland Kinney Endowed Professor
- 3. Named Vice Chair for Research Training and Faculty Development
- 4. Published 9 papers (7 in press) and one book chapter (in press)
- 5. Six oral presentations at national and international meetings

### Landefeld, Seth

Dr. Seth Landefeld leads the Department of Medicine in growing high impact research at UAB. Accomplishments this year include the growth of Department of Medicine NIH funding from \$84 million dollars to \$87 million dollars and the publication of over ten high impact discoveries in the New England Journal of Medicine, Circulation, and Nature journals.

#### Lubin, Farah

1. This year we held our **Fourth** annual NEURAL (<u>National Enhancement of UnderRepresented Academic <u>Leaders</u>) conference at UAB. We had ~35 non-UAB underrepresented minority (URM) neuroscience graduate students join us from across the country and ~75 UAB students including neuroscience graduate and undergraduate students, PREP students, and SPIN students. This year we</u>

included URM junior faculty (2) that were paired with our keynote speakers for the opportunity to be mentored by senior scientists.

- 2. I continue to present my research both at national and international meetings. This includes being invited as a Merritt Putnam Symposium Plenary speaker at the annual American Epilepsy Society (AES) annual meeting.
- **3.** I continue to pursue additional research funding for my research program through submission of grant applications. This year I secured an NIH R56 MH097909 grant and a McKnight Foundation grant award.

### McMahon, Lori

- 1. Published novel research on rapid antidepressant effects of ketamine
- 2. Published the first synaptic physiology study showing prodromal dysfunction in a novel rat model of Alzheimer's disease that recapitulates human disease more faithfully than any rodent model to date.

#### Marson, Daniel

- 1. Co-authorship on key MCI guideline publication for the field of Neurology
- 2. Creation of alternative form of FCI-SF financial cognition assessment measure
- 3. Creation of UK version of FCI-SF financial cognition assessment measure
- 4. Other peer reviewed publications and scientific and non-scientific presentations

### Martin, Roy

Participation on grant projects:

- 1. NSF EPSCoR grant (UAB Site PI: Jerzy Szaflarski) RII Track-2 FEC: Probing and Understanding the Brain: Micro and Macro Dynamics of Seizure and Memory Networks," awarded to Louisiana Tech University to the National Science Foundation EPSCoR's Research Infrastructure Improvement Track-2 solicitation.
- 2. NIH grant "noninvasive biomarkers to advance emerging DBS electrode technologies in Parkinson's disease" (PI: Harrison Walker).
- 3. NIA R01 AG059009 grant (M Weiner: PI; UAB Site PI: Erik Roberson) Validation of Online Measures to Predict and Monitor Cognitive Decline.

#### Meador-Woodruff, James

We have long argued that given the early onset of memory impairment in patients with schizophrenia, that this illness may be a useful model of cognitive aging in non-psychiatric populations, and that insights learned from defining the pathophysiology of this illness may prove useful to identify novel targets for the treatment of age related memory decline. During 2018, we have added to our body of work examining neurochemical abnormalities in postmortem brain of elderly subjects that had suffered from schizophrenia while living, including discovering abnormalities of the post translational modification of protein glycosylation, as well as evidence for protein processing abnormalities in the endoplasmic reticulum and Golgi apparatus in the brain in schizophrenia.

#### Parpura, Vladimir

Astrocytes play roles in health and disease. Since astrocytes release glutamate and can respond to stimulation by glutamate with Ca<sup>2+</sup> increases, they may contribute to the pathology of Alzheimer's disease. We continue a collaborative effort with the Zorec laboratory to begin studying astrocytic contributions to this disease.

We are making efforts related to various tissue organs pathologies/injuries by developing scaffolds and dispersible materials, most notably modified colloidal solutes and films of carbon nanotubes and exfoliate graphene. In a collaborative effort with the Milasin laboratory, graphene dispersion water-soluble single walled carbon nanotubes (ws-SWCNT) both had neuro-stimulatory effects of variable degree on stem cells from apical papilla, as judged by the production of neural marker.

#### Powell, Craig

The Powell Laboratory continues its investigations into cognitive function in the mammalian brain and cognitive dysfunction in brain disorders such as Alzheimer's Disease, Intellectual Disability, and Autism.

We have made significant progress advancing our understanding Alzheimer's Disease (AD) that will contribute to its treatment and prevention.

#### Pozzo-Miller, Lucas

- 1. Demonstration that homeostatic synaptic plasticity is impaired in *Mecp2* knockout neurons due to lower levels of EEA1, an endosomal protein involved in synaptic AMPAR recycling. Increasing EEA1 levels in *Mecp2* KO neurons restores homeostatic synaptic plasticity. Published in *Journal of Physiology (London)*, with an accompanying Perspective commentary.
- 2. Demonstration that a BDNF mimetic with partial agonist activity at TrkB receptors improves hippocampal-dependent spatial memory by rebalancing network activity and promoting synaptic plasticity at excitatory hippocampal synapses. Published in *Disease Models & Mechanisms*, with an accompanying press release.
- 3. Demonstrating that the *BDNF* val-66-met polymorphism affects neuronal morphology and synaptic transmission in hippocampal neurons from Rett syndrome mice. Published in *Frontiers in Neuroscience*.
- 4. Demonstration that hippocampal dysfunction in *Mecp2* knockout mice spreads to the medial prefrontal cortex via a direct monosynaptic projection, altering network activity and social memory. Mary Phillips PhD dissertation; pre-print posted in bioRxiv.

### Roberson, Erik

Preclinical efficacy of gene therapy for progranulin-deficient frontotemporal dementia

### Saag, Michael

1. Have initiated discussions and planning meetings to establish a research vector to study cognitive impairment among older HIV patients. The focus is to characterize the nature, associated co-morbid conditions, and potential causes or enhancers of cognitive impairment among HIV patients and compare these findings to non-HIV infected, age-matched individuals

Once characterized, interventions will be explored to arrest, or hopefully reverse, the cognitive dysfunction in older HIV infected patients.

# Sarraf, Mohammad

- 1. We have established "Heart-Brain Clinic" to share the decision making on the complex neurology-cardiology intersection cases.
- 2. I will also be a consultant for a randomized trial in the department of neurology for early intervention of exercise and its impact on cognition.

### Standaert, David

- 1. Our group has made important progress in establishing the role of neuroinflammation in the pathogenesis of Parkinson disease. This is the basis for our recently awarded Morris K Udall Center of Excellence in Parkinson Disease Research (NIH Award P50NS108675). Under this 5 year award, we will pursue investigations in a human cohort of early PD, as well as mechanistic studies in two coordinating basic science projects.
- 2. We have established an important new area of investigation exploring the relationship of the microbiome with PD. This is a joint project with Dr. Haydey Payami, and is funded by the Department of Defense (Award Nos. W81XWH1810508 & W81XWH1810509). In this study, Dr. Payami is investigating the gene-environment interactions which confer risk for PD (with the microbiome being the environment). My lab is coordinating with this study and will investigate the effects of defined microorganism populations in a rodent model of synucleinopathy.

## Thannickal, Victor

Dr. Thannickal's research focuses on the biology of aging that pre-disposes organ systems such as the lung and the brain to age-related diseases. Over the last year, he has identified metabolic pathways involving AMPK that are essential to the resolution of lung fibrosis following injury published in Nature Medicine, August 2018. Ongoing studies are evaluating the role of NOX4 inhibitors and SIRT3 activators for age related lung fibrosis.

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#### **Tribel Kristen**

- 1. Successfully enrolled 106/120 participants in the American Cancer Society DECEMBER study (ahead of projected recruitment)
- 2. Submitted an R21 to NIH/NCI "Improving the Assessment of Cancer Related Cognitive Impairment" submitted to NIH in April 2018. The application received good scores and I am currently in the process of revising it for an April 2019 resubmission.
- 3. Submitted grant proposal to the Breast Cancer Research Foundation titled "Neuroinflammation and age-associated brain pathology: two potential mechanisms of cognitive impairment in breast cancer" (Role: PI; Co-Investigators Burt Nabors, M.D., Suzanne Lapi, Ph.D., Jonathan McConathy, M.D., Ph.D.) (December 1, 2018).
- 4. Submitted grant proposal to the Breast Cancer Research Foundation titled "Neuroinflammation and age-associated brain pathology: two potential mechanisms of cognitive impairment in breast cancer" (Role: PI; Co-Investigators Burt Nabors, M.D., Suzanne Lapi, Ph.D., Jonathan McConathy, M.D., Ph.D. We are collecting preliminary data and plan to submit an R01 to NIH/NIA in the fall of 2019. 5. Served on the American Academy of Neurology grant review committee.

### Ubogu, Eroboghene

- 1. Publication of the first adult human blood-nerve barrier transcriptome
- 2. Deduction of cytoplasmic and membrane proteome of human blood-nerve barrier induced by exogenous GDNF *in vitro*
- 3. Publication of projects elucidating role of GDNF in blood-nerve barrier recovery in vitro and in vivo
- 4. Completion of project to more comprehensively characterize the normal human adult blood-nerve barrier *in situ* (guided by published transcriptome).
- 5. Development a conditional MHC Class II knockout mouse strain (C57BL/6-H2-Aa<sup>tm1c(KOMP)WistUbee</sup>/Mmmh)
- 6. Development of a tamoxifen-inducible von Willebrand Factor Cre recombinase mouse strain
- 7. Initiation of clinical trial (NeuroNext) in idiopathic polyneuropathy (NN108)

#### Visscher, Kristina

- 1. Developed and maintained UAB's McKnight Brain Aging Registry 23 participants over the age of 85 have been enrolled so far at UAB. 20 participants have completed the whole protocol, with extensive MRI, behavioral data including neuropsychological data and the NIH toolbox, and blood based biomarkers. Sara Sims, a graduate student in my lab, helped to coordinate all the McKnight centers' efforts in this domain, acting as the go to person for our manual of operations and redcap data input work.
- 2. Plasticity observed in participants who have age-related macular degeneration is exquisitely retinotopically specific. We see robust increases in cortical thickness associated with increased use of peripheral vision in age-related macular degeneration subjects but not juvenile macular degeneration subjects. Because both groups have similar visual experience and behaviors, this suggests that each group adopts different mechanisms for plasticity, perhaps because of the differing mechanisms of plasticity available to people of different ages. These effects appear to be localized to the specific area of the cortex associated with the vision that these participants are using more, the "preferred retinal locus." (Defenderfer, in progress)
- 3. Increased use of peripheral vision for everyday tasks is associated with changes in the structure of the network of brain regions involved in vision.
- Following long term use of peripheral vision for daily tasks, we find that connections between areas involved in peripheral vision become relatively stronger to brain areas involved in high-acuity tasks like recognizing faces (FFA) and reading words (VWFA). Additionally, in MD participants, we found that a graph theoretic measure of the whole visual network, the 'modularity' of the network, goes down in participants with macular degeneration. (Fleming, in progress)
- 4. Structural connections differ for central vs. peripheral V1. Many textbook descriptions of connectivity structure of the cortex assume that cortical connections are uniform across 'areas' of the brain (classically defined based on sharing function, architectonics, connectivity and topography). Our previous work (Griffis et al, 2017), showed that functional connectivity to central and peripheral V1 are indeed different.

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Our current data supports this idea, showing that these distinctions arise from structural differences, not simply multisynaptic functional connectivity differences. The work is important for its basic description of a key brain area, and is also important as a baseline upon which to build our work examining plasticity of these connections. (Sims, in progress)

5. Neural evidence that training of older adults improves efficiency of attentional resource allocation. We examined the neural mechanisms of "Useful Field of View" training, a training protocol used in many previous experiments, and shown to have long lasting transfer effects to activities of daily living in an older adult population. Our data, published this year, are consistent with training improving the efficiency of processing in older adults. Our data suggest that improvements in efficiency are achieved through improvements in connection strength among the brain regions involved in performance of the task. Together, this work shows that the effects of common training algorithms arise from processes at a higher level than simply changes in eye movements or low level brain areas, and that such training influences efficiency of attentional resource allocation. This sets the stage for future work examining how experience with changing vision alters the visual and cognitive control systems. (Ross et al, 2018)

### Wadiche, Jacques

- 1. We have completed a study that shows details the mechanisms regulating multivesicular release, a process that determines the quantity of vesicles released at single synapses. Interestingly, multivesicular release appears to be the common mode of transmission at cortical axon terminals in humans and is widespread in rodent CNS. Furthermore, we have identified synapsin as a key molecular target that confers multivesicular release independent of release probability.
- 2. We are continuing to uncover how AMPA receptors are regulated by synaptic or extrasynaptic glutamate concentration profiles. Experiments assaying AMPA receptor together with numerical simulations suggest that the receptor's biophysical signature and ion permeability differs with neurotransmitter concentration. We have setup and began gathering data with a 2P microscope so that we can better map AMPAR function with femtoliter resolution in an ex vivo preparation.

### Wadiche, Linda

We are continuing to study the sequence and timing of GABA synaptic innervation of adult generated neurons, using cre/loxp systems to express channelrhodopsin in specific subtypes of hippocampal interneurons. As part of this project, we have identified the mechanism underlying the hallmark hyperpolarized resting membrane potential of mature GCs that differentiate them from other hippocampal principal cells (2 manuscripts in preparations)

### Wilson, Scott

Determined that endosomal signaling of ERBB2/3 receptors is required to induce myelination during development.

Determined that chronic ubiquitin overexpression can impair learning and memory, synaptic plasticity and reduce GRIA receptor expression

### 2. Publications in Peer Reviewed Journals

#### Amara, Amy

- 1. Sharma, V.D., S. Sengupta, S. Chitnis, and A.W. Amara (2018) Deep Brain Stimulation and Sleep-Wake Disturbances in Parkinson Disease: A Review. *Frontiers in Neurology*. In press
- 2. Amara, A.W., L. Chahine, N. Seedorff, C.J. Caspell-Garcia, C. Coffey, and T. Simuni and the Parkinson's Progression Markers Initiative. (2018) Self-reported Physical Activity Levels and Clinical Progression in Early Parkinson's Disease. *Parkinsonism and Related Disorders*. In press
- 3. Szalflarski, J.P., J. Friffis, J. Vannest, J.B. Allendorfer, R. Nenert, A.W. Amara, V. Sung, H.C. Walker, A.N. Martin, V.W. Mark, and X. Zhou (2018) A Feasibility Study of Combined Intermittent Theta Burst Stimulation and Modified Constraint-Induced Aphasia Therapy

#### Austad, Steve

- 1. Austad SN, Hoffman JM. 2018. Is antagonistic pleiotropy ubiquitous in aging biology? *Evolutionary Medicine and Public Health*. doi: 10.1093/emph/eoy033.
- 2. Beltrán-Sánchez H, Austad SN, Finch CE. 2018. Comment on "The plateau of human mortality: demography of longevity pioneers." *Science* Sept. 28:361(6409). pii: eaav1200. doi: 10.1126/science.aav1200.
- 3. Barzilai N, Cuervo AM, Austad SN. 2018. Viewpoint: Aging as a biological target for prevention and therapy. *Journal of the American Medical Association*. doi: 10.1001/jama.2018.9562. Oct 2;320(13):1321-1322.
- 4. Hood WR, Austad SN, Bize P, Jimenez AG, Montooth KL, Schulte PM, Scott GR, Sokolova K, Treberg JR, Salin K. 2018. The mitochondrial contribution to animal performance, adaptation, and life-history variation. *Integrative and Comparative Biology*. 58(3):480-485. doi:10.1093/icb/icy089/504967.
- 5. Austad SN. 2018. The comparative biology of mitochondrial function and the rate of aging. *Integrative and Comparative Biology*. 58(3):559-566. doi: 10.1093/icb/icy068.
- 6. Hoffman JM, O'Neill DG, Creevy KE, Austad SN. 2018. Do female dogs age differently than male dogs? *Journals of Gerontology: Biological Science and Medical Sciences* 73(2), 150-156. DOI: 10.1093/gerona/glx061. PMC5861885.

#### Ball, Karlene

Pope CN, Stavrinos D, Vance DE, Woods AJ, Bell TR, Ball K, Fazeli PL. A pilot investigation on the effects of combination transcranial direct current stimulation and speed of processing cognitive stimulation and speed of processing cognitive remediation therapy on simulated driving behavior in older adults with HIV. Transp Res Part F Traffic Psychol Behav. 2018; 1061-1073. DOI: 10.1016/j.trf.2018.08.2002

### Benveniste, Tika

- 1. Harms, A.S., Thome, A.D., Yan, Z., Schonhoff, A. M., Williams, G. P., Li, X., Liu, Y., Qin, H., Benveniste, E.N., and Standaert, D.G. 2018. Peripheral monocyte entry is required for alpha-Synuclein induced inflammation and neurodegeneration in a model of Parkinson disease. Exp. Neurol. 300:179-187.
- 2. Gibson, S. A., and E. N. Benveniste. 2018. Protein kinase CK2: An emerging regulator of immunity. Invited Review. Trends in Immunol. 39(2):82-85.
- 3. Meares, G.P., Rajbhandari, R., Gerigk, M., Tien, C-L., Chang, C., Fehling, S.C., Rowse, A., Mulhern, K.C., Gray, G.K., Berbari, N.F., Benveniste, E.N., and Nozell, S.E. 2018. MicroRNA-31 is required for maintaining astrocyte identity. Glia. 66(5):987-998.
- 4. Gibson, S. A., Yang, W., Yan, Z., Qin, H., and E.N. Benveniste. 2018. CK2 controls Th17 and regulatory T cell differentiation through inhibition of FoxO1. J. Immunol. 201 (2) 383-392

#### **Bolding**, Mark

- 1. Alford A, Rich M, Kozlovskaya V, Chen J, Sherwood J, Bolding M, Warram J, Bao Y, Kharlampieva E. Ultrasound-Triggered Delivery of Anticancer Therapeutics from MRI-Visible Multilayer Microcapsules. Adv. Therap.. 2018 Sep; 1(5) 1800051. doi: 10.1002/adtp.201800051 (front cover)
- 2. Bing, C., Hong, Y., Hernandez, C., Rich, M., Cheng, B., Munaweera, I., . Chopra, R. (2018). Characterization of different bubble formulations for blood-brain barrier opening using a focused

- ultrasound system with acoustic feedback control.. Sci Rep, 8(1), 7986. doi:10.1038/s41598-018-26330-7
- 3. Fellows, B. D., Ghobrial, N., Mappus, E., Hargett, A., Bolding, M., Dean, D., & Mefford, O. T. (2018). In vitro studies of heparin-coated magnetic nanoparticles for use in the treatment of neointimal hyperplasia.. Nanomedicine, 14(4), 1191-1200. doi:10.1016/j.nano.2018.02.011
- 4. Meng, Y., Bottenfield, B., Bolding, M., Liu, L., & Adams, M. L. (n.d.). Sensing Passive Eye Response to Impact Induced Head Acceleration Using MEMS IMUs.. IEEE Trans Biomed Circuits Syst, 12(1), 182-191. doi:10.1109/TBCAS.2017.2766565
- 5. 4. Sherwood, J., Rich, M., Lovas, K., Warram, J., Bolding, M. S., & Bao, Y. (2017). T1-Enhanced MRI-visible nanoclusters for imaging-guided drug delivery.. Nanoscale, 9(32), 11785-11792. doi:10.1039/c7nr04181k

### Brenner, Michael

Brenner, M., Messing, A. and Olsen, M. L. (2018). AP-1 and the injury response of the GFAP gene. J Neurosci Res. doi:10.1002/jnr.24338

#### **Buford, Thomas**

- 1. CA Vaz Fragoso, TM Manini, JA Kairalla, TW Buford, FC Hsu, TM Gill, SB Kritchevsky, MM McDermott, JL Sanders, SR Cummings, GJ Tranah. Mitochondrial DNA Variants and Pulmonary Function in Older Persons. Exp Gerontol. (in press)
- 2. TM Manini, TW Buford, JA Kairalla, MM McDermott, CA Vaz Fragoso, RA Fielding, FC Hsu, N Johannsen, S Kritchevsky, TB Harris, AB Newman, SR Cummings, AC King, M Pahor, AJ Santanasto, GJ Tranah. Meta-analysis identifies mitochondrial DNA sequence variants associated with walking speed. Geroscience. (epub ahead of print)
- 3. AA Wanigatunga, TM Manini, DR Cook, JA Katula, RA Fielding, AF Kramer, J Verghese, SR Rapp, KM Sink, AC King, TW Buford, SD Anton, N Nadkarni, JJ Jennings, KF Reid, MA Espeland, TM Gill, M Pahor, JR Nocera. Community-based activity and sedentary patterns associated with cognitive performance in mobility-limited older adults. Frontiers Aging Neurosci. (in press)
- 4. TW Buford\*, TM Manini, JA Kairalla, MM McDermott, CA Vaz Fragoso, H Chen, RA Fielding, AC King, AB Newman, GJ Tranah. Mitochondrial DNA sequence variants associated with blood pressure among two cohorts of older adults. J Am Heart Assoc. 7(18). 2018.
- 5. PG Bowen, RT Mankowski, SA Harper, TW Buford. Exercise is Medicine as a Vital Sign: Challenges and Opportunities. Trans J ACSM. (in press)
- 6. Y Guo, J Bian, Q Li, T Leavitt, EI Rosenberg, TW Buford, MD Smith, HK Vincent, F Modave. A 3-minute test of cardiorespiratory fitness for use in primary care clinics. PLOS One. 13(7): e0201598. 2018.
- 7. IM Hower, SA Harper, TW Buford\*. Circadian Rhythms, Exercise, and Cardiovascular Health. J Circadian Rhythms. 16:7. 2018.
- 8. TW Buford\*, CS Carter, WJ VanDerPol, D Chen, EJ Lefkowitz, P Eipers, CD Morrow, MM Bamman. Composition and Richness of the Serum Microbiome Differ by Age and Link to Systemic Inflammation. GeroScience. 40(3): 257-268. 2018

### Day, Jeremy

- 1. Stefanelli, G., Azam, A.B., Walters, B.J., Brimble, M.A., Gettens, C.P., Bouchard-Cannon, P., Cheng, H-Y.M., Davidoff, A.M., Narkaj, K., Day, J.J., Kennedy, A.J., & Zovkic, I.B. (2018). Learning and age-related changes in genome-wide H2A.Z binding in the hippocampus. *Cell Reports* 22:1-8.
- 2. McMeekin, L.J., Li, Y., Crossman, D.K., Day, J.J., Li, Y., Detloff, P.J., & Cowell, R.M. (2018). Cell-specific deletion of PGC-1a from medium spiny neurons causes transcriptional alterations and age-related motor impairment. *The Journal of Neuroscience* 38(13):3273-3286.

### Dobrunz, Lynn

- 1. Kolata SM, Nakao K, Jeevakumar V; Farmer-Alroth EL; Fujita Y, \*Bartley AF, Jiang SZ, Rompala GR, Sorge RE, Jimenez DV, Martinowich K, Mateo Y, Hashimoto K, Dobrunz LE, and Nakazawa K. 2018. Neuropsychiatric Pheynotypes Produced by GABA Reduction in Mouse Cortex and Hippocampus, Neuropsychopharmacology 43:1445–1456. doi:10.1038/npp.2017.296.
- 2. \*Sun HY, \*Li Q, \*Bartley AB, Dobrunz LE. 2018. Target-cell specific short-term plasticity reduces the excitatory drive onto CA1 interneurons relative to pyramidal cells during physiologically-derived spike trains. Neuroscience 388:430-447.
- 3. \*Corder KM, \*Cortes MA, \*Bartley AB, Lear SA, Lubin FD, Dobrunz, LE. Prefrontal cortex-dependent innate behaviors are altered by selective knockdown of Gad1 in neuropeptide Y interneurons. PLOS One: 2018 Jul 19;13(7):e0200809. doi: 10.1371/journal.pone.0200809. PMCID: PMC6053188.

# **Dudenbostel**, Tanja

- 1. Siddiqui M, Judd EK, Jaeger BC, Bhatt H, DudenbostelT, Zhang B, Edwards LJ, Oparil S, and Calhoun DA. Out-of-Clinic Sympathetic Activity Is Increased in Patients With Masked Uncontrolled Hypertension. Hypertension. 2018; 0:HYPERTENSIONAHA.118.11818
- 2. Velasco A, Siddiqui M, Kreps E, Kolakalapudi P, Dudenbostel T, Arora G, Judd EK, Prabhu SD, Lloyd SG, Oparil S, Calhoun DA. Refractory Hypertension Is not Attributable to Intravascular Fluid Retention as Determined by Intracardiac Volumes. Hypertension. 2018 Aug;72(2):343-349. PMID:29866740 PMCID:PMC6043380
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- 4. Armario P, Calhoun D, Oliveras A, Blanch P, Vinyoles E, Banegas J, Gorostidi M, Segura J, Ruilope L, Dudenbostel T, de la Sierra A. Prevalence and clinical characteristics of refractory hypertension. J Am Heart Assoc. 2017 Dec 7;6(12). Pii:e007365. PMID: 29217663 PMCID:PMC5779046

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- 3. Zorec, R., Parpura, V., Verkhratsky, A. (2018) Preventing neurodegeneration by adrenergic astroglial excitation. FEBS J. 2018 Apr 6. doi: 10.1111/febs.14456. [Epub ahead of print]
- 4. Simonovic, J., Toljic, B., Nikolic, N., Vujin, J., Panajotovic, R., Bekyarova, E., Parpura, V., Milasin J. (2018) Differentiation of stem cells from apical papilla into neural lineage using graphene dispersion and single walled carbon nanotubes *J Biomed Mater Res A*. 2018 Jun 12. doi: 10.1002/jbm.a.36461. [Epub ahead of print]

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(in press).

- 1. Escamilla, C. O., Filonova, I., Walker, A. K., Xuan, Z., Liu, S., Thyme, S.B., Lopez-Garcia, I.A., Usui, N., Ellegood, J., Eisch, A.J., Konopka, G., Lerch, J.P., Schier, A.F., Speed, H.E., & Powell, C.M. (2017) *Kctd13* deletion reduces synaptic transmission via increased RhoA. *Nature*, November 9; 551(7679):227-231. PMID 19088697 doi: 10.1038/nature24470 NIHMSID 923808
- 2. Goodspeed, K., Newsom, C., Morris, M.A., Powell, C.M., Evans, P., & Golla, S. (2017) Pitt-Hopkins Syndrome: A Review of Current Literature, Clinical Approach, and 23-Patient Case Series. *Journal of Child Neurology*, in press.

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Scopus *h*-index: 37 (5.560 total citations)

NIH *iCite* Weighted Relative Citation Ratio: 151.13

#### **Research Articles**

- 1. Morello N, R Schina, F Pilotto, M Phillips, R Melani, O Plicato, T Pizzorusso, L Pozzo-Miller & M Giustetto (2018). Loss of *Mecp2* causes atypical synaptic and molecular plasticity of parvalbumin-expressing interneurons reflecting Rett syndrome-like sensorimotor defects. *eNeuro* 5(5) e0086-18.2018: 1–19.
- 2. Li W, A Bellot-Saez, ML Phillips, T Yang, FM Longo & L Pozzo-Miller (2017). A small molecule TrkB ligand restores hippocampal synaptic plasticity and object location memory in Rett syndrome mice. *Disease Models & Mechanisms* 10: 837-845 (Press release)
- 3. Xu X & L Pozzo-Miller (2017). EEA1 restores homeostatic synaptic plasticity in hippocampal neurons from Rett syndrome mice. *Journal of Physiology (London)* 595: 5699-5712 (*Perspective* commentary)
- 4. Xu X, J Garcia, R Ewalt, S Nason & L Pozzo-Miller (2017). The *BDNF* val-66-met polymorphism affects neuronal morphology and synaptic transmission in cultured hippocampal neurons from Rett syndrome mice. *Frontiers in Cellular Neuroscience* 11: 203 (doi: 10.3389/fncel.2017.00203).
- Ferreras S, G Fernandez, V Danelon, MV Pisano, L Masseroni, CA Chapleau, FA Krapacher, EC Mlewski, DH Masco, C Arias, L Pozzo-Miller, MG Paglini (2017). Cdk5 is essential for amphetamine

to increase dendritic spine density in hippocampal pyramidal neurons. Frontiers in Cellular

Neuroscience 11: 372 (doi: 10.3389/fncel.2017.00372.

#### **Published Abstracts**

- 1. Phillips M & L Pozzo-Miller (2018). Ventral hippocampal inputs to the mPFC regulate social memory. *Society for Neuroscience Abstracts* 691.11.
- 2. Phillips M & L Pozzo-Miller (2017). Atypical hippocampal afferent inputs to the medial prefrontal cortex alter social behaviors in the *Mecp2* mouse model of Rett syndrome. *Society for Neuroscience Abstracts* 450.03

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#### Abstracts

- 1. Ismahil MA, Bansal S, Patel B, Hamid T, Zhou G, Rokosh G, Prabhu SD. Splenic CD169+ marginal-zone metallophilic macrophages are required for wound healing and resolution of inflammation after myocardial infarction (abstract). Circulation. 2018 (in press).
- 2. Hamid T, Xu Y, Ismahil MA, Bansal SS, Prabhu SD. PDGF signaling in cardiac-resident mesenchymal stem cells promotes their myofibroblastic differentiation and worsens post-infarction cardiac remodeling in chronic heart failure (abstract). Circulation. 2018;138:A16145.
- 3. Yang J, He J, Ismail M, Tweeten S, Zeng F, Gao L, Ballinger S, Young M, Prabhu S, Rowe G, Zhang J, Xie M. HDAC inhibition induces autophagy and mitochondrial biogenesis to maintain mitochondrial homeostasis during cardiac ischemia/reperfusion injury (abstract). Circulation. 2018;138:A10838.
- 4. Patel N, Russell G, Gutierrez OM, Halade G, Kain V, Prabhu SD, Arora G, Wang TJ, Arora P. A pilot clinical trial to study racial differences in natriuretic peptide response to a high-carbohydrate challenge (abstract). Circulation. 2018;138:A17240.
  - 3. Papers published or in press
- Berry JL, Zhu W, Tang Y, Krishnamurthy P, Ge Y, Cooke JP, Chen Y, Garry DJ, Yang H-T, Rajasekaran NS, Koch WJ, Li S, Domae K, Qin G, Cheng K, Kamp TJ, Ye L, Hu S, Ogle BM, Rogers JM, Abel ED, Davis ME, Prabhu SD, Liao R, Pu WT, Wang Y, Ping P, Bursac N, Vunjak-Novakovic V-G, Wu JC, Bolli R, Menasché P, Zhang J. Convergences of life sciences and engineering in understanding and treating heart failure. <u>Circ Res</u>. 2018 (in press).
- Haglund TA, Rajasekaran NS, Smood B, Giridharan GA, Hoopes CW, Holman WL, Mauchley DC, Prabhu SD, Pamboukian SV, Tallaj JA, Rajapreyar IN, Kirklin JK, Sethu P. Evaluation of flow modulation approaches in ventricular assist devices using an in-vitro endothelial cell culture model. *J Heart Lung Transplant*. 2018 Nov 2. pii: S1053-2498(18)31730-3. doi: 10.1016/j.healun.2018.10.007.
- 3. Bansal SS, Ismahil MA, Goel M, Zhou G, Rokosh G, Hamid T, Prabhu SD. Dysfunctional and proinflammatory regulatory T-lymphocytes are essential for adverse cardiac remodeling in ischemic cardiomyopathy. *Circulation*. 2018 Aug 16. doi: 10.1161/CIRCULATIONAHA.118.036065.
- 4. Prabhu SD. The cardiosplenic axis is essential for the pathogenesis of ischemic heart failure. *Trans Am Clin Climatol Assoc.* 2018;129:202-214.
- 5. Velasco A, Siddiqui M, Kreps E, Kolakalapudi P, Dudenbostel T, Arora G, Judd EK, Prabhu SD, Lloyd SG, Oparil S, Calhoun DA. Refractory hypertension is not attributable to intravascular fluid retention as determined by MRI-measured intracardiac volumes. *Hypertension*. 2018;72:343-349.
- 6. Patel B, Bansal SS, Ismahil MA, Hamid T, Rokosh G, Mack M, Prabhu SD. CCR2<sup>+</sup> monocytederived infiltrating macrophages are required for adverse cardiac remodeling during pressure-overload. *JACC Basic Transl Sci*. 2018;3:230–244. \*Editorial Comment: Chen B, Frangogiannis NG. The role of macrophages in nonischemic heart failure *JACC Basic Transl Sci*. 2018;3:245-248.
- 7. Kain V, Ingle KA, Kabarowski J, Barnes S, Limdi NA, Prabhu SD, Halade GV. Genetic deletion of 12/15 lipoxygenase promotes effective resolution of inflammation following myocardial infarction.

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- 8. Bajaj NS, Patel N, Dayana VA, Prabhu SD, Arora G, Wang T, Arora P. Effect of NTproBNP guided therapy on all-cause mortality in patients with chronic heart failure with reduced ejection fraction: a meta-analysis. *J Am Coll Cardiol*. 2018;71:951-952.
- 9. Bajaj NS, Gutiérrez OM, Arora G, Judd SE, Patel N, Bennett A, Prabhu SD, Howard G, Howard VJ, Cushman M, Arora P. Racial differences in plasma NTproBNP levels and all-cause mortality: the REGARDS study. *JAMA Cardiol*. 2018;3:11-17.
- 10. Yan J, Thomson JK, Zhao W, Wu X, Gao X, DeMarco D, Kong W, Tong M, Sun J, Bakhos M, Fast VG, Liang Q, Prabhu SD, Ai X. The stress kinase JNK regulates gap junction Cx43 gene expression and promotes atrial fibrillation in the aged heart. *J Mol Cell Cardiol*. 2018;114:105-115.

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- 1. Arrant, A.E., V.C. Onyilo, D.E. Unger, and E.D. Roberson. (2018). Progranulin gene therapy improves lysosomal function and microglial pathology associated with frontotemporal dementia and neuronal ceroid lipofuscinosis. *J. Neurosci.* 38:2341–2358.
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- 2. Burke, S.N., L.S. Gaynor, C.A. Barnes, R.M. Bauer, J.L. Bizon, E.D. Roberson, and L. Ryan. (2018). Shared functions of perirhinal and parahippocampal cortices: Implications for cognitive aging. *Trends Neurosci.*, 41:349–359.
- 3. Arrant, A.E., A.M. Nicholson, X. Zhou, R. Rademakers, and E.D. Roberson. (2018). Partial Tmem106b reduction does not correct abnormalities due to progranulin haploinsufficiency. *Mol. Neurodegen.* 13:32.
- 4. Arrant, A.E., A.J. Filiano, A.R. Patel, M.Q. Hoffmann, N.R. Boyle, S.N. Kashyap, V.C. Onyilo, A.H. Young, and E.D. Roberson. (2018). Reduction of microglial progranulin does not exacerbate pathology or behavioral deficits in neuronal progranulin-insufficient mice. *Neurobiol. Dis.* 10.1016/j.nbd.2018.11.011.
- 5. Gerstenecker, A., L. Grimsley, B. Otruba, L. Cowden, D.C. Marson, K. Triebel Gerstenecker, R.C. Martin, and E.D. Roberson. (2018). Medical decision-making capacity in progressive supranuclear palsy. *Parkinsonism Rel. Disord*. In press.

### Publications as part of consortia

63–164. As of 12/3/2018, 102 additional publications as part of the Alzheimer's Disease Genetics Consortium (ADGC), Alzheimer's Disease Neuroimaging Initiative (ADNI), and AL-108-231 Investigators group (PSP clinical research), available on PubMed at <a href="this link">this link</a>.

#### Submitted manuscripts

- 1. Sohn, P.D., T.E. Tracy, C. Huang, R. Yan, C.M. Camargo, S.-A. Mok, R. Freilich, J. Baik, E.D. Roberson, C.M. Karch, J. Gestwicki, K. Xu, K.S. Kosik, and L. Gan. Tau-mediated EB3 abnormality impairs axon initial segment plasticity in human iPSC-derived neurons with FTD-tau mutation. Submitted.
- 2. Guzman-Karlsson, M.C., L.L. Fleming, J.A. Brown, F. Sesay, J.W. Leis, R.L. Lifer, K.E. Hawkins, A.J. Kennedy, J.J. Day, E.D. Roberson, and J.D. Sweatt. Genome-wide transcription and DNA methylation profiling in an APP mouse model of Alzheimer's disease. Submitted.
- 3. Kornak, J., J. Fields, W. Kremers, S. Farmer, H. Heuer, L. Forsberg, D. Brushaber, A. Rindels, H. Dodge, S. Weintraub, L. Besser, B. Appleby, J. Bove, Y. Bordelon, P. Brannelly, C. Caso, G. Coppola, R. Dever, C. Dheel, B. Dickerson, S. Dickinson, S. Dominguez, K. Domoto-Reilly, K. Faber, J. Ferrell, A. Fishman, J. Fong, T. Foroud, R. Gavrilova, D. Gearhart, B. Ghazanfar, N. Ghoshal, J. Goldman, J. Graff-Radford, N. Graff-Radford, M. Grossman, D. Haley, J. Hsiao, R. Hsiung, E. Huey, D. Irwin, D. Jones, L. Jones, K. Kantarci, A. Karydas, D. Kaufer, D. Kerwin, D.

- Knopman, R. Kraft, J. Kramer, W. Kukull, I. Litvan, P. Ljubenkov, D. Lucente, C. Lungu, I. Mackenzie, M. Maldonado, M. Manoochehri, S. McGinnis, E. McKinley, M. Mendez, B. Miller, N. Multani, C. Onyike, J. Padmanabhan, A. Pantelyat, R. Pearlman, L. Petrucelli, M. Potter, R. Rademakers, E. Ramos, K. Rankin, K. Rascovsky, E.D. Roberson, E. Rogalski-Miller, P. Sengdy, L. Shaw, A. Staffaroni, M. Sutherland, J. Syrjanen, C. Tartaglia, N. Tatton, J. Taylor, A. Toga, J. Trojanowski, P. Wang, B. Wong, Z. Wszolek, B. Boeve, A. Boxer, and H. Rosen. Nonlinear Zscore estimation for establishing cognitive norms from the National Alzheimer's Coordinating Center (NACC) dataset. Submitted.
- 4. Staffaroni, A., H.J. Rosen, Y. Cobigo, S.-Y.M. Goh, J. Kornak, L. Bajorek, K. Chiang, B. Appleby,
  - J. Bove, Y. Bordelon, P. Brannelly, D.E. Brushaber, C.D. Caso, G. Coppola, R. Dever, C. Dheel, B. Dickerson, S. Dickinson, S. Dominguez, K. Domoto-Reilly, K. Faber, J. Ferrall, J. Fields, A. Fishman, J. Fong, T. Foroud, L.K. Forsberg, R. Gavrilova, D.J. Gearhart, B. Ghazanfari, N. Ghoshal, J. Goldman, J. Graff-Radford, N. Graff-Radford, I. Grant, M. Grossman, D. Haley, H.W. Heuer, R. Hsiung, E. Huey, D. Irwin, D. Jones, L. Jones, K. Kantarci, A. Karydas, D. Kaufer, D. Kerwin, D. Knopman, R.A. Kraft, J.H. Kramer, W. Kremers, W. Kukull, I. Litvan, P. Ljubenkov, D. Lucente, C. Lungu, I. Mackenzie, M. Maldonado, M. Manoochehri, S. McGinnis, E. McKinley, M. Mendez, B. Miller, N. Multani, C. Onyike, J. Padmanabhan, A. Pantelyat, R. Pearlman, L. Petrucelli, M. Potter, R. Rademakers, E.M. Ramos, K. Rankin, K. Rascovsky, E.D. Roberson, E. Rogalski-Miller, P. Sengdy, L. Shaw, J. Syrjanen, C. Tartaglia, N. Tatton, J. Taylor, A. Toga, J. Trojanowski, S. Weintraub, P. Wang, B. Wong, Z. Wszolek, A.L. Boxer, and B. Boeve. Individualized Atrophy-Based Prediction of Dementia Onset in Familial Frontotemporal Lobar Degeneration. Submitted
- 5. Staffaroni, A., L. Bajorek, K. Casaletto, Y. Cobigo, S. Goh, A. Wolf, H. Heuer, F. Elahi, B. Appleby, J. Bove, Y. Bordelon, P. Brannelly, D. Brushaber, C. Caso, G. Coppola, C. Dheel, B. Dickerson, S. Dickinson, S. Dominguez, K. Domoto-Reilly, K. Faber, J. Ferrall, J. Fields, A. Fishman, J. Fong, T. Foroud, L. Forsberg, R. Gavrilova, D. Gearhart, B. Ghazanfari, N. Ghoshal, J. Goldman, J. Graff-Radford, N. Graff-Radford, I. Grant, M. Grossman, D. Haley, R. Hsiung, E. Huey, D. Irwin, D. Jones, L. Jones, K. Kantarci, A. Karydas, D. Kaufer, D. Kerwin, D. Knopman,
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- 2. Abdelmotilib H, Maltbie T, Delic V, Liu Z, Hu X, et al. α-Synuclein fibril-induced inclusion spread in rats and mice correlates with dopaminergic Neurodegeneration. Neurobiol Dis. 2017 Sep;105:84-98. PMID: 28576704; PMCID: PMC5701756.
- 3. Standaert DG. What would Dr James Parkinson think today? Mutations in beta-glucocerebrosidase and risk of Parkinson's disease. Mov Disord. 2017 Oct;32(10):1341-1342. PMID: 29068500.

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- 9. Burack, M., Aldred, J., Zadikoff, C., Vanagunas, A., Klos, K., Bilir, B., . . . Standaert, D. G. (2018). Implementing Levodopa-Carbidopa Intestinal Gel for Parkinson Disease: Insights from US Practitioners. Mov Disord Clin Pract, 5(4), 383-393. doi:10.1002/mdc3.12630
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#### Ubogu, Eroboghene

- 1. Dong C, Helton ES, Zhou P, Ouyang X, d'Anglemont de Tassigny X, Pascual A, López-Barneo J, Ubogu EE. Glial-derived neurotrophic factor is essential for blood-nerve barrier functional recovery in an experimental murine model of traumatic peripheral neuropathy. Tissue Barriers 2018; 6:1-22 (on-line version: DOI: 10.1080/21688370.2018.1479570).
- 2. Liu S, Dong C, Ubogu EE. Immunotherapy of Guillain-Barré syndrome. Human Vaccines & Immunotherapeutics 2018; 28:1-12 (On-line version: DOI: 10.1080/21645515.2018.1493415).

#### Triebel, Kristen

- Meneses, K., Benz, R., Bail, J., Vo J., **Triebel, K.**, Fazeli, P., Frank, J., & Vance, D. Speed of processing in middle-aged and older breast cancer survivors (SOAR): Results of a randomized controlled pilot. *Breast Cancer Research and Treatment* 2018; 168(1): 259-267. doi: 10.1007/s10549-017-4564. PMCID: 29128897. PMC5823754.
- 2. Gerstenecker, A., **Triebel, K**., Martin, R., Bashir, K., & Marson, D. C. Medical Decision-Making Capacity and its Cognitive Predictors in Multiple Sclerosis. *Journal of the Neurological Sciences 2017*; *380: 38-43*. doi: 10.1016/j.jns.2017.06.047. PMID: 28870585

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- 4. Martin, R. C., Gerstenecker, A., **Triebel, K. L.,** Falola, M., McPherson, T., Cutter, G., & Marson, D. C. (in press). Declining Financial Capacity in Patients with Mild Cognitive Impairment: A Six Year Longitudinal Study. *Archives of Clinical Neuropsychology*.
- 5. Gerstenecker, A., Grimsley, L., Otruba, B., Cowden, L., Marson, D. C., **Triebel Gerstenecker, K.,** Martin, R. C., & Roberson, E. D. (in press) Medical Decision-Making in progressive supranuclear palsy: A Comparison to other Neurodegenerative Disorders. *Parkinsonism and Related Disorders*.
- 6. Bail, J. R., Ivankova, N., Heaton, K., Vance, D. E., **Triebel, K.**, & Meneses, K. (in press). Cancer-related symptoms and cognitive intervention adherence among breast cancer survivors: A mixed methods study. *Cancer Nursing*.

### Visscher, Kristina

- 1. Elkhetali, A. S., Fleming, L. L., Vaden, R. J., Nenert, R., Mendle, J. E., & Visscher, K. M. (2018). Background connectivity between frontal and sensory cortex depends on task state, independent of stimulus modality. NeuroImage, 184(September 2018), 790–800. PMID: 30237034
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## Wadiche, Jacques

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### Wadiche, Linda

- 1. Gonzalez JC, Epps SA, Markwardt SJ, Wadiche JI, Overstreet-Wadiche L (2018) Constitutive and synaptic activation of GIRK channels differentiates mature and newborn dentate granule cells. Journal of Neuroscience 38:6513-6526. PMC6052243
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# Wadley, Virginia

- 1. \*Huisingh C, Owsley C, **Wadley VG**, Levitan EB, Irvin MR, MacLennan P, McGwin G Jr. General cognitive impairment as a risk factor for motor vehicle collision involvement: a prospective population-based study. Geriatrics (Basel). 2018 Mar;3(1). pii: 11. doi: 10.3390/geriatrics3010011. Epub 2018 Mar 6. PMID: 29600251

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- Panwar B, Judd SE, Wadley VG, Jenny NS, Howard VJ, Safford MM, Gutiérrez OM. <u>Association of Fibroblast Growth Factor 23 With Risk of Incident Coronary Heart Disease in Community-Living Adults. JAMA Cardiol</u>. 2018 Apr 1;3(4):318-325. doi: 10.1001/jamacardio. 2018.0139. PMID: 29516098
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- 6. Gillett SR, McClure LA, Callas PW, Thacker EL, Unverzagt FW, **Wadley VG**, Letter AJ, Cushman M. <u>Hemostasis biomarkers and incident cognitive impairment: the REGARDS study. J Thromb</u> Haemost. 2018 Jul;16(7):1259-1267. doi: 10.1111/jth.14138. Epub 2018 Jun 6. PMID: 29733497
- 7. Howard G, Cushman M, Moy CS, Oparil S, Muntner P, Lackland DT, Manly JJ, Flaherty ML, Judd SE, **Wadley VG**, Long DL, Howard VJ. Association of clinical and social factors with excess hypertension risk in black compared to white US adults. <u>JAMA</u>, <u>Journal of the American Medical Association</u> 2018 Oct 2;320(13):1338-1348. Doi:10.1001/jama.2018.13467. PMID: 30285178
- 8. Long DL, Howard G, Long DM, Judd S, Manly JJ, McClure LA, **Wadley VG**, Safford MM, Katz R, Glymour MM. An Investigation of Selection Bias in Estimating Racial Disparity in Stroke Risk Factors: The REasons for Geographic And Racial Differences in Stroke (REGARDS) Study. Am J Epidemiol. 2018 Nov 19. Doi: 10.1093/aje/kwy253. [Epub ahead of print] PMID: 30452548
- 9. Still CH, Pajewski NM, Chelune GJ, Rapp SR, Sink KM, **Wadley VG**, Williamson JD, Lerner AJ; SPRINT Research Group. <u>The Association between the Montreal Cognitive Assessment and Functional Activity Questionnaire in the Systolic Blood Pressure Intervention Trial (SPRINT). Arch Clin Neuropsychol.</u> 2018 Dec 5. Doi: 10.1093/arclin/acy094. [Epub ahead of print] PMID: 30517599
- 10. \*Marceaux, J., Prosje, M., McClure, L., Unverzagt, F.W., Kana, B., Crowe, M., Clark, D., Wagner, E., Webb, N., Kissela, B., Howard, G., **Wadley, V.G**. Verbal fluency in a national sample: telephone administration, computer-assisted scoring, and factors affecting performance. <u>Int J Ger Psychiatry.</u> 2019 [in press]
- 11. The **SPRINT MIND Investigators for the SPRINT Research Group**. Intensive versus Standard Blood Pressure Control, Mild Cognitive Impairment, and Dementia: A Randomized Clinical Trial. JAMA, Journal of the American Medical Association 2019 [in press]

### Wilson, Scott

Chronic overexpression of ubiquitin impairs learning, reduces synaptic plasticity, and enhances GRIA receptor turnover in mice. J. Neurochemistry. In press.

### 3. Publications (Other)

#### Austad, Steve

20 newspaper columns for AL.com

3 column's for PBS's Next Avenue Health blog

# Day, Jeremy

- 1. Gallus, N.V.N., Simon, R., Salisbury, A.J., Revanna, J.S., Bunner, K.D., Savell, K.E., Sultan, F., & Day, J.J. (2018). Functional modulation of activity-dependent transcription by non-coding enhancer RNAs. *BioRxiv*. doi: https://doi.org/10.1101/270967.
- 2. Savell, K.E., Bach, S.V., Zipperly, M.E., Revanna, J.S., Goska, N.A., Tuscher, J.J., Duke, C.G., Sultan, F.A., Burke, J.N., Williams, D.M., Ianov, L., & Day, J.J. (2018). A neuron-optimized CRISPR/dCas9 activation system for robust and specific gene regulation. *BioRxiv*. doi: https://doi.org/10.1101/371500.

### **Dudenbostel**, Tanja

- 1. Siddiqui M, Judd EK, DudenbostelT, Gupta P, Tomaszewski M, Patel P, Oparil S, Calhoun DA. Antihypertensive medication non-adherence is common in patients with suspected refractory hypertension. Hypertension. 2018. Volume 72, Issue Suppl\_1
- 2. Siddiqui M, Thomas SJ, Judd EK, Dudenbostel T, Harding S, Oparil S, Calhoun DA. Obstructive sleep apnea is more common in patients with masked uncontrolled hypertension. Hypertension. 2018 Volume 72, Issue Suppl\_1
- 3. Valaiyapathi B, Siddiqui M, El Hachem M, Judd EK, DudenbostelT, Oparil S, Calhoun DA. Nocturnal blood pressure variability is associated with hs-CRP in hypertensive patients. Hypertension. 2018. Volume 72, Issue Suppl\_1
- 4. El Hachem M, Siddiqui M, Thomas SJ, Dudenbostel T, Judd EK, Patel P, Gupta P, Tomaszewski M, Oparil S, Calhoun DA. Non-adherence to antihypertensive medications is associated with higher blood pressure and anxiety levels. Hypertension. 2018. Volume 72, Issue Suppl\_1
- 5. Siddiqui M, Dudenbostel T. Aldosterone-Renin Ratio is inconsistent in diagnosing with hyperaldosteronism. One half of patients have masked hyperaldosteronism. Endocr Rev. 2018;6:38(3) Supplement

#### Geldmacher, David

Pilonieta G, Geldmacher DS. Accelerating dementia care. Practical Neurology 2018;17(3):50-52

#### Gerstenecker, Adam

- Gerstenecker, A. Neurobehavioral aspects of multiple sclerosis. In: Greenamyre J. T., editor-in-chief. MedLink Neurology. San Diego: MeLink Corporation. Available at <a href="www.medlink.com">www.medlink.com</a>. Last updated: November 2018.
- 2. Triebel, K. L., Gerstenecker, A., & Marson, D. C. (in press). Financial and Medical Decision
- 3. Making Capacity in MCI and Dementia. In G. Smith & S. Farias (Eds.), *APA Handbook of Dementia*. Washington DC: APA Books.
- 4. Gerstenecker, A., Triebel, K. L., & Marson, D. C. (in press). Medico-legal capacities in Mild Cognitive Impairment. In R. W. Parks, R. Zec, M. Bondi, & A. Jefferson (Eds.), *Neuropsychology of Alzheimer's Disease and Other Dementias*. New York, NY: Oxford University Press.

#### Gray, Michelle

Expert Commentary provided for "ASOs Restore Cognitive Deficits in Huntington's Disease Mice" in Neurology Today, November 15, 2018, Volume 18, Issue 22.

#### Kennedy, Richard

1 book chapter

#### Lahti, Andrienne

Adrienne C. Lahti & Nina V. Kraguljac: "Neurobiology of psychosis: imaging biomarkers: Spectroscopy" (Volume on Psychosis edited Carol Tamminga, Oxford Press)

#### Lubin, Farah

Timothy J. Jarome, Anderson A. Butler, Gabriella Perez, Megan C. Rich, and **Farah D. Lubin**. Histone Ubiquitination controls heterochromatin and euchromatin dynamics during memory consolidation. In preparation for submission.

### Meador-Woodruff, James

Mueller TM, Kim P, Meador-Woodruff JH: Fractionation of Subcellular Compartments from Human Brain Tissue. In Burger C and Velardo MJ (editors): <u>Glutamate Receptors</u>. A volume in the series <u>Methods in Molecular Biology</u>. New York: Humana Press/Springer Publishing Group. In press.

### Pozzo-Miller, Lucas

Phillips ML, HA Robinson & L Pozzo-Miller (2018). Ventral hippocampal projections to the medial prefrontal cortex regulate social memory. *bioRxiv* 461533 (doi: https://doi.org/10.1101/461533).

#### Roberson, Erik

# **Book Chapters**

- 1. E.D. Roberson. (2018). Treatment of central nervous system degenerative disorders. In *Goodman & Gilman's The Pharmacological Basis of Therapeutics, Thirteenth Edition*. L. Brunton, ed. (New York: McGraw-Hill Companies, Inc.).
- 2. E.D. Roberson. Alzheimer's Disease. In *Mechanisms of Memory*, Third Edition. J.D. Sweatt, E. Klann, eds. (London: Academic Press). In preparation.

#### Books

Amthor, F., E.D. Roberson, A.M. Theibert, and D.G. Standaert. (2018). *Essentials of Modern Neuroscience*. (New York: McGraw-Hill Companies, Inc.) In press.

### Triebel, Kristen

- 1. Triebel, K. L., Gerstenecker, A., & Marson, D. C. 2018. Financial and Medical Decision Making Capacity in MCI and Dementia. In G. Smith & S. Farias (Eds.), *APA Handbook of Dementia* (pp. 219-236). Washington DC: APA Books.
- 2. Gerstenecker, A., Triebel, K. L., & Marson, D. C. (in press). Medico-legal capacities in Mild Cognitive Impairment. In R. W. Parks, R. Zec, M. Bondi, & A. Jefferson (Eds.), *Neuropsychology of Alzheimer's Disease and Other Dementias*. New York, NY: Oxford University Press.
- 3. Triebel, K. L., Hollis, S., Novack, T. (in press). In J. Moye (Ed.), Capacity in Traumatic Brain Injury. Assessment of Older Adults with Diminished Capacity: A Casebook for Resolving Pragmatic and Ethical Challenges. APA Book Series.

### Ubogu, Eroboghene

A blueprint for future blood-nerve barrier and peripheral nerve disease research (by Jeff Hansen). UAB News, February 6<sup>th</sup>, 2018. Accessible on-line at: https://www.uab.edu/news/research/item/9102-a-blueprint-for-future-blood-nerve-barrier-and-peripheral-nerve-disease-research Published in UAB School of Medicine News on February 15<sup>th</sup>, 2018

#### 4. Presentations at scientific

#### meetings Amara, Amy

- 1. 5<sup>th</sup> Annual Virginia Regional Movement Disorders Symposium: Keynote Address: "Sleep Dysfunction in Parkinson's Disease"
- 2. American Academy of Neurology Annual meeting Experiential Talk: "The Exciting World of Movement Disorders", Los Angeles, CA
- 3. 142<sup>nd</sup> Annual meeting of the American Neurological Association: "Slow Wave Sleep is Associated with Cognitive Performance in Patients with Parkinson's Disease", San Diego, CA

#### Austad, Steve

- 1. Invited panelist. Live Better Longer: A Celebration of 30 Years in Aging Research. Buck Institute for Research on Aging. Novato, CA
- 2. Invited speaker. 4<sup>th</sup> Institute for Basic Science Conference on Genetics of Aging and Life History. Daegu, Republic of Korea.
- 3. The Darden Lecture. University of Alabama, Department of Biological Sciences, Tuscaloosa, Alabama.
- 4. Keynote address. The Fourteenth International Symposium on Neurobiology and Neuroendocrinology of Aging. Bregenz, Austria.
- 5. Keynote address and external evaluator. Northwestern University Interdepartmental Neuroscience Program. Cognitive Neurology and Alzheimer's Disease Center. Chicago, IL
- 6. Invited symposium speaker. Inside the Black Box: the mitochondrial basis of life-history variation and animal performance. Society for Integrative and Comparative Biology Annual Meeting. San Francisco, CA
- 7. Meeting co-organizer and speaker (with Emma Teeling, University College Dublin). Bats: New Models for Aging Research. The Banbury Center, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY
- 8. Invited seminar. Department of Biology. Birmingham Southern College. Birmingham, AL
- 9. Keynote Speaker. 2018 *Nothobranchius* Symposium. Max Planck Institute for the Biology of Ageing. Cologne, Germany
- 10. Invited symposium speaker. The Ninth Annual Glenn Workshop on the Biology of Aging. Santa Barbara, CA.
- 11. Faculty lecturer. National Institute on Aging's Butler-Williams Scholarship Program, Bethesda, MD
- 12. Invited speaker. 9th Aquatic Models of Human Diseases Symposium, Woods Hole, MA

#### Benveniste, Tika

- 1. Session Chair/Invited Speaker, American Society of Neurochemistry Riverside, California, March, 2018.
- 2. Invited Speaker, Consortium of Multiple Sclerosis Centers Nashville, Tennessee, June, 2018.
- 3. Invited Speaker, Conference Co-Organizer, Brain Tumors: From Biology to Therapy, 2018, Warsaw, Poland,
- 4. Invited Speaker, 143rd Annual Meeting of The American Neurological Association, "Inflammation and Neurological Disease: Friend or Foe", Atlanta, Georgia, October, 2018

#### **Buford, Thomas**

- 1. Exercise and Aging: The Lion in the Path. UAB Center for Exercise Medicine Annual Research Symposium, Birmingham, AL, 9/21/2018.
- 2. S Harper, A Layne, B Jaeger, R Fillingim, T Manini, K Sibille, K Vincent, S Wu, P Borsa, TW Buford. Blood flow-restricted resistance exercise for muscle strength, physical function, and pain among older adults with knee osteoarthritis. Submitted for American College of Sports Medicine Integrative Physiology of Exercise Meeting, September 5-8, 2018, San Diego, CA.
- 3. The Gut Microbiome: A Target for Improving Late-Life Cognition? Evelyn F. McKnight Brain Institute Annual Inter-Institutional Meeting, Birmingham, AL, 4/5/2018.
- 4. Beyond Blood Pressure: The Renin-Angiotensin System and Aging. University of Alabama at Birmingham, Birmingham, AL. 2/16/2018.
- 5. Models of Accelerated Sarcopenia: A Template for Cross-Discipline Aging Research? University of Alabama at Birmingham, Birmingham, AL. 3/20/2018.

### Day, Jeremy

- 1. Alice McLean Stewart Endowed Lecture for Addiction Education, University of Alabama at Tuscaloosa
- 2. Speaker, Fusion Neuroepigenetics and Neuroepitranscriptomics Conference (Cancun, Mexico)
- 3. Invited Speaker, Alabama Rural Health Conference (Tuscaloosa, Alabama)
- 4. Invited Speaker, UAB Non-coding RNA Symposium

### Dobrunz, Lynn

- 1. March 2018 University of Tennessee Health Science Center, Department of Pharmacology Seminar Series, Memphis, TN
- 2. March 2018 Northwestern University School of Medicine, Department of Physiology, Chicago, IL

### **Dubenbostel**, Tanja

- 1. Antihypertensive medication non-adherence is common in patients with suspected refractory hypertension. American Heart Association Joint Hypertension Scientific Sessions 2018, Sept. 6-9, 2018, Chicago, IL.
- 2. Obstructive sleep apnea is more common in patients with masked uncontrolled hypertension. American Heart Association Joint Hypertension Scientific Sessions 2018, Sept. 6-9, 2018, Chicago, IL.
- 3. Nocturnal blood pressure variability is associated with hs-CRP in hypertensive patients. American Heart Association Joint Hypertension Scientific Sessions 2018, Sept. 6-9, 2018, Chicago, IL.
- 4. Non-adherence to antihypertensive medications is associated with higher blood pressure and anxiety levels. American Heart Association Joint Hypertension Scientific Sessions 2018, Sept. 6-9, 2018, Chicago, IL.
- 5. Aldosterone-Renin Ratio is Inconsistent in diagnosing with hyperaldosteronism. One half of patients have masked hyperaldosteronism. ENDO 2018, March 17-20, IL, USA.
- 6. Aldosterone-Renin Ratio is Inconsistent in diagnosing with hyperaldosteronism. One half of patients have masked hyperaldosteronism. 43rd Meeting of the International Aldosterone Conference, March 15-16, 2018 Chicago, IL, USA.
- 7. High prevalence of atrial fibrillation in a large cohort of European American and African American patients with apparent resistant hypertension and primary aldosteronism. (SAFMR/SSCI Research Award Winner) S outhern Regional Meeting, Thursday 22nd, 2018, New Orleans, LA, USA.

### Geldmacher, David

- 1. Geldmacher DS. The evolving concept of Alzheimer's disease Alabama Academy of Neurology Annual meeting. Hoover, AL August 2018
- 2. Geldmacher DS, Natelson Love M, Hammond, J, Pilonieta G. Impaired Clock Drawing Test in Progressive Supranuclear Palsy and Corticobasal Syndrome: Differences from Alzheimer Disease. Presented at the 70<sup>th</sup> Annual American Academy of Neurology Meeting, Los Angeles, April 2018.
- 3. Boxer A, Qureshi I, Grundman M, Tirucherai GS, Bechtold C, Ahlijanian M, Kolaitis G, Golbe LI, Honig LS, Isaacson S, Grossman M, McFarland NR, Litvan I, Geldmacher DS, Xie T, Bordelon Y, Tuite P, O'Suilleabhain P, Zesiewicz T. Multiple Ascending Dose Study of the Tau-Directed Monoclonal Antibody BIIB092 in Patients With Progressive Supranuclear Palsy. *Presented at the 70<sup>th</sup> Annual American Academy of Neurology Meeting*, Los Angeles, April 2018.
- 4. Geldmacher DS, Hammond J, Pilonieta G. The Alabama Brief Cognitive Screener Serves as a Method for Monitoring Cognitive Function Over Time in Neurodegenerative Disorders. Presented at the *American Association of Geriatric Psychiatry Annual Meeting*, Honolulu, March 2018.
- 5. Hammond <u>J</u>, Pilonieta G, Natelson Love M, Perez <u>S</u>, Geldmacher DS. The Clock Drawing Test Serves as a Time Saving Surrogate for the Alabama Brief Cognitive Screener as a Method to Distinguish Mild Cognitive Impairment and Alzheimer's Disease. Presented at the *American Association of Geriatric Psychiatry Annual Meeting*, Honolulu March 2018

#### Gerstenecker, Adam

- 1. Gerstenecker, A. (2018). *Assessing for Cognitive Impairment in Parkinson's Disease*. Orally Presented at the Alabama Udall Interdisciplinary Transitional Research Meeting. Birmingham, AL.
- 2. Gerstenecker, A. (2018). *Hippocampal Internal Architecture and Systemic Inflammation in Multiple Sclerosis*. Orally Presented at UAB MS Collaborative Research Meeting. Birmingham, AL.

### Goldberg, Matthew

- 1. Creed, RB and Goldberg MS, Analysis of cortico-striatal glutamatergic transmission in PINK1 KO rats, Society for Neuroscience Annual meeting.
- **2.** A. M. Rizwan, L. J. Mcmeekin, S. K. Barodia, M. V. King, N. K. Mokha, R. B. Creed, M. S. Goldberg; Analysis of neuromuscular degeneration and regeneration in PINK1 knockout rats, Society for Neuroscience Annual meeting.

### Gray, Michelle

Heriditary Disease Foundation, HD2018: The Milton Wexler Celebration of Life, Boston, MA, August 2018; "Astrocytes in Huntington's Disease: An Analysis in BACHD Mice"

#### Gross, Alecia

- May 2018: "Retinal degeneration and protein mislocalization in Mks6 mutants," poster presentation, Association for Research in Vision and Ophthalmology (ARVO) Annual Meeting, Honolulu, HI
- 2. May 2018: "Congenital knock-out of transition zone protein BBS5 reveals cone-rod dystrophy with light-induced protein mislocalization," ARVO Annual Meeting, Honolulu, HI

#### Hablitz, John

- 1. Lado, W.E. and Hablitz, J.J.. Role of somatostatin and parvalbumin interneurons in 4- aminopyridine-induced epileptiform discharges in mouse cortex. Soc. Neurosci. 2017, 292.21
- 2.McMeekin, L.J., Jenkins, L.M. B., Wwatkins, B.M., Bohannon, A., Patel, A, Kralli, A., Hablitz, J.J. and Cowel, R.M. ERRα as a putative mediator of PGC-1α-dependent gene expression: Relevance for the pathophysiology of Schizophrenia. Soc for Neurosci. 2017, 1715.18

#### Herskowitz, Jeremy

- 1. Volpicelli-Daley LA, Froula JM, Henderson BW, Gonzales J, Vaden JH, Dib AG, Overstreet-Wadiche L, Herskowitz JH. Neuronal defects caused by early formation of alpha-synuclein inclusions. *Society for Neuroscience*. Washington, DC, 2017.
- 2. Henderson BW, Herskowitz JH. Amyloid-β induces dendritic degeneration by altering Rho kinase (ROCK) signaling in Alzheimer's disease. *Society for Neuroscience*. Washington, DC, 2017.
- 3. Boros BD, Gentry EG, Birchall EL, Gearing M, Herskowitz JH. Dendritic spine structural remodeling provides cognitive resilience against Alzheimer's disease pathology. *Society for Neuroscience*. Washington, DC, 2017.

#### Selected for SfN Hot Topics

- 1. Boros BD, Curtis KA, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spines provide cognitive resilience against Alzheimer's disease. *Alzheimer's Association International Conference*. Chicago, IL, 2018.
- Henderson BW, Bach SV, Day JJ, Herskowitz JH. RhoA-associated kinases ROCK1 and ROCK2 mediate amyloid-β induced synaptic degeneration in Alzheimer's disease. <u>Society for Neuroscience</u>. San Diego, CA, 2018.
- 3. Walker CK, Boros BD, Greathouse KM, Curtis KA, Ramdas, R, Herskowitz JH. Dendritic spine pathology links tauopathy mouse models to Alzheimer's disease. *Society for Neuroscience*. San Diego, CA, 2018.
- 4. Boros BD, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spine structural remodeling accompanies Alzheimer's disease pathology in cognitively normal human aging. *Society for Neuroscience*. San Diego, CA, 2018.
- 5. Curtis KA, Boros BD, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spines provide

- cognitive resilience against Alzheimer's disease. Society for Neuroscience. San Diego, CA, 2018.
- 6. Vo HT, Phillips ML, Herskowitz JH, King GD. Klotho regulates the activity of hippocampal neurons. *Society for Neuroscience*. San Diego, CA, 2018.

### Kennedy, Richard

- 1. Poster presentation at the 2018 annual meeting of the Alzheimer's Association International Conference.
- 2. Poster presentation at the 2018 annual meeting of the Clinical Trials in Alzheimer's Disease, and
- 3. Oral presentation and 4 poster presentations at the 2018 annual meeting of the Gerontological Society of America

### King, Gwendalyn

- 1. Nathan Shock Center Symposium, April 2018, UAB
- 2. Society for Neuroscience, November 2018

# Knight, David

- 1. Mrug, S. Knight, D. C., Davis, E. S., Harnett, N. G., Goodman, A. M., Elliott, M. N., Tortolero, S., Schuster, M. A., (2018). Violence Exposure through Adolescence and Neural Activity to Stress. Society for Research on Adolescence.
- 2. Davis, E. S., Goodman, A. M., Orem, T. R., Wheelock, M. D., Harnett, N. G., Mrug, S., Knight, D. C. (2018). Racial differences in violence exposure and their effects on the psychosocial stress response. Poster presented at Ost Undergraduate Research Competition. University of Alabama at Birmingham.
- 3. Orihuela, C. A., Mrug, S., Davies, S., Elliot, M., Knight, D., Reisner, S., Tortolero, S., Schuster, S. (2018). Relationships Between Parental Monitoring, Parental Nurturance and Risky Sexual Behaviors and Outcomes. Society for Research in Child Development.
- 4. Purcell, J. B., Harnett, N. G., Mrug, S., Elliott, M.N., Tortolero Emery, S., Schuster, M. A., Knight, D. C. (2018). Alterations in gray matter volume of the prefrontal cortex, hippocampus, and amygdala persist into young adulthood following alcohol, tobacco, and cannabis use during adolescence. Alabama Psychological Association, Orange Beach, Alabama.
- 5. Harnett, N. G., Wheelock, M. D., Wood, K. H., Goodman, A. M., Mrug, S., Elliott, M., Schuster, M., Tortolero Emery, S., & Knight, D.C. (November, 2018). Negative life experiences contribute to racial differences in the neural response to threat. Poster presented at the 48th Annual Meeting of the Society for Neuroscience. San Diego, CA.
- 6. Dark, H. E., Harnett, N. G., Goodman, A. M., Mrug, S., Schuster, M. A., Elliott, M. N., Tortolero, S., Knight, D. C. (2018). Functional connectivity influences stress-induced changes in autonomic arousal. The Society for Neuroscience Annual Conference, San Diego, CA.
- 7. Purcell, J.B., Harnett, N.G., Mrug, S., Elliott, M.N., Tortolero Emery, S., Schuster, M.A., Knight, D.C. (2018). Alterations in gray matter volume of the prefrontal cortex, hippocampus, and amygdala persist into young adulthood following alcohol, tobacco, and cannabis use during adolescence. Presented at Neuroscience 2018 in San Diego, CA.
- 8. Davis, E. S. Goodman, A. M., Orem, T. R., Harnett, N. G., Wheelock, M. D., Mrug, S., Schuster, M. A., Elliott, M. N., Tortolero, S. R., & Knight, D. C. (2018, November). Race, violence exposure, and the psychosocial stress response. Presented at the Society for Neuroscience Conference 2018, San Diego, California.
- 9. Bell, K.L., Harnett, N., Mrug, S., Schuster, M., Elliot, M., Tortolero, S., Knight, D., (2018). The

influence of neighborhood disadvantage during adolescence on volume of the adult amygdala, hippocampus, and thalamus. Poster presented at the 48th Annual Meeting of the Society for Neuroscience. San Diego, CA.

### Lahti, Andrienne

#### **Oral Presentations**

- 1. Hippocampal Glutamate and Resting State Functional Connectivity in schizophrenia and in a ketamine Model. ACNP annual meeting, Palm Springs, California, December 2017Understanding White Matter Pathology Using Diffusion Tensor Imaging and MR Spectroscopy. Schizophrenia Interntational Research Society (SIRS) meeting, Florence, *Italy*, April 2018.
- 2. Synaptic Dysfunction in Schizophrenia: Exploration of Novel Hypotheses and Promising New Leads Panel: Discussant, SIRS meeting, Florence, *Italy*, April 2018.
- 3. Magnetic Resonance Spectroscopy Panel: Moderator, 7<sup>th</sup> Annual Alabama Advanced Imaging Consortium Retreat, Cheaha National Park, July 2018

#### Poster Presentations:

- 1. Kraguljac NV, Thomas A, Skidmore FM, White DM, Lahti AC. White matter integrity and effects of antipsychotic medicaitons in patients with schizophrenia. American College of Neuropsychopharmacology Annual Meeting, Palm Springs, CA, December 2017
- 2. Lottman KK, White DM, Kraguljac NV, Reid MA, Gawronski B, Lahti AC. Multimodal fusion of 7T imaging data using mCCA+jICA model in first-episode schizophrenia. UAB Department of Psychiatry Annual Research Symposium, Birmingham, AL, April 2018
- 3. Kraguljac NV, Thomas A, Skdomre FM, Marstrander J, White DM, Lahti AC. White matter integrity and antipsychotic medication effects in patients with schizoprhenia. UAB Department of Psychiatry Annual Research Symposium, Birmingham, AL, April 2018
- 4. Nelson EA, White DM, Kraguljac NV, Varghese A, Lahti AC. Cortical folding indices are related to treatment response in schizophrenia. UAB Department of Psychiatry Annual Research Symposium, Birmingham, AL, April 2018
- 5. Kraguljac NV, Thomas A, Skidmore FM, Monroe W, Martrander J, White DM, Lahti AC. Antipsychotic medications do not affect abnormal extracellular free water and spatial configuration of neurites in unmedicated patients with schizophrenia. Society of Biological Psychiatry Annual Meeting, New York, NY, May 2018
- 6. Birur B, Kraguljac N, White D, Lahti A. Neurochemistry in the Medial Prefrontal Cortex in Medication-naïve Fist Episode Psychosis and Response to Antipsychotic Treatment. Society of Biological Psychiatry Annual Meeting, New York, NY, May 2018
- 7. Lathi AC, Overbeek G, White D, Gawne TJ. A Combined 7T Stroopp fMRI and MRS Study in First Episode Schizophrenia. Organization of Human Brain Mapping. Singapore, June 2018.
- 8. Kraguljac NV, Thomas A, Skidmore FM, Monroe W, Martrander J, White DM, Lahti AC. Antipsychotic medications do not affect abnormal extracellular free water and spatial configuration of neurites in unmedicated patients with schizophrenia. Organization of Human Brain Mapping. Singapore, June 2018.

#### Lubin, Farah

- 1. F.D. Lubin. Neurobiology of Memory in Epilepsy. Merritt Putnam Symposium Plenary speaker. American Epilepsy Society (AES) annual meeting.
- 2. F.D. Lubin. The Epigenetic basis of memory and epilepsy-related memory dysfunction. the Developmental Neurosciences Grand Rounds at the Alberta Children's Hospital in Calgary, University of Calgary, Alberta Canada. Invited by Dr. Jong M. Rho.
- 3. F.D. Lubin. Clustered Regularly Interspaced Short Palindromic Repeats (CRISPR)/Cas9

- 101. SciCafe, Birmingham AL. Invited by Research Civitan Club and McWane Science Center.
- 4. F.D. Lubin. Epigenetic Regulation of Gene Transcription in Epilepsy. Neuroscience Retreat University of Michigan, Ann Arbor, MI. Invited by the Neuroscience students
- 5. F.D. Lubin. O-GlcNAcylation and Epigenetic Regulation of Gene Transcription in Epilepsy. NIGMS-RISE Program UPR Medical Sciences Campus Seminar Series. University of Puerto Rico medical campus. Invited by the Neuroscience students.
- 6. F.D. Lubin. O-GlcNAcylation and Epigenetic Regulation of Gene Transcription in Epilepsy. The Center for Neurodegeneration and Experimental Therapeutics (CNET) Retreat at UAB. Invited by Drs. Eric Roberson and Andrew West CNET Directors at UAB.

### Marson, Daniel

Marson, D. (April 27, 2018). Neuroscience evidence in a case of alleged dementia and incapacity to stand trial.

Presentation at the Our Aging Brains—Decision-Making, Fraud and Undue Influence conference, hosted by the Center for Law, Brain and Behavior (Massachusetts General Hospital) and the Petrie-Flom Center for Health Law Policy, Biotechnology and Ethics (Harvard Law School), Harvard Law School, Cambridge, MA.

# McMahon, Lori

- 1. American College of Neuropsychopharmocology (ACNP) Annual Meeting, 2018, Hollywood, FL
- 2. George Washington University, Neuroscience Institute, 2018, Washington, DC, M2588587;1
- 3. Gordon Research Conference, Synaptic Transmission, 2018, Waterville Valley, NH

### Meador-Woodruff, James

- 1. Scott MR, Meador-Woodruff JH: Proteasome activity and expression in schizophrenia brain. Abstract #807.01. Presented at the 47th Annual Meeting of the Society for Neuroscience, Washington, D.C., November, 2017.
- 2. Mueller, T., Pinner, A., Meador-Woodruff, J.H.: O-GIcNAc Dysregulation in Schizophrenia Cortex. Presented at the 6th Schizophrenia International Research Society Conference, Florence, Italy, April 4-8, 2018.
- 3. Chadha, R., Meador-Woodruff, J.H.: AKT-MTOR Signaling Pathway is Downregulated in Schizophrenia. Presented at the 6th Schizophrenia International Research Society Conference, Florence, Italy, April 4-8, 2018.
- 4. Kim, P., Meador-Woodruff, J.H.: Abnormal Remodeling Processing in Neural GPI-Aps Secretory Pathway in Schizophrenia. Presented at the 6th Schizophrenia International Research Society Conference, Florence, Italy, April 4-8, 2018.
- 5. Meador-Woodruff JH: Mechanisms of Abnormal Posttranslational Protein Processing in Schizophrenia Brain. Presented as part of the symposium "Digging Deeper in the Proteome of Schizophrenia" at the 6th Schizophrenia International Research Society Conference, Florence, Italy, April 4-8, 2018.

# Parpura, Vladimir

- 1. "Astroglial cells release glutamate by regulated exocytosis in health and disease". International Clinical Research Centre, St. Anne's University Hospital Brno, Czech Republic
- 2. "The role of enteric glia in regulation of gut motility: Implications to oculo-dento digital dysplasia", In Colloquium 1: Glia in model Organisms (Chair: Margaret Ho, Shanghai Tech University; co-Chair: Vladimir Parpura, UAB) 49<sup>th</sup> Annual Meeting of the American Society for Neurochemistry, Riverside, CA.
- 3. "Neurochemistry: As a trainee learning all of the skills you need to run a lab", Speaker in YIAC

(Young Investigator Advisory Committee of the American Society for Neurochemistry/YSSC (Young Scientist Steering Committee of the International Society for neurochemistry) workshop "Finding the Right Fit: Academia" (Chair: Haley E. Titus Northwestern University, Chicago IL) 49<sup>th</sup> Annual Meeting of the American Society for Neurochemistry, Riverside, CA.

### Powell, Craig

- 1. American Neurological Association (ANA) Behavioral Neurology Special Interest Group, Atlanta, GA, 2018
- 2. UNC, Neuroscience Center and Carolina Institute for Developmental Disabilities Seminar, Chapel Hill, NC, 2018
- 3. UAB, Neurobiology Seminar, Birmingham, AL, 2018
- 4. Asia Cold Spring Harbor Laboratory Autism Meeting, Shanghai, China, 2018
- 5. International Union of Biochemistry and Molecular Biology, Seoul, Korea, 2018

#### Pozzo-Miller, Lucas

- 1. Speaker at the Gordon Research Conference on "Excitatory Synapses and Brain Function". Les Diablerets, Switzerland.
- 2, Instituto Ferreyra, CONICET, Córdoba, Argentina.

#### Prabhu, Sumanth

1. Invited Speaker: "Immune Cell Activation in Heart Failure" Temple University, Center of Translational Medicine

Philadelphia, PA 05/09/2018

2. Invited Speaker: "Immune Cells and Cardiac Repair" NIH Cardiovascular Bioengineering (CVBE) Symposium

Birmingham, AL 03/01/2018

3.Invited Speaker: "Immune Activation in Heart Failure" Pathology Grand Rounds, Birmingham, AL 01/11/2018

### Roberson, Erik

- 1. Johns Hopkins University Neuroscience Seminar
- 2. Ohio State University Neuroscience Seminar
- 3. Arizona State University Neuroscience Seminar
- 4. Southeastern Neurodegenerative Disease Conference
- 5. UAB School of Medicine Research Retreat
- 6. Deep South Resource Center for Minority Aging Research Advisory Committee Meeting
- 7. Alabama Drug Discovery Alliance Symposium
- 8. UAB Molecular Imaging Symposium

#### Sarraf, Mohammad

- 1. TCT 2018
- 2. TVT 2018
- 3. CRT 2018

#### Standaert, David

- 1. Michael J. Fox Foundation PD Therapeutics Conference, Oct, 2017, New York, Moderator
- 2. NIH Board of Scientific Counselors (BSC) Meeting, Bethesda, MD, 1/28-31/18
- 3. International Society for CNS Clinical Trials (ISCTM) Meeting, Washington, DC, 2/22/18, Novel Targets of Disease Modifying Therapy.
- 4. NINDS T32 Regional Meeting, Philadelphia, PA, 2/26-27/18
- 5. University of Virginia Grand Rounds presentation, Charlottesville, VA 3/8-9/18, Neuroinflammation in Parkinson disease
- 6. NIH Board of Scientific Counselors (BSC) Meeting, Bethesda, MD, 4/15-17/18
- 7. Edmond J. Safra Fellowship in Movement Disorders Meeting, New York, NY, 5/1-3/18
- 8. American Parkinson Disease Association Scientific Advisory Board Meeting, Elizabeth, NJ, 5/17/18

- 9. MDS 2<sup>nd</sup> Pas Congress Meeting, Miami, FL 6/22/18, Plenary Chair, Parallel Session Chair, Workshop on Infusion Therapies for PD
- 10. UAB-SR Research Retreat, Birmingham, AL, July 27, 2018, Strategic Opportunities in Neurosciences
- 11. Alabama Academy of Neurology, August 12, 2018, Birmingham, AL., Update on Medical Therapy for Parkinson disease.
- 12. Southeast Neurodegeneration Conference, Orlando, FL, 9/27-30/18
- 13. 20th Annual NINDS Udall Centers Meeting, Innate and Adaptive Immunity in Parkinson Disease, 10/17-18/18
- 14. American Neurological Association, Dystonia Therapeutics, Atlanta, GA, Oct 22, 2108
- 15. UAB Symposium on Molecular Imaging, Birmingham, AL, Oct 24, 2018, Imaging inflammation in Parkinson disease
- 16. NINDS Symposium on Dystonia, Bethesda, MD, Oct 29-30, Co-Chair
- 17. MDS Course on Neurobiology of Movement Disorders, San Diego, CA Nov 2, 2018, Co-Director, Introduction to Clinical Features of Parkinson disease
- 18. Fresco International Workshop on Synaptic Plasticity, Florence Italy, 11/14-18/18. Plasticity, genetics and epigenetics in L-DOPA-induced dyskinesia

### Thannickal, Victor

- 1. Invited Speaker, Symposium on Pathobiology of Age-Related Lung Disease: from Bench to Bedside, "Idiopathic Pulmonary Fibrosis: Prototype of Aging-Related Lung Disease", American Thoracic Society International Conference, San Diego, CA
- 2. Invited Speaker, "Mechanisms of Lung Fibrosis" in Session I: Biology of Organ Fibrosis: What's New? Hepatic Fibrosis: New Concepts and Controversies Single Topic Conference, American Association for the Study of Liver Diseases, Hyatt Regency DFW, Dallas, TX

### Ubogu, Eroboghene

- 1. Palladino S, Helton ES, Dong C, Ubogu E. The CCR5-CD11d-CD99L2 axis in the pathogenesis of HIV distal sensory neuropathy. Journal of NeuroVirology 2018; 13 (Suppl 1): S64 (Presented at the Joint Meeting of the International Society of Neurovirology and the Society on NeuroImmune Pharmacology, April 12, 2018 in Chicago, IL).
- 2. NN103 BEATMG Study Team. B Cell Targeted Treatment in Myasthenia Gravis (BeatMG) A Phase 2 Trial of Rituximab in MG: Topline Results (Presented at the 15th International Congress on Neuromuscular Diseases (ICNMD), July 9, 2018 in Vienna, Austria).
- 3. Ubogu, E.E. Glial-derived neurotrophic factor (GDNF): An essential paracrine regulator of the blood-nerve barrier. Department of Molecular Physiology and Biophysics Seminar Series, Baylor College of Medicine, Houston, Texas, September 18th, 2018.
- 4. Jiang N, Ubogu EE. Cervical spine magnetic resonance imaging with neck flexion in the early diagnosis of Hirayama disease. Muscle and Nerve 2018; 58 (Suppl S2): S62 (Presented at the 2018 Annual meeting of the American Association of Neuromuscular and Electrodiagnostic Medicine, October 2018 in Washington, DC).
- 5. Ubogu, E.E. Investigating the human blood-nerve barrier in health and peripheral nerve disease. 2018 Comprehensive Neuroscience Center Retreat, the University of Alabama at Birmingham, Regions Field Ballroom, Birmingham, Alabama, October 19th, 2018

### Visscher, Kristina

Perceptual Learning Workshop 5th International invited biannual conference (June, 2018) Macular Degeneration as a model for perceptual learning.

### Wadiche, Jacques

1. University of Connecticut Special Seminar "Regulation of Multivesicular Release" Storrs, Connecticut

2. SfN Symposium: Jahr Symposium "Many vesicles, many years" Bethesda, Maryland

### Wadiche, Linda

- 1. Keynote speaker, Hudson-Berkshire SFN meeting, Albany, NY
- 2. Meet-the-Expert session at Society for Neuroscience meeting, San Diego

### Wadley, Virginia

- 1. Passler JS, Kennedy RE, Crowe M, Clay OJ, Howard VJ, Wadley VG. The relationship of cognitive decline and impairment to the AD8 and activities of daily living in the REGARDS sample. Presented at the 46<sup>th</sup> annual meeting of the International Neuropsychological Society, Washington, DC, February, 2018.
- 2. The SPRINT MIND Investigators for the SPRINT Research Group. Intensive versus Standard Blood Pressure Control, Mild Cognitive Impairment, and Dementia: A Randomized Clinical Trial. Presented at the Alzheimer's Association International Conference; Chicago, IL; July 25, 2018.
- 3. The SPRINT MIND Investigators for the SPRINT Research Group. Intensive Versus Standard Blood Pressure Control and Brain Structure: A Randomized Clinical Trial. Alzheimer's Association International Conference; Chicago, IL; July 25, 2018.
- 4. Zahodne L, Manly JJ, Sumner J, Crowe M, Weuve J, Wadley V, Howard VJ. Social support during childhood and longitudinal cognitive trajectories in later life. Presented as part of a symposium LIFE COURSE PSYCHOSOCIAL RESOURCES AND COGNITIVE AGING Chair: L. Zahodne Discussant: M. E. Lachman, to the annual meetings of the Gerontological Society of America, Boston, MA, November 2018
- 5. Bull TP, Steward KA, Kennedy RE, Elgin JM, Marson DC, Owsley C, Wadley VG. Investigating Decline in Driving Performance and Financial Skills Within a Continuum of Mild Cognitive Impairment. Presented at the annual meetings of the Gerontological Society of America, Boston, MA, November 2018.
- 6. Jang B, Melendez R, Kim M, Judd S, Wadley VG, Colabianchi N, Manly J, Clarke P. Neighborhood Environments and Racial Disparities in Cognitive Decline With Age. Presented at the annual meetings of the Gerontological Society of America, Boston, MA, November 2018.
- Wadley VG, Crowe M, McLaughlin MC, Steward KA, Bull TP, Geldmacher DS, Marson DC, Kennedy RE. Useful Field of View Score Predicts Performance of Instrumental Activities and Financial Capacity in MCI. Presented at the annual meetings of the Gerontological Society of America, Boston, MA, November 2018.
- 8. Wadley VG, Howard VJ, Knopman DS, Lal BK, Meschia JF, Howard G, Brott TG, Lazar R. Centralized Cognitive Assessment in a Multicenter Trial of Treatment Modes for Asymptomatic Carotid Artery Disease. Presented at the annual meetings of the Gerontological Society of America, Boston, MA, November 2018.
- 9. Gullett JM, Bharadwaj PK, Rezaei RF, Forbes M, Sims SA, Franchetti MK, Merritt SS, McInerney KF, Sarno M, Jessup CJ, Hishaw GA, Trouard TP, Levin BE, Rundek T, Wadley VG, Visscher K, Porges ES, Woods AJ, Alexander GE, Cohen RA. Brain Volume and Toolbox Performance. Presented at the Society for Neuroscience McKnight Reception, San Diego, CA, November 2018.
- 10. Raichlen DA, Bharadwaj PK, Franchetti MK, Sims S, Rezaei RF, Merritt S, Jessup CJ, Porges ES, Geldmacher D, Hishaw GA, Alperin N, Trouard TP, Wadley VG, Levin BE, Woods AJ, Rundek T, Visscher K, Cohen RA, Alexander GE. Relation of Physical Activity to Regional Maps of Cortical Gray Matter Volume in the Healthy Oldest Old: Findings from the McKnight Brain Aging Registry. Presented at the Society for Neuroscience McKnight Reception, San Diego, CA, November 2018.
- 11. Wadley Bradley VG. Intensive Treatment of Hypertension is Better for the Brain: Results from SPRINT MIND. Presented at the Comprehensive Center for Healthy Aging Scientific Seminar Series, University of Alabama at Birmingham, Birmingham, AL, November 2018

12. Wadley VG. MildCognitive Impairment: Definitions, Modifiable Risk Factors, Interventions. Presented to Geriatric and Internal Medicine interns and fellows, University of Alabama at Birmingham, Birmingham, AL, November 2018.

### 5. Presentations at public (non-scientific) meetings or events

### Amara, Amy

- 1. 10/19/2018: Grand Rounds, University of Virginia: "Training the Brain: Non-Pharmacologic Interventions for Sleep and Cognition in Parkinson's Disease
- 2. 06/02/2018: Women and PD TALK Regional Forum Leader, Parkinson Foundation
- 3. 10/30/2018: Rehabilitation Science Seminar Series: Training the Brain: The Impact of Exercise on Sleep and Cognition in Parkinson's Disease, Birmingham, AL

#### Benveniste, Tika

- 1. Co-Facilitator, Council for Faculty and Academic Societies (CFAS) Annual Meeting, "Practical Strategies for Basic Science Faculty Engagement in Governance and Leadership", Chicago, Illinois, April 19-21, 2018.
- 2. Invited Speaker, AAMC Annual Meeting, "Revitalizing and Invigorating the Ph.D. Education", Austin, Texas, November 2-6, 2018.

### Geldmacher, David

- 1. Alzheimer's Update Middle Alabama Area Agency on Aging Annual Conference; Monteballo, AL June 2018
- 2. From sensation to cognition: Osher Lifelong Learning Institute Birmingham Chapter Vestavia Hills, AL, March 2018

### Gray, Michelle

Comprehensive NeuroScience Café, Homewood Public Library, "Advances in Huntington's Disease: New Therapeutic Strategies

### Lahti, Andrienne

- 1. Schizophrenia and Psychosis Related Disorders. Neuroscience Café, Homewood library
- 2. Schizophrenia and Psychosis Related Disorders. Neuroscience Café, Mountain Brook library
- 3. Schizophrenia and Psychosis Related Disorders. Community Psychiatry Program, Birmingham, AL

#### Lubin, Farah

- 1. F.D. Lubin The Minority Youth Science Academy (MYSA) Samford University, Birmingham, AL Invited by Assisstant Provost for Diversity and Intercultural Initiatives, Denise Gregory
- 2. F.D. Lubin. STEM: Neuroscience. GirlSprings, Inc. STEM fair, Children's Hospital Bradley Lecture Center, Birmingham AL. Invited by GirlSpring Executive director Kristen Greenwood

### Marson, Daniel

- 1. Marson, D. (March 1, 2018). Capacity loss in an aging society: Impact on estate planning professionals. Presentation at the monthly meeting of the Estate Planning Council of Birmingham, Harbert Center, Birmingham, Alabama.
- 2. Marson, D. (March 29, 2018). Clinical, legal and judicial judgments of capacity in persons with dementia. Keynote presentation at the Dementia Diagnosis and the Law, Continuing Judicial Education Conference, held at the Penn State/Dickinson Law School, Carlisle, PA.
- 3. Marson, D. (September 28, 2018). The neurological basis of autism: an introduction for agency staff and parents. Presentation for parents of persons with ASD hosted by and at Glenwood Mental Health, Inc, Birmingham, Alabama.
- 4. Godfrey, D., Marson, D. (October 24, 2018). Legal ethics when counseling individuals with diminished capacity. Ethics presentation at the National Aging and Law Conference, sponsored by the American Bar Association, Crowne Plaza Old Town, Alexandria, VA.
- 5. Upcoming Neuroscience Café Speaker, January 24, 2019

#### McMahon, Lori

Keynote Speaker, Southeastern Association of Advocates for Women in Science and Medicine, 2018, Birmingham, AL

#### Meador-Woodruff, James

Schizophrenia as a Disorder of Receptor Trafficking. Biomedical Sciences Seminar Series, Florida State University College of Medicine, Tallahassee, Florida, March 7, 2018.

### Parpura, Vladimir

Multiple public presentations/appearance as President for American Society for Neurochemistry. I do not maintain database for this.

### Roberson, Erik

- 1. Alzheimer's of Central Alabama Lunch & Learn
- 2. Neuroscience Café, Homewood Public Library

#### Standaert, David

- 1. Neurology Grand Rounds, UAB Department of Neurology, UAB Neurology: The Road Ahead, 9/18/18
- 2. Parkinson Association of Alabama Annual Symposium, September 15, 2018, Alabama Udall Center
- 3. UAB Board of Visitors, Oct 8, 2018, Neurology Highlights 2018

### Thannickal, Victor

- 1. Visiting Professor, Pulmonary Research Conference, "Idiopathic Pulmonary Fibrosis: Mechanisms of Initiation and Progression", University of Iowa, Iowa City, IA
- 2. Visiting Professor, Pulmonary Grand Rounds, "Mechanisms of Fibrosis Resolution", Brigham and Women's Hospital, Harvard Medical School, Boston, MA

#### Triebel, Kristen

Presented (invited) to the Birmingham American Cancer Society chapter fundraising event "Hope in the Ham" on July 26, 2018. The title of the presentation was "Detecting and improving cancer-related cognitive impairment."

#### Visscher, Kristina

- 1.Birmingham Taste of Science Festival April 23, 2018. "Taste of Neuroscience: Plasticity and Vision"
- 2. Osher Lifelong Learning Institute, Tuscaloosa, AL October 3, 2018, "Better Brain Training for an Aging World."

### 6. Awards

### Amara, Amy

2018 B-metro Top Women in Medicine

### Benveniste, Tika

National Multiple Sclerosis Society Volunteer Hall of Fame, Scientific Researcher, 2018

### **Buford, Thomas**

- 1. Elected Fellow, Gerontological Society of America
- 2. Invited Attendee, NIA Division of Biology New Investigators Forum

#### **Dudenbostel**, Tanja

- 1. European Society of Hypertension, Elected Member
- 2. Southern American Federation of Medical Research/Society of Clinical Investigation American Heart Association, Blogger and Social Media News Team
- 3. Fellow (FAHA), American Heart Association Ramon F. Dacheux Promising Scientist Award

#### Gross, Alecia

American Optometric Student Association (AOSA) Excellence in Basic/Vision Science Instruction Award, Spring 2018

### Herskowitz, Jeremy

Dr. James A. Pittman Scholar, UAB School of Medicine

### Lahti, Andrienne

- 1. Named F. Cleveland Kinney Endowed Professor
- 2. Named Vice Chair for Research Training and Faculty Development

### Lubin, Farah

1. Co-Director, MERIT-IRACDA postdoctoral program

- 2. Mentor training on cultural awareness National Research Mentoring Network
- 3. Nominated for UAB Commission on the Status of Women Outstanding Women Award

### McMahon, Lori

Women to Watch by Birmingham Business Journal, September 2018, Birmingham, AL

### Parpura, Vladimir

- 1. 2018 Nomination, School of Medicine, Dean's Excellence Award in Teaching, Senior Faculty.
- 2. 2018 Nomination, UAB, President's Award for Excellence in Teaching
- 3. 2018 Nomination, UAB, President's Award for Excellence in Teaching Honors
- 4. 2018 Nomination, UAB, Provost's award for Faculty Excellence in Learning in a Team Environment
- 5. 2017-2018 McNulty Civitan Scientist Award, The UAB Civitan International Research Center and The Chesapeake District of Civitan International
- 6. 2017- Elected Fellow, The American Association for the Advancement of Science (AAAS), Section on Neuroscience

### Prabhu, Sumanth

- 1. Scientific Committee, Sarnoff Cardiovascular Research Foundation, 2018-2021
- 2. Innovation in Research Award, UAB Department of Medicine, 2018

#### Roberson, Erik

- 1. UAB Graduate Dean's Award for Excellence in Mentorship
- 2. American Society for Clinical Investigation

### Standaert, David

"Best Doctors in America", 2007-2018 inclusive

### Thannickal, Victor

Nominated to the National Heart, Lung, and Blood Institute Advisory Council Elected member, American Clinical and Climatological Association

#### Triebel, Kristen

Fellow of the National Academy of Neuropsychology, 2018, (awarded to neuropsychologists who have been determined by their peers to have made significant contributions to the science or service of neuropsychology).

#### Wadiche, Linda

- 1. Nominated by Journal of Neuroscience Reviewing Editors for recognition of the quality and thoughtfulness of reviews during peer-review week
- 2. Received a new NIH R01 award to study the function of slow-spiking GABAergic interneurons in dentate neurogenesis and inhibition
- 3. Selected as Chairperson of the NIH study section "Neurogenesis and Cell Fate"

#### Wadley, Virginia

UAB Department of Medicine Research Excellence Award, 2017-2018

#### 7. **Faculty**

Please include abbreviated CV with publications for previous 12 months. Appendix D.

#### 8. Trainees

### Amara, Amy

Post doctoral

Adeel Memon

Pre-doctoral

Conner Reese

Brandon Bodie

Neuroscience Honors Undergraduate

Hemant Srivastava

### Benveniste, Tika

- a. Post doctoral- (3)
- b. Pre-doctoral- (1)
- c. Other- (2) undergraduates

### **Bolding**, Mark

Pre-doctoral

Lisa H. Antoine

Shthira Ratnayaka

Patrick Alford

Shervonne Poleon

Megan Rich

### **Buford, Thomas**

### Post-doctoral

Brandon Roberts 2017- UAB Co-Mentor Liliana Baptista 2018- UAB Primary Mentor

Sara Harper 2018- UAB Primary Mentor Lisa Roberts 2018- UAB Primary Mentor

Yi Sun 2018- UAB Primary Mentor

### Day, Jeremy

### Post doctoral

Faraz Sultan, M.D. PhD.

Svitlana Bach, PhD. Kendra

Bunner, Ph.D. Jen Tuscher,

Ph.D.

Mika Guzman-Karlsson, M.D./Ph.D. Pre-

doctoral

Katherine Savell Nancy

Gallus Morgan Zipperly

Corey Duke Robert

Phillips Dobrunz,

### Lvnn

- a. Post doctoral Dwipayan Bhattacharya, PhD
- b. Pre-doctoral Katelynn Corder-Grier, PhD, Mariana Cortes
- c. Other Paula Dorcenat, PREP; Maya Feldhouse Summer Program in Neuroscience (SPIN);

### **Dudenbostel**, Tanja

### Post doctoral

Mohammed Siddiqui, MD Badhma Valaiyapathi, MD Maria El Hachem, MD Faris Matenes, MD Predoctoral

Jacob Mayfield, MD candidate, Class of 2020

Nitin Gharpure, Undergraduate, UAB Early Medical School Admission Program Grace Selzer

### Edwards, Lloyd

Pre-doctoral: Justin Leach and Steve Ampah – Department of Biostatistics dissertation students.

### Gamlin, Paul

Post doctoral

Kevin Schultz; Julie Quinet; Michael Savage Pre-

doctoral

Kevin Chang Other

Cristina Dieni, Research Asst. Prof.

### Geldmacher, David

### Post doctoral

Luke Smelser, MD – Neurology

John Hammond, MD, PhD – Geriatirc Psychiatry

### Goldberg, Matthew

### Post doctoral

Sandeep Kumar Barodia Pre-

doctoral

Rose B. Creed

**Undergraduates** Affan

Rizwan Mitchel King

Nimrit Mokha Gross,

Alecia Post doctoral

Dr. Meredith Hubbard Pre-

doctoral

Katie L. Bales Evan

**Boitet** 

Other MS student Adrianna Reyes

Moon Gray, Michelle

Pre-doctoral

Annesha King, Graduate Biomedical Sciences: Neuroscience Theme Other

Undergraduates: Amyarani Garcia, Neuroscience major Rose

Endfinger, Neuroscience major

Hablitz, John

Post doctoral

W.E. Wudu, Ph.D. Xin Xu,

Ph.D. Herskowitz, Jeremy

Post-doctoral students - 2

<u>Pre-doctoral</u> - 3 undergraduates

### Kennedy, Richard

Pre-doctoral

1 pre-doctoral in psychology and 1 pre-doctoral in epidemiology

### King, Gwendalyn

Pre-doctoralHai Vo

Other

Baylea Davenport Molly

Strickland Tate Pollock

Knight, David Pre-doctoral

5

Undergraduate5

### Lahti, Andrienne

Post doctoral

Jose O. Maximo, PhD Frederic

Briend, PhD Pre-doctoral

Eric Nelson Other

**Taylor Carter** 

### **Lubin, Farah** Post doctoral

Victoria Huang (PhD, 2015) Neurobiology Department, UAB.

Pre-doctoral Graduating in 2019

Anderson Butler (2013-present) Cellular and Molecular Biology Program, UAB. Richard Sanchez

(2014-present) Pathobiology and Molecular Medicine Program, UAB. Recent

Rebecca Hauser (2016-Present) Genetics and Genomic Sciences program, UAB

Silvienne C. Sint Jago (2018-Present), Pathobiology and Molecular Medicine program, UAB

Ashleigh Irving (2018-Present), Neuroscience program, UAB

Co-Mentored

Megan Rich (2015-Present) co-mentored with Dr. Mark Bolding Neuroscience Program, UAB

### Martin, Roy

### Pre-doctoral

UAB Psychology Interns (Katie Hannah Smith), UAB Psychology Graduate Students (Carla Ammons, Julia Beattie, Heather Dark, Kayla Steward)

### McMahon, Lori

### Post doctoral

1. Justin Barnes

### Pre-doctoral

- 1. Luke Stewart- doctoral student graduated April 2018
- 2. Allie Widman- doctoral student graduated June 2018
- 3. Lindsey Smith doctoral student graduated July 2018

Current doctoral students:

- 1. Anthoni Goodman
- 2. Kavitha Abiraman
- 3. Rose Creed (co-mentor)

#### Other

1. Bethany Languer

### <u>Undergraduates</u>

- 1. Micah Bagley
- 2. Capri Alex
- 3. Chatur Shivananda

### Meador-Woodruff, James

### Post doctoral

Pitna Kim, Ph.D.

Toni Mueller, Ph.D.

Matthew Vallejo, Ph.D.

Brandon Scott Pruett, M.D., Ph.D. (faculty level trainee)

#### Pre-doctoral

Madeline Scott (Neuroscience Graduate Program, UAB)

Radhika Chadha (Neuroscience Graduate Program, UAB)

Other (clinical supervison of psychiatry trainees)

Katie Thrower, M.D. (Psychiatry Resident, UAB)

Rosey Swafford, M.D. (Psychiatry Resident, UAB)

Matt Pixley, M.D. (Psychiatry Resident, UAB)

Stephen Richardson, D.O. (Psychiatry Resident, UAB)

Faculty Consultation Clinic attending (for PG3 Psychiatry Residents), Department of Psychiatry, UAB Medical Student Psychiatry Clinic attending (for medical students and senior residents), Department of Psychiatry, UAB

### Powell, Craig

### Post doctoral

Angela Walker, Ph.D. 2016-2018

Roopashri Holehonurr, Ph.D. 2016-2018

Zhenzhong Ma, Ph.D. 2017-2018

Qiangqiang Xia, Ph.D. 2018-present

Song, Chenghui, Ph.D. 2018-present

#### Other

Zhong Xuan, MD, PhD 2003-present

### Pozzo-Miller, Lucas

### Pre-doctoral

Mary Phillips, Neuroscience Theme, GBS, UAB

#### Other

Karen Ayala-Baylon (Masters in Biology program, UAB

Holly Robinson, UNP, UAB

Nirvignesh Vador, UNP, UAB

Dr. Wei Li, Assistant Professor (NTE), Neurobiology, UAB

### Prabhu, Sumanth

### Post doctoral

Qiongxin Wang, PhD

### Pre-doctoral

Sergey Antipenko, MS; Scott Nguyen (undergradaute)

Other Junior Faculty: 3; Technicians: 4; Research Associates: 3

### Roberson, Erik

### Undergraduate

Ote Staton, An Tran, Adam Aldaher

Graduate and Medical Students

Nick Boyle, Hunter Dean, Shreya Kashyap

### Standaert, David

### Pre-doctoral

Greg Williams – admitted to PhD candidacy, awarded NIH F31

Aubrey Schonhoff – admitted to PhD candidacy, awarded NIH F31

Lindsay Stoyka – admitted to PhD candidacy, awarded NIH F30

### Thannickal, Victor

### Post-doctoral

Kevin Dsouza, MD (Fellow), 2018-present

Bruno Pereira, MD, PhD (Fellow), 2018-present

### Pre-doctoral

Morgan Locy, MD/PhD student, 2014-present

Sam Smith, Graduate student, PhD Program in Biochemistry, Structural and Stem Cell Biology),

2018-present

### Other

Ren-Jay She, Ph.D., Postdoctoral Trainee, Division of Pulmonary, Allergy and Critical Care Medicine,

Jacelyn Peabody, M.D., PhD. Candidate, MSTP Committee Member, UAB School of Medicine

Kenneth P. Hough, PhD candidate, Co-Mentor and Doctoral Thesis Advisory Committee

### Triebel, Kristen

### Pre-doctoral

6 (2 Clinical Psychology Interns; 2 Psychology Doctoral Students, 1 Nursing Doctoral Student, 1

Doctoral Student in the School of Public Health)

#### Other

2 Junior Faculty (Donna Murdaugh, Ph.D. and Noha Sherafeldin, M.D., Ph.D.)

### Ubogu, Eroboghene

Neurology Residents (PGY3)/ Clinical Neurophysiology and Neuromuscular medicine fellows at UAB

#### Visscher, Kristina

### Post doctoral

Research Mentor for Dr. Pinar Demirayak

### Pre-doctoral

Leland Fleming, Ph.D., Neuroscience, Visscher lab Matthew

Defenderfer, Ph.D., Neuroscience, Visscher lab Mandy Biles,

Ph.D., Neuroscience, Visscher lab

Sara Sims, PhD., Psychology, Visscher lab

Jason Vice, PhD, rotating from Vision Science, Visscher lab <u>Undergraduate</u>

Utkarsh Pandey, Neuroscience Honors and Sci Tech honors, Visscher Lab

Ishant Santosh, Neuroscience Honors and Sci Tech honors, Visscher Lab

Simone Cetodal, Neuroscience Honors, Visscher Lab

Hannah Cowart, Jefferson County International Baccalaureate program

### Wadiche, Jacques

Post doctoral

Jada Vaden, PhD; Reagan Pennock, PhD

Pre-doctoral

Gokul Bunumurthy Other

Shreya Malthora

Wadiche, Linda

Post doctoral

Jose-Carlos Gonzalez, PhD

Chelsea Griffith, PhD Other

Stacey Niver (graduated in May) Lora

Stewart

Alyshia Bohannon

Wadley, Virginia Pre-

doctoral

Caroline Lassen-Greene, PhD, Medical Psychology doctoral program. Kayla Steward, PhD candidate, Medical Psychology doctoral program

Tyler Bull, MA, Medical Psychology doctoral program

### 9. Clinical/translational programs

### Beveniste, Tika

Project Leader on new Udall Grant

### Geldmacher, David

We have conducted qualitative analyses on the effects of telemedicine caregiver coaching in people with behavioral and psychiatric symptoms of dementia and differences between caregiver needs related to behavioral symptoms in Alzheimer's disease vs. Traumatic Brain Injury survivors **Kennedy**,

#### Richard

a. New programs

Received a 13<sup>th</sup> percentile from NIH/NIA for a new grant to develop new data mining methods for identifying delirium among hospitalized older adults

b. Update on existing clinical studies

Continued funding on R21/R33 grant from NIH/NIA to examine speed of processing training as an intervention to prevent cognitive decline among older adults after an episode of delirium; continued funding on R01 grant performing data mining among concomitant medications of older adults with Alzheimer's disease to identify potential novel therapeutic agents

### Lahti, Andrienne

a. New programs

Trajectories of treatment response as window into the heterogeneity of psychosis: a longitudinal multimodal imaging study in medication-naïve first episode psychosis patients (NIMH R01MH113800)

b. Update on existing clinical studies

Glutamate, brain connectivity and duration of untreated psychosis (R01MH102951)

### Lubin, Farah

UAB McKnight Award – "Exercise-related effects on memory function and neuronal circuitry- a clinical and preclinical investigation"

### Powell, Craig

- a. Currently laying the groundwork to bring UAB School of Medicine autism and neurodevelopmental disorders clinical entities together with central triage intake and increased access.
- b. Update on existing clinical studies

Near completion of enrollment of clinical studies of autism patients with Shank3 deletions/mutations (so-called Phelan McDermid Syndrome) funded by NIH RDCRN

- c. Near completion of enrollment of clinical studies of Phelan-McDermid Syndrome funded by Novartis for biomarker discovery
- d. Completed sample acquisition and enrollment in Autism Speaks Foundation-funded microbiome study in autism and typical development.

### Prabhu, Sumanth

- a. New programs Investigator initiated studies: Immune activation in acute decompensated heart failure; Cognitive function and brain inflammation following ST elevation MI; Inflammatory Activation and Biomarkers during acute ST elevation MI
- b. Update on existing clinical studies **GRAHF 2**: Genomic Analysis of Enhanced Response to Heart Failure Therapy in African Americans (enrollment closed December 2018); **DREAM HF-1**: A Double-blind, Randomized, Sham–Procedure–Controlled, Parallel-Group Efficacy and Safety Study of Allogeneic Mesenchymal Precursor Cells (rexlemestrocel-L) in Patients with Chronic Heart Failure Due to Left Ventricular Systolic Dysfunction of Either Ischemic or Nonischemic Etiology (enrollment closed December 2018)

### Meador-Woodruff, James

As the chair of the UAB Psychiatry department, I have been working on numerous faculty recruitment efforts; we are actively recruiting into more than a dozen faculty slots at this time. Relevant to the McKnight mission, we have two open positons for geriatric psychiatrists to grow our total capacity for aged psychiatric patients, many of whom have memory related problems. We are likely to fill both positions in 2019. First is a well-trained geriatric psychiatrist from Harvard who will join our faculty in early 2019, adding outpatient capacity to our busy clinical services. The second is currently being recruited, and is a current trainee in a collaborative effort between the Division of Geriatric Psychiatry (in Psychiatry) and the Memory Disorders Program (in Neurology). We expect this trainee to finish and join our faculty in the summer of 2019.

#### Roberson, Erik

Alzheimer's Disease Center. Enrollment underway, in mid-30s. ~50% African American.

#### Standaert, David

New programs - The Alabama Udall Center of Excellence in

Parkinson's disease Research

### Thannickal, Victor

New programs

A phase-II clinical study has been initiated with a NOX4 inhibitor (GKT831)

National Institutes of Health, NHLBI: P01 HL114470

"Targeting the Myofibroblast in Fibrotic Lung Disease"

<u>Role</u>: Principal Investigator; Project 1 Leader (25% effort); Administrative and Biostatistics Core (5% effort); Animal and Therapeutics Core (10% effort)

#### Ubogu, Erobo

- 1. New programs: Autonomic Testing Laboratory (Director: Mohamed Kazamel, M.D.).
- 2. Update on existing clinical studies: Successful FDA audit for Phase 3 LMS- 002 Study (Catalyst Pharmaceuticals). Continued participation in the NIH-funded Agrin/LRP4 antibody positive myasthenia gravis study. Initiation and successful enrolment for the NN108 NeuroNext idiopathic polyneuropathy study

### Visscher, Kristina

1. A graduate student in my lab, Mandy Biles, from the Psychology, Behavioral Neuroscience Graduate Program is leading a study on the basic science of the mechanisms behind training to use peripheral vision. This basic science study, using the human brain as a model, tests how training to use a specific part of the peripheral retina influences brain areas associated with that part of the retina. This is very relevant to understanding neural plasticity in older adults, as it is a direct model of Age-

related Macular Degeneration – a real-world case in which older adults drastically change the way they use vision.

2. The McKnight Brain Aging Registry (MBAR) and its Neuroimaging and Cognitive Cores continues to make progress. This initiative has a primary goal of facilitating expanded cross-institute collaborations across the four McKnight Brain Institutes, while focusing on advancing the collective mission of enhancing our understanding of cognitive and brain aging to support the development of interventions for age-related cognitive decline. Despite experiencing a number of significant challenges during the initial start-up phases of the project, we have made considerable progress over this last reporting period, and have data collection fully underway.

### Wadley, Virginia

- 1. McKnight Brain Aging Registry—Cognitive Aging and Memory Intervention Core Processing speed training to preserve driving and functional competencies in MCI
- 2. Reasons for Geographic and Racial Differences in Stroke (REGARDS) VCID and Stroke in a Biracial National Cohort (REGARDS)
- 3. Systolic Blood Pressure Intervention Trial (SPRINT) Clinical Center Networks And Co-Investigator and Vice Chair, <u>Memory and Cognition IN Decreased Hypertension Substudy</u> (SPRINT MIND)
- 4. Carotid Revascularization Endarterectomy versus Stenting Trial-2
- 5. An RCT of Speed of Processing Training in Middle-Aged and Older Adults with HIV
- 6. Co-Investigator Systolic Blood Pressure Intervention Trial Alzheimer's, Senior and Kidney (SPRINT ASK)
- 7. Co-investigator Visual Processing Variables Associated with Driver Behaviors and Crash Risk
- 8. Co-investigator UAB Alzheimer's Disease Center
- 9. Co-investigator Speed of Processing Training for Cognitive Deficits After Delirium in Older Adults 10. Co-investigator Cognitive Resilience and Community Context: Examining the Role of Neighborhood Built and Social Environments for Slowing the Progression of Dementia among Older Americans

#### 10. **Technology transfer**

### A. Patent applications

### **Bolding**, Mark

MRI-DETECTABLE MULTILAYER MICROCAPSULES FOR ULTRASOUND-TRIGGERED DELIVERY OF PHARMACOLOGICALLY ACTIVE AGENTS

With Eugenia Kharlampieva in Chemistry and Jason Warram in Otolaryngology

B. Revenue generated from technology
None

### 11. Educational programs focusing on age-related memory loss

#### A. Scientific

### Gavin, Cristin

Mechanisms of Memory course for graduate and undergraduate students (ongoing)

### King, Gwendalyn

Journal club each semester for graduate students

### Prabhu, Sumanth

Scientific: Cognitive function and brain inflammation following ST elevation MI (collaboration with Dr. Ron Lazar); Effects of cardiovascular disease in a mouse model of HIV-associated neurological damage (collaboration with Dr. John Shacka)

#### Roberson, Erik

Neuroscience Café 11/2018

#### Visscher, Kristina

Osher Lifelong Learning Institute, Tuscaloosa, AL October 3, 2018, "Better Brain Training for an Aging World."

#### B.. Public

#### Gavin, Cristin

Educational programming about aging at the 2017 Alabama Brain Bee

### Geldmacher, David

From sensation to cognition: Osher Lifelong Learning Institute – Birmingham Chapter Vestavia Hills, AL, March 2018

#### Visscher, Kristina

Along with colleagues at the McWane Science Center, and through our Research Civitan Club, I run a monthly science outreach event called Sci Café at John's City Diner downtown. We have speakers about various topics, and have recently had speakers focusing on aging.

### 12. Collaborative programs with other McKnight Institutes, institutions and research programs

#### Dobrunz, Lynn

I collaborate with Dr. Lori McMahon from UAB, Dr. Mark Bolding from UAB, and Dr. Mark Bevensee from UAB.

### Geldmacher, David

McKnight Brain Aging Registry. 23 subjects enrolled at UAB as of 11/15/18

### Kennedy, Richard

With a colleague from the Department of Psychiatry, we are developing a curriculum for graduate students to teach reproducible research in neurosciences and other basic science fields.

#### King, Gwendalyn

Lynn Dobrunz – synaptic function in aging models

Linda Wadiche – adult neurogenesis in aging models

Jeremy Herskowitz – spine density in aging models

Peter King – ALS biomarkers

### Meador-Woodruff, James

We have a collaborative partnership between Psychiatry and Neurology to train a psychiatrist as both a geriatric psychiatrist as well as spending time in the Memory Disorder Program. This is a two year combined program, and our current trainee will likely join the faculty of Psychiatry in 2019. He would spend half of his time practicing as a geriatric psychiatrist and the other half of his time in the Memory Disorders clinic. In addition, my lab is now starting a scientific collaboration with Dr. Lori McMahon (a well-known UAB MBI member) focused on glycosylation in hippocampal cells.

#### Gamlin, Paul

I collaborate with a number of investigators working on retinal and CNS gene therpay at the University of Florida. These include Drs Shannon Boye, William Hauswirth, Ronald Mandel, Coy Heldermon, Sergei Zolotukhin, Barry Byrne, Manuela Corti.

### Prabhu, Sumanth

Scientific: Cognitive function and brain inflammation following ST elevation MI (collaboration with Dr. Ron Lazar); Effects of cardiovascular disease in a mouse model of HIV-associated neurological damage

(collaboration with Dr. John Shacka)

#### Roberson, Erik

Perirhinal group - Burke, S.N., L.S. Gaynor, C.A. Barnes, R.M. Bauer, J.L. Bizon, **E.D. Roberson**, and L. Ryan. (2018). Shared functions of perirhinal and parahippocampal cortices: Implications for cognitive aging. *Trends Neurosci.*, 41:349–359.

#### Standaert, David

We have a collaborative program with University of Florida addressing gene therapy for dystonia, funded partly by Tyler's Hope.

### Wadiche, Jacques

Linda Wadiche UAB Neurobiology, Synaptic properties of Adult Neurogenesis

### Wadley, Virginia

McKnight Foundation Cognitive Intervention Core

McKnight Brain Aging Registry Cognitive Assessment Core

# 13. Collaborative programs with non-McKnight Institutes, institutions and research Programs Bolding, Mark

- 1. Working with Jason Weick at University of New Mexico to develop a technique for controlling neural activity with x-rays.
- 2. Working with Fraser Robb of GE Healthcare to build a transcranial focused ultrasound device for use in a PET/MRI scanner. They will provide the hardware needed and will assist in building custom coils and pulse sequences. This support may eventually include a graduate student stipend and tuition.
- 3. Working with Rajiv Chopra at FUS Instruments to secure an Investigator Sponsored Research Agreement for the development of a subcutaneous transcranial focused ultrasound transducer for drug delivery in the brain.
- 4. Working with Steve Foulger at Clemson University to develop a technique for controlling neural activity with x-rays.
- 5. Working with Thompson Mefford at Clemson University to develop MRI contrast agents that are sensitive to brain activity.
- 6. Working with Yuping Bao at the University of Alabama to develop new MRI contrast agents that are biocompatible and can remain in the brain safely for many years.

### Dobrunz, Lynn

I collaborate with Dr. Yuping Bao from the University of Alabama, with Dr. Stephen Foulger from Clemson, Dr. Jason Weick from the University of New Mexico, Dr. Rita Cowell from Southern Research Institute, and Dr. Kazu Nakazawa from Southern Research Institute.

### Edwards, Lloyd

Continuing collaborative relationship with UAB McKnight Investigators.

### Geldmacher, David

Invited Participant, Symposium for "Brain Health" centers, Northshore University HealthSystem, Chicago Sep 7-9, 2018

### King, Gwendalyn

Stefanie Krick – lung function and klotho

Daryl Quarles – renal function and klotho

Christian Faul – FGF23 and klotho

Yabing Chen – calcification in aging models

Ichiro Nakano – aging brain and glioma growth

McMahon, Lori

- 1. Collaboration with Erik Roberson to investigate the role of BIN1 in AD using transgenic mice
- 2. Collaboration with Karen Gamble to investigate the impact of circadian rhythms on synaptic circuits in hippocampus in WT and AD mouse models
- 3. Collaboration with Qin Wang to investigate noradrenergic mechanisms in hippocampus in AD mouse models

### Parpura, Vladimir

The role of sodium-bicarbonate exchangers in astrocytes (M. Bevensee)

#### Outside the UAB system

1. Pools of glutamate for exocytotic glutamate release (H.S. Waagepetersen and A. Schousboe; Univ of

- Copenhagen, Danmark)
- 2. The role of connexin 43 in astrocytic exocytosis (E. Scemes and D.C Spray, Albert Einstein College of Medicine, NY)
- 3. SNARE complex proteins (R. Zorec, Univ of Ljubljana, Slovenia)
- 4. BDNF- and VGLUT-laden vesicle trafficking in astrocytes (R. Zorec, Univ of Ljubljana
- 5. The role of presenilins in vesicular trafficking in astrocytes (R. Zorec, Univ of Ljubljana, Slovenia)
- 6. Graphene in biological applications (V. Jokanović, Vinča Institute, Belgrade, Serbia)
- 7. Mechanisms underlying GFAP modulation of hyposmotic regulation of hypothalamic vasopressin neuron activity (Y-F. Wang, Harbin Medical University, P.R. China)
- 8. Exocytotic glutamate release from gliomas (H. Sontheimer, Virginia Tech University)

### Pozzo-Miller, Lucas

Alan Percy (UAB), Jeff Neul (Vanderbilt), Maurizio Giustetto (Turin), Frank Longo (Stanford), Michelle Olsen (VA Tech)

### Saag, Michael

CNICS (CFAR Network of Integrated Clinical Science)

### Standaert, David

We have extensive collaborative relationships through basis and clinical programs. Key programs include the Udall Center, involving a collaboration with Duke University; the Fox Foundation PPMI study, an international multi-center effort, and our ongoing P01-funded work in dystonia involving Mass General Hospital and investigators at the University of Rome Tor Vergata.

### Triebel, Kristen

I have collaborations with the UAB Comprehensive Cancer Center, UAB Center for Clinical and Translational Research, Collaborations with the School of Nursing, Collaborations with UAB's Royball Center, and Washington State University.

### Ubogu, Eroboghene

- 1. Molecular determinants and signaling mechanisms implicated in blood-nerve barrier junctional complex formation in health and disease
- 2. Molecular determinants and mechanisms of pathogenic leukocyte trafficking across the blood-nerve barrier in peripheral neuroinflammation and HIV disease
- 3. Molecular neuroimmune mediators of acute and chronic pain in peripheral neuropathies using murine models

#### Visscher, Kristina

- 1. Several collaborations, including with Dr. Aaron Seitz at University of California, Riverside, examining adult cortical plasticity in the context of cognitive training. The results of this work will be very relevant for our aging studies, as we are interested in identifying the mechanisms of adult cortical plasticity. Keeping the adult brain plastic is essential for maintaining healthy cognition throughout aging.
- 2. Collaboration with Dr. Lesley Ross at Penn State. We examine the effects of training in older adults. We recently had a U series grant funded with Lesley as PI. I am lead on the MRI portion of that grant.

### Wadiche, Jacques

Anastassios Tzingounis UConn (Storrs, Conn) - K-channel in cerebellar function

#### Wadiche, Linda

Jacques Wadiche

Farah Lubin

Karen Gamble

Laura Volpicelli-Daley

### Wadley, Virginia

Multi-site collaborations—REGARDS, SPRINT, SPRINT ASK, CREST-2, CARDIA

### 15. Briefly describe plans for future research and/or clinical initiatives

#### Amara, Amv

I plan to investigate slow wave sleep as a biomarker of exercise-induced changes in cognition in Parkinson's disease-mild cognitive impairment

#### Beveniste, Tika

Assessment of involvement of peripheral immune system in pre-clinical models of PD and MS, as well as

peripheral blood from patients with PD and MS. Will determine relationship with cognitive decline in PD and clinical symptoms in MS.

### **Bolding**, Mark

We intend to use our recently successful method for non-invasive delivery of drug loaded nanoparticles to the hippocampus (an area of the brain responsible for memory) to erase fearful memories in rats while preserving their memory of location or context in which the fearful memory was formed.

### **Buford, Thomas**

Working on continuing our work on age-related physical decline with new integrations in cognitive decline as contributors to age-related loss of independence. New initiatives include animal studies evaluating interventions to improve late-life cognition as well as a mid-career development award (K02) submission from the NIH to facilitate learning theories and methods related to cognition and pain in aging. Also working with Dr. Lazar on a pilot study related to exercise and brain O2 extraction capabilities.

### Day, Jeremy

We will focus on the role of gene regulatory pathways in drug addiction and memory formation, with a new focus on enhancer RNAs. This includes in vitro work designed to explore the mechanism by which these regulatory mechanisms influence gene expression programs that are critical to neuronal function and physiology, as well as pre-clinical work to manipulate genes in behaving animals.

### Dobrunz, Lynn

I will continue my lab's current research initiative studying the effect of Neuropeptide Y on hippocampal function and behavior. I will continue our ongoing collaborative effort to develop a new noninvasive technique for in vivo optogenetics using radioluminescent nanoparticles, and collaborative studies on the effects of sodium bicarbonate cotransporters on pH modulation of hippocampal function.

### **Dudenbostel**, Tanja

Investigation of mechanisms of premature cardiovascular disease including stroke in individuals younger than 50 years of age.

### Edwards, Lloyd

Plans are to build a biostatistics neuroscience program in the UAB Department of Biostatistics.

#### Gamlin, Paul

Using non-human primate models, we intend to continue our gene therapy FDA-enabling studies of Friedrich's ataxia. We are also examining treatment options for San Filioppo syndrome. We are interested in persuing gene therapeutic approaches for retinal and CNS diseases in general.

### Geldmacher, David

2019 funding proposals for development of "Lay Navigators" for dementia caregivers, technology support for caregivers, and the role of Respite Ministries in supporting dementia caregivers.

### Gerstenecker, Adam

- -Research: Collect data for K23 project and continue to publish and review grants and manuscripts.
- -Clinical: Exceed high-performance RVU goal.

### Goldberg, Matthew

Over the next year, we plan to increase our research on the role of alpha-synuclein protein inclusions in agerelated brain dysfunction.

#### Grav, Michelle

- -Our lab primarily focuses on the contribution of astrocytes to Huntington's Disease pathogenesis. We use a human mutant huntingtin expressing mouse model for these studies. In the animals we perform behavioral studies to assess cognitive, psychiatric and motor impairments. We use baseline abnormalities of the mice to determine if modulating different aspects of astrocyte function contributes to the abnormalities observed in this model. We will continue these studies in the laboratory.
- -We are continuing our observational study of HD patient cardiac function. We have already observed some changes in the HD patients already enrolled in our study. We expect to continue this study and include this preliminary data in an R01 submission in the coming year.

#### Gross, Alecia

We have submitted two different R01 applications to the NEI investigating the role of protein complexes residing or functioning in compartments comprising the connecting cilium of photoreceptors. This includes the Meckel-Gruber Syndrome (MKS) complex that is critical for forming the gate in the transition zone, NudC which we have discovered directly effects the size and length of disks in rod and cone photoreceptors, and the BBS complex (BBSome) thought to mediate anterograde and retrograde transport across the connecting cilium in photoreceptors. The genes encoding these proteins are critical for the function and health of photoreceptors,

since if mutated, they are associated with age-related retinal degenerations.

#### Hablitz, John

We are starting animal studies on how hippocampal seizures alter memory functions in prefrontal cortex.

### Kennedy, Richard

We are continuing to expand our delirium research programs to include prevention studies, epidemiology of delirium in the hospital, and improved identification of delirium using electronic medical records.

### King, Gwendalyn

We are working to understand how loss of klotho, as happens in aging brain, effects function of neurons.

#### Lahti. Andrienne

I have plans to submit a T32 to support graduate students in the Department of Psychiatry.

#### Landefeld, Seth

The UAB Department of Medicine seeks to invest and advance new research programs in age related memory loss in partnership with the McKnight Brain Research Foundation.

### Lubin, Farah

UAB McKnight Award – "Exercise-related effects on memory function and neuronal circuitry- a clinical and preclinical investigation"

#### Marson, Daniel

- -Work as a consultant in a multi-site R01 AD research project recently funded by NIA—UAB is a site (E. Roberson, site PI)
- -Continue as co-investigator in Dr. Wadley's NIA funded APPS study
- -Continue as Director Emeritus of Alzheimer's Disease Center

### McMahon, Lori

- 1. Investigations into the role of O-GlcNAcylation in modulating synaptic excitability and tau accumulation in a novel rat model of AD ( TgF344-AD rat)
- 2. Investigations into the impact of locus coeruleus degeneration on hippocampus synaptic function and learning and memory in the novel TgF344-AD rat model of AD, and the role of estrogen loss in menopause

#### Meador-Woodruff, James

Clinically, we will continue to grow psychiatric services in 2019 to meet the demand for clinical care in our community. We expect to bring the two new geriatric psychiatrists on board next year, as well as grow other clinical services including the addition of one or two neuropsychologists. My own lab will continue current projects focused on subcellular protein processing in schizophrenia brain.

### Parpura, Vladimir

Astrocyte-neuron signaling, a.k.a. gliotransmission, can modulate synaptic transmission/plasticity at tripartite synapses. Among the processes regulated by gliotransmission are sleep-regulation, respiration, and learning/memory. Despite these roles of gliotransmission in such fundamental life processes, its mechanism is not understood. Elucidating this mechanism should provide insights into basic brain processes, and suggest interventions when they go awry. Two early studies of astrocyte-neuron signaling explored the hypothesis that astrocytic glutamate release acts on neuronal glutamate receptors, but they led to different conclusions regarding the mechanism. One study concluded that glutamate is not the messenger but instead suggested that gap junctions might mediate astrocyte-neuron signaling. The other study concluded that the signaling is mediated by Ca<sup>2+</sup>-dependent glutamate release from astrocytes, subsequently shown to occur by regulated exocytosis of glutamate-containing vesicles. Virtually nothing is known about the subcellular distribution/localization of astrocyte release sites. It is not clear if they are localized uniquely to the tripartite synaptic regions of astrocytes or more broadly. There is much debate about the relative roles of exocytosis vs. gap junction-mediated communication as critical for astrocyte-neuron signals. Our preliminary data point to a novel, unifying hypothesis that these two mechanisms are, in fact, mechanistically linked.

Gliomas comprise the large majority of malignant brain tumors and are one of the deadliest cancers, having a median survival of 14 months. High grade gliomas, of which the most common is *glioblastoma multiforme* (GBM), are characterized by extensive dispersal throughout the brain, indicative of their highly invasive nature. Finding new treatments that would stop/attenuate the progression of GBMs would be a milestone. We propose a novel hypothesis that human GBMs utilize Ca<sup>2+</sup>-dependent exocytosis to dually secrete glutamate (Glu), a transmitter acting as a motogen, i.e. a cell motility stimulus; and matrix metalloproteinases (MMPs), extracellular matrix (ECM) degrading enzymes, that together advance GBM progression into the healthy brain

tissue. GBM invasiveness is stimulated by bradykinin (BK), a signaling molecule generated at the interface between the brain parenchyma and the vasculature. BK activates B2 receptors (B2Rs), abundantly expressed on GBMs, causing intracellular  $Ca^{2+}$  excitability that could trigger release of both Glu and MMPs. Release of Glu from GBMs can lead to epileptic seizures, which could also occur due to an increase in the activity of extracellular MMPs. However, whether Glu/MMP release from GBMs is indeed regulated by  $Ca^{2+}$ dynamics is unknown. We will determine the extent to which  $Ca^{2+}$ -dependent regulated vesicular release of Glu and MMPs play a role in the BK-mediated progression of the GBM and the generation of seizures. The data generated will be highly relevant for the development of adjuvant treatments for people suffering from this cancer.

### Powell, Craig

The Powell Lab will continue ongoing studies of mouse genetic models of cognitive disorders including autism and intellectual disability. We also plan to initiate collaborations with partnering laboratories here at UAB in the neurodegeneration space as the laboratory becomes more established.

### Pozzo-Miller, Lucas

Test if a TrkB ligand improves social memory in Rett mice by normalizing the function of the vHIP-mPFC projection. Extend our studies of cognitive and social deficits in Rett mice to other mouse models of intellectual disability and autism.

### Prabhu, Sumanth

Continue ongoing projects; NIH grant submission on immune cell circadian rhythm and heart failure

### Roberson, Erik

We will continue working on our basic research on Alzheimer's disease and frontotemporal, investigating both the mechanistic pathways contributing to these disorders and finding new therapeutic interventions. We will continue our clinical research including the Alzheimer's Disease Center program enrolling participants with agerelated memory problems.

### Saag, Michael

- -Have initiated discussions and planning meetings to establish a research vector to study cognitive impairment among older HIV patients
- -The focus is to characterize the nature, associated co-morbid conditions, and potential causes or enhancers of cognitive impairment among HIV patients and compare these findings to non-HIV infected, age-matched individuals
- -Once characterized, interventions will be explored to arrest, or hopefully reverse, the cognitive dysfunction in older HIV infected patients

### Thannickal, Victor

Work with McKnight Institute investigators on the link between chronic lung disease, aging and memory loss.

#### Triebel, Kristen

Research: I have several projects in the works that are focused on aging and cancer-related cognitive impairment (CRCI) that seek to address the following: (1) identify the mechanisms of CRCI (investigating the role of inflammation and age-related pathology as potential mechanisms of cancer related cognitive impairment in adults over 65 years of age with breast cancer) (through collaborations with Burt Nabors, M.D. (neuro-oncology) and Suzanne Lapi, Ph.D., Jonathan McConathy, M.D., Ph.D. (both have extensive molecular imaging experience); (2) improve the assessment of CRCI (developing more sensitive, ecologically valid tests for CRCI) (through collaborations with Maureen Schmitter-Edgecomb, Ph.D. and others); and, (3) improve cognitive function, everyday functioning, and quality of life of cancer survivors through a variety of interventions including exercise, technology assistive devices, computerized cognitive training, mindfulness training, and use of innovative technology (through collaborations with David Vance, Ph.D., Maureen Schmitter-Edgecomb, Ph.D. and others). I also have developed a national reputation as a researcher in decision making capacity research in persons that suffer from cognitive impairment due to age-related medical conditions and pathology including Alzheimer's disease, mild cognitive impairment, Parkinson's disease, and cancer.

<u>Clinical</u>: I am collaborating with a Rhoda Maron, M.D. who is a neuro-oncologist to start a new clinical service that will provide persons with cancer and cancer survivors and their caregivers treatment in an integrated manner. My role will be overseeing neuropsychological assessment, interpretation, and feedback and Dr. Maron will see patients later in the day for treatment. We also are working with several other people so that we can add a cognitive or behavioral intervention component to their service. We have already have planned this service and we plan to implement this first as a pilot project in the spring of 2019. We are going to name the clinic the Cancer Neurology Brain Health Clinic.

A second clinical project for 2019 is to develop a pilot program using technology to improve patient's access and satisfaction to cognitive assessment services. There is a growing population of individuals over the age of 65 who are at risk for developing cognitive impairment. Our current service models are outdated and must be improved so that we can meet the growing demand of patients needing cognitive assessments. I am working with Dr. Ron Lazar, Dr. David Randall and Melissa Mancini (from the UAB Medicine Strategic Planning and Business Development and UAB Innovation Board), and Bart Kelly (UAB Hospital billing) to develop this program. This program if successful may have broad implications for a wide range of medical services that could be implemented on a national level.

### Visscher, Kristina

Much of the research in my lab focuses on Age-related Macular Degeneration (AMD), one of the most common causes of vision loss (projected to affect almost 200 million people worldwide by 2020). This is an ecological example of perceptual learning in an older adult population with a positive clinical outcome. Our work (current work as well as work proposed) will shed light on what types of plasticity are possible in the aging population. We can use these insights in general to understand how the aging brain learns and can learn optimally.

I have recently (December, 2018) submitted a multi-PI R01 to NIH which is specifically focused on understanding the cortical changes that occur after different types of training. Our overarching hypothesis is that an individual's specific pattern of behavioral outcomes from training track that individual's pattern of brain plasticity, and that these patterns are shaped through the four vision performance factors. This is addressed through 3 specific aims:

1) Determine relationships between training and behavioral change, 2) Determine relationships between behavioral change and brain plasticity, and 3) Determine how individual differences at baseline relate to training outcome. This work is significant as it will provide information about how different behavioral learning effects relate to different underlying brain changes.

I plan a single-PI application to NIH which focuses on differences in plasticity across ages in a similar model. This application will build on our observations that populations of people who acquired Macular degeneration due to Age-related forms of the disease, as opposed to forms which have onset in early adulthood show different patterns of neural plasticity.

The McKnight Brain Aging Registry group plans an NIH grant proposal to follow up to our current project in 2019. We will be examining some of these participants in a longitudinal follow up. This approach is very powerful, and is only possible following the generous support from McKnight.

### Wadiche, Jacques

- a) Explore how regulation of multivesicular release is a common to synapses and synaptic function.
- b) Determine how neurotransmitter concentration affects AMPAR function affects and calcium permeability.

Both of these mechanisms are fundamental to understanding brain function in normal and diseased states.

### 16. What do you consider your most important scientific achievement this year?

### Amara, Amy

Identification of association between slow wave sleep and cognition in patients with Parkinson's disease.

#### Beveniste, Tika

Eludication of the involvement of the protein kinase CK2 in regulating both innate and adaptive immunity, and its role in pre-clinical models of MS.

### **Bolding**, Mark

Non-invasive delivery of viruses to hippocampus of the brain in a rat. The viruses were injected IV and localized delivery was induced with focused ultrasound. Delivery of viruses was confirmed by GFP (green fluorescent protein) expression. Succinctly stated, we made the brain cells in one location in the brain glow green by guiding the viruses with ultrasound. This means we can genetically alter specific cells in the brain without doing any surgery or relying on molecular targets. We only need an MRI image. If this technique can be translated to humans it could potentially be used to cure (not just treat) certain neurological disorders without brain surgery. Potential targets include temporal lobe epilepsy and Parkinson's.

#### **Buford. Thomas**

Work soon to be published indicating that a gut-delivered intervention reduces pre-frontal neuroinflammatory gene expression and modulates pre-frontal VMAT2 protein expression.

### Day, Jeremy

Engineered gene editing tools that allow robust and modular regulation of gene expression profiles across brain regions and cell types of rodent model systems. We have used this system to alter levels of Brain-derived neurotrophic factor (Bdnf), a key signaling protein linked to learning and memory

### Dobrunz, Lynn

Our discovery that differences in short-term plasticity at excitatory inputs onto inhibitory interneurons and pyramidal cells in hippocampus during physiologically relevant stimulus patterns contributes to dynamic regulation of the excitation/inhibition balance in hippocampus.

### **Dudenbostel**, Tanja

Identification of a phenotype of young adults with premature hypertension and premature cardiovascular morbidity and mortality including stroke, coronary artery disease, heart failure and kidney disease. Early vascular aging in these individuals has been identified by my laboratory as main driver of premature cardiovascular disease.

### Edwards, Lloyd

My most important scientific achievement this year forming forming the building blocks for the biostatistics neuroscience program at UAB by bringing in Dr. Eddy Kwessi for a 1-year sabbatical, developing statistical and computational methods to focused on epilepsy and multiple sclerosis, and arranging and participating biostatistic seminars in neuroscience.

### Gamlin, Paul

We were able to show somatic gene editing of guanylate cyclase 2D, retinal (GUCY2D) in macaque photorececeptors using subretinally-delivered AAV-CRISPR/Cas9 (Adeno-associated virus -Clustered Regularly Interspaced Short Palindromic Repeats/ CRISPR associated protein 9).

#### Gerstenecker, Adam

Being awarded a K23 by the NIH.

### Goldberg, Matthew

We found that PINK1-deficient rats, but not wild-type rats, spontaneously develop age-dependent alpha-synuclein protein aggregates throughout the brain. This provides a unique research tool for further studies of the role of alpha-synuclein protein in normal brain function and the role of alpha-synuclein aggregates in dysfunction and degeneration.

### Gross, Alecia

The successful defense of one of my PhD students, Dr. Katie Bales. Her project encompasses our BBS and MKS projects. She has been offered outstanding postdoc positions across the country at Universities such as Georgia Tech/VA, UCLA, UCSD and UMass Medical School.

#### Hablitz, John

We demonstrated that synchronized inhibition can be proconvlusant.

### Herskowitz, Jeremy

My group identified a cellular substrate for resilience to dementia.

### Kennedy, Richard

Expanding our Virtual ACE program to include delirium assessments of all hospitalized older adults at UAB hospital, which will greatly facilitate future studies of delirium by multiple investigators.

#### King, Gwendalyn

Our initial data showing that klotho-deficiency effects immune signaling at the choroid plexus.

#### Knight, David

Multiple publications on learning, memory, and emotion topics and impact social processes have on these functions.

#### Lahti, Andrienne

Award of a second R01 to pursue resolving the heterogeneity of psychosis using multimodal imaging techniques.

### Landefeld, Seth

Support the development of young investigators and the growth of high impact research in the Department of Medicine.

#### Lubin, Farah

Publishing and maintaining funding.

#### Marson, Daniel

Creation of an alternative form of the FCI-SF and a UK version of the FCI-SF

### Martin, Roy

A multi-disciplinary team of UAB clinicians and researchers is currently developing a preoperative risk assessment model of post-operative delirium (POD) in adults undergoing non-cardiac surgery. The initial project phase will assess for potential preoperative cognitive markers predicting at-risk individuals. Recruitment referral will occur through initial identification from the UAB Anesthesiology Preoperative Surgery Clinic with follow-up contact from the UAB Neurology/ Neuropsychology Division. This prospective design will involve neuropsychological testing of adults prior to their hospitalization. The key study aim will investigate whether preoperative cognitive function predicts the occurrence of POD. This project will extend already existing in- patient clinical care protocols at UAB (i.e., Virtual Acute Care in Elders program) currently assessing for peri- operative delirium identification and in-hospital intervention. Our current research team members include Roy Martin, Ph.D. and Ronald Lazar, Ph.D. (UAB Department of Neurology), Kellie Flood, M.D. and Richard Kennedy, M.D., Ph.D. (UAB Department of Medicine/Division of Gerontology/Geriatrics/Comprehensive Center for Aging), Brent Ponce, M.D. (UAB Department of Orthopedic Surgery), and Jeffery Simmons, M.D.(UAB Department of Anesthesiology).

### McMahon, Lori

- 1.PNAS publication investigating the synaptic mechanisms underlying the rapid antidepressant effects of ketamine
- 2. Journal of Neuroscience publication demonstrating the anti-epilpetic effects of increasing O-GlcNAcylation
- 3. Neurobiology of Disease publication demonstrating early prodromal synaptic dysfunction in the novel TgF344-AD rat model of AD

### Meador-Woodruff, James

In our work on the endoplasmic reticulum and Golgi, where protein synthesis and early processing occurs in cells, we have discovered in schizophrenia brain that the identification of malformed and misfolded proteins is abnormal. This is similar to a number of other brain disorders (including Alzheimer's) in which protein folding abnormalities underlie some of the pathophysiology of the disorder. This finding support sour proposal of schizophrenia as a model of age related memory decline given the early onset of cognitive impairment in these patients.

### Parpura, Vladimir

Demonstration that presenilin 1 mutation disrupts mobility of secretory organelles in ratastrocytes.

### Powell, Craig

Identifying the molecular mechanism of synaptic dysfunction in a genetic model of relevance to neurodevelopmental cognitive and behavioral challenges targeting the *KCTD13* gene in a mouse model. Identifying two drugs that can reverse such synaptic dysfunction is our most significant achievement in the past year.

### Pozzo-Miller, Lucas

Demonstration that hippocampal dysfunction in *Mecp2* knockout mice spreads to the medial prefrontal cortex via a direct monosynaptic projection, altering network activity and social memory. Mary Phillips PhD dissertation; pre-print posted in bioRxiv.

### Prabhu, Sumanth

The following publication, whoi presents a new paradaigm on the inflammatory basis for heart failure: Bansal SS, Ismahil MA, Goel M, Zhou G, Rokosh G, Hamid T, **Prabhu SD**. Dysfunctional and pro-inflammatory regulatory T-lymphocytes are essential for adverse cardiac remodeling in ischemic cardiomyopathy. *Circulation*. 2018 Aug 16. doi: 10.1161/CIRCULATIONAHA.118.036065

### Roberson, Erik

Preclinical efficacy of gene therapy for progranulin-deficient frontotemporal dementia

#### Sarraf, Mohammad

Working on vortex formation of blood flow in the animal lab (prelim. Data, not published yet).

#### Standaert, David

Our discoveries related to the role of the immune system in Parkinson disease continue to advance the field towards new therapies.

### Thannickal, Victor

Identification of AMPK activators (Metformin) as a drug to treat aged-related lung fibrosis.

### Triebel, Kristen

Putting together a project investigating the role of neuroinflammation and age-associated pathology as potential

mechanisms of cancer related cognitive impairment in older adults).

### Ubogu, Eroboghene

- 1. Elucidation of the cytoplasmic and membrane proteome of human blood-nerve barrier induced by exogenous GDNF in vitro (2<sup>nd</sup> revision of submitted manuscript under review) AND
- 2. Development a conditional MHC Class II knockout mouse strain (C57BL/6-H2-Aa<sup>tm1c(KOMP)WistUbee</sup>/Mmmh)

### Visscher, Kristina

We have ramped up our capability to run participants through our extensive behavioral and neural pipleline as part of our NIH connectomes in human diseases grant. This is a huge undertaking – participants come in 6 times, for about 3 hours per session. The participants are happy. The lab is able to sustain the very heavy participant load. I'm really proud of the work that the lab has put forward to make that happen.

### Wadiche, Jacques

Organized and obtained funds for a day long symposium before 2017 SfN meeting to celebrate the scientific accomplishments of Craig E Jahr, PhD, my postdoctoral advisor. Over 50 attendees listened to speakers that included Roger Nicoll PhD, Bruce Bean PhD, and Gary Westbrook MD. (http://jahrsymposium.org)

### Wadiche, Linda

We have identified the mechanism underlying the hallmark hyperpolarized resting membrane potential of mature dentate neurons that differentiate them from other hippocampal principal cells and adult-born neurons (Gonzalez et al., 2018).

### Wadley, Virginia

Results of SPRINT MIND and SPRINT ASK, in which I serve as investigator and vice chair of the SPRINT MIND Committee, showing reduced rate of MCI with intensive blood pressure control (publication in press at JAMA).

# **APPENDICES**

# **Appendices**

Appendix A



# 2018 Request for Applications (RFA)

#### **PURPOSE:**

The UAB McKnight Brain Institute (MBI) invites grant applications for pilot studies on agerelated memory and cognitive decline that demonstrate a collaboration between clinical and preclinical faculty. The intent of this award is to create teams of basic and applied neuroscientists whose research goals are to generate and test novel, integrative hypotheses. This award is expected to create preliminary data that will support more permanent fundingthrough Federal agencies (preferred) and/or non-profit entities.

### **ELIGIBILITY:**

- UAB faculty investigators (Instructor to Professor) with permanent appointments
- At least one human/clinical investigator and one basic-research neuroscientist
- Cannot be an already-funded project or have significant overlap with existing projects

### TERMS of SUPPORT:

- Up to \$35,000 in project-related direct costs and appropriate supplies. No indirect costs, equipment or travel.
- Support must stay at UAB and can be used internally
- Duration: One (1) year March 15, 2018 to March 14, 2019
- Successful applicants will be required to provide a written progress report and to meet withthe MBI Leadership at six (6) months.
- Preference will be given to projects for which departmental matching funds are available
- Preference will be given to projects with a biostatistician
- Up to 4 projects will be funded, based on the merit of the applications

#### SUBMISSION OF APPLICATIONS:

• Cover Page -- include Title of project, Investigators names, Departmental affiliations, Budget amount requested, Signature of investigators

- ½ page lay summary
- Itemized budget and justification. NIH forms can be used.
- NIH Biosketches (Rev. 9/17)
- Three (3) page project narrative (Single-spaced, 11-point Arial font, 1" margins) that includes the innovation arising from the collaboration, research plan, and future plans.
- References

### SUBMISSION OF APPLICATIONS

- Application Deadline: February 1, 2018
- Proposals are not required to go through OSP
- Submit to: Vicki Hixon (vhixon@uab.edu)

# Appendix B





#### HISTORY

On November 5, 2004, the University of Alabama Board of Trustees approved the establishment of the Evelyn F. McKnight Brain Institute at UAB. The Evelyn F. McKnight Brain Institute has the long term

goal of translating discoveries from basic biomedical research into processes and products to minimize the deleterious effects of aging on learning and memory in humans.

The purpose of the McKnight Brain Research Foundation is to promote research and investigation of the brain in the fundamental mechanisms that underlie the neurobiology of memory with clinical relevance to the problems of age related memory loss.

# Brain Institute

Evelyn F. McKnight



Scientific Updates



December 6, 2018 11:30 a.m. – 1:00 p.m. Volker Hall Lecture Room B

#### **SYNOPSIS**

In the Spring 2018, the UAB McKnight Brain Institute (MBI) requested grant applications for pilot studies on age-related memory and cognitive decline that would demonstrate a collaboration between clinical and pre-clinical faculty. The intent of the awards was to create teams of basic and applied neuroscientists whose research goals are to generate and test novel, integrative hypotheses. This award has created preliminary data that will support more permanent funding through Federal agencies (preferred) and/or non-profit entities.

#### 11:30 Lunch

Department of Neurology

### 12:00 Welcome Ronald Lazar, PhD Evelyn F. McKnight Endowed Chair for Learning and Memory in Aging

12:10 - 12:25 p.m.

"Exercise-related effects on memory function and neural circuitry – a parallel clinical and preclinical investigation"



Jane B. Allendorfer, PhD Assistant Professor Department of Neurology



Farah D. Lubin, PhD Associate Professor Department of Neurobiology



12:25 - 12:40 p.m.

"Cardiorespiratory fitness, cognition, neuroimaging, and aging in persons with secondary progressive multiple sclerosis"



Brian Sandroff, PhD, Assistant Professor Department of Physical Therapy

12:40 – 12:55 p.m.

"Status Update - Effects of cardiovascular disease in a mouse model of HIV-associated neurological damage"



John Shacka, PhD,
Assistant Professor
Department of Pharmacology & Toxicology

Appendix C



# Tenth McKnight Inter-Institutional Meeting Birmingham, Alabama April 4 – 6, 2018

### Wednesday, April 4, 2018

1:00 – 6:00 p.m. Registration: DoubleTree by Hilton – Lower Level - Foyer

6:00 - 6:30 p.m. Reception: Doubletree Hilton Hotel - Lower evel - Heritage 1 6:30 -

8:00 p.m. Dinner: Doubletree Hilton Hotel – Lower Level – Heritage 1

Welcome

Ronald M. Lazar, PhD, FAAN, FAHA Evelyn F. McKnight Endowed Chair Professor of

Neurology

Director, Evelyn F. McKnight Brain Institute at UAB Director, Division of Neuropsychology University of Alabama at Birmingham

**J. Lee Dockery, MD**Chair, Board of Trustees

McKnight Brain Research Foundation

# Thursday, April 5, 2018

7:30 – 8:40 a.m. Breakfast: Doubletree Hilton Hotel

Heritage 2 8:45 - 9:00 a.m.Opening Remarks –

Heritage 1

Ronald M. Lazar, PhD, FAAN, FAHA

Evelyn F. McKnight Endowed Chair Director, Evelyn F. McKnight Brain Institute at UAB University of Alabama at Birmingham

Christopher S. Brown, PhD

Vice President for Research University of Alabama at Birmingham

J. Lee Dockery, MD

Chair, Board of Trustees McKnight Brain Research Foundation SESSION I Intervention

Location: Heritage 1 Moderator: Carol Barnes, PhD

9:00 – 9:15 a.m. "Intervention Opportunities for Cognitive Decline: Report from the

National Academy of Medicine"

Ralph Sacco, MD, MS, FAHA, FAAN

Professor and Olemberg Chair of Neurology Executive Director McKnight Brain Institute Chief of Neurology Jackson memorial Hospital

Director, UM Clinical & Translational Science Institute Senior Associate Dean for Clinical & Translational Science

Miller School of Medicine, University of Miami President, American Academy of Neurology

9:20 – 9:45 a.m. "Exercise is Regenerative Medicine: Impact on Aging"

Marcas M. Bamman, PhD, FACMS
Professor and Center Director
Center for Exercise Medicine

University of Alabama at Birmingham

9:50 – 10:15 a.m. "The ACT Intervention Trial"

Ronald Cohen, PhD, ABPP, ABCN

Evelyn McKnight Chair of Clinical Translation in Cognitive Aging Professor, Clinical and Health Psychology, Neurology and Psychiatry

Director, Center for Cognitive Aging and Memory

University of Florida

Adam Woods, PhD

Assistant Professor, Clinical and Health Psychology, Neuroscience Assistant Director, Ctr for Cognitive Aging and Memory Clinical Trans Res

University of Florida

10:20 – 10:25 a.m. Additional Q & A

10:30 – 10:40 a.m. Break

10:40 – 11:00 a.m. "Modifiable Risk Factors in Cognitive Aging: Influence of Vascular Health

and Physical Activity"

Gene Alexander, PhD

Professor

Departments of Psychology and Psychiatry

University of Arizona

11:05 - 11:25 a.m. "Cognitive Resilience: Mechanisms and Therapeutic Windows for

Memory Loss"

Jeremy Herskowitz, PhD

Assistant Professor Department of Neurology

University of Alabama at Birmingham

11:25 - 11:30 a.m. Additional Q & A

11:30 – 12:30 p.m. Lunch - Heritage

### **KEYNOTE ADDRESS**

12:30 -1:45 p.m. "Epigenetic Clock Analysis of Cognitive Aging"

Steve Horvath, PhD

Professor, Human Genetics and Biostatistics

David Geffen School of Medicine

University of California

# SESSION II McKnight Brain Aging Registry (MBAR)

Location: Heritage 1 Moderator: Tatjana Rundek, MD, PhD, FANA

2:00 - 2:20 p.m. "MBAR I: Clinical Update"

Bonnie Levin, PhD

Bernard and Alexandria Schoninger Professor of Neurology

Director, Division of Neuropsychology

University of Miami, Miller School of medicine

2:20 - 2:40 p.m. "MBAR II: Imaging Update"

Kristina Visscher, PhD Associate Professor

Department of Neurobiology University of Alabama at

Birmingham 2:40 – 2:45 p.m. Additional Q & A

2:45 – 3:00 p.m. Break

## SESSION III New MBI Faculty

Location: Heritage 1 Moderator: Ron Cohen, PhD

3:00 – 3:12 p.m. "Cardiac Reperfusion, Neuro-inflammation and Human Cognition"

Ronald M. Lazar, PhD, FAAN, FAHA Evelyn F. McKnight Endowed Chair

Director, Evelyn F. McKnight Brain Institute at UAB

University of Alabama at Birmingham

3:15 – 3:27 p.m. "Novel Peptide Therapy to Treat Cognitive Impairment in Heart Disease

Patients at Risk for Alzheimer's Disease"

Meredith Hay, PhD

Professor, Physiology, Psychology Evelyn F. McKnight Brain Institute Arizona Health Sciences Center 3:30 – 3:42 p.m. "Cognitive, Cultural and Affective Dimensions of

Frailty"

Katalina McInerney, PhD
Assistant Professor – Clinical
Department of Neurology
University of Miami

Sarah Getz, PhD

Neuropsychology Postdoctoral Fellow

Department of Neurology University of Miami

3:45 – 3:57 p.m. "Exosomes: Biomarkers of Aging and Potential Mediators of Therapeutic

Interventions"

**Brittney Yegla, PhD**Post-doctoral Researcher
University of Florida

4:00 – 4:12 p.m. "The Gut Microbiome: A Target for Improving Late Life Cognition?"

Tom Buford, PhD Associate Professor

Med – Gerontology, Geriatrics, and Palliative Care

University of Alabama at Birmingham

4:15 – 4:27 p.m. "Encoding and Retrieval of Complex Events: A Shift towards Knowledge-

Based Processing with Normal Aging"

Matthew Grilli, PhD Assistant Professor

Department of Psychology

Evelyn F. McKnight Brain Institute

University of Arizona

4:30 – 4:42 p.m. "Sleep and Neurocognitive Aging in Population Based Studies"

Alberto Ramos, MD, MSPH, FAASM

Associate Professor

Research Director, Sleep Disorders Program University of Miami, Miller School of Medicine

4:45 – 4:57 p.m. "Frontal Gamma-Aminobutyric Acid Concentrations are Associated with

Cognitive Performance in Older Adults"

**Eric Porges, PhD**Assistant Professor University of Florida

5:00 – 5:05 p.m. Additional Q & A

# Friday, April 6, 2018

7:30 - 9:00 a.m. Breakfast Buffet and Hotel Check-out

Location: Heritage 2

7:30 – 9:00 a.m. Board of Directors Breakfast with MBI Directors

Breakfast Buffet: Location: Heritage 2 Meeting: Hotel Boardroom – Lower Level

## **SESSION IV** Data Blitz: Trends in Neuroscience

Location: Heritage 1 MODERATOR - Erik Roberson, MD, PhD

9:00 – 9:08 a.m. "Neurobiological Mechanisms of Age-Associated Changes in

Decision— Making"

Jennifer Bizon, PhD

Professor

College of Medicine

Department of Neuroscience and Psychiatry Evelyn F. and William L. McKnight Brain Institute

University of Florida

9:10 – 9:18 a.m. "Contributions of Perirhinal and Postrhinal Cortex to Memory: Implications

for Aging"

Lee Ryan, PhD

Professor and Department Head

Associate Director, Evelyn F. McKnight Brain Institute Director, Cognition and Neuroimaging Laboratory

University of Arizona

9:20 – 9:28 a.m. "Processing Speed Training to Preserve Driving and

Functional Competencies in Persons with Mild Cognitive

Impairment" Virginia Bradley, PhD

Professor

Med-Gerontology/Geriatrics/Palliative Care University of Alabama at Birmingham

9:30 – 9:38 a.m. "Retinal Microvascular and Microstructural Changes in Normal Aging and

Alzheimer's Disease" Hong Jiang, MD, PhD

Assistant Professor of Clinical

Neuro-ophthalmology &

Neurology Bascom Palmer Eye Institute Department of Neurology

University of Miami

9:40 – 9:48 a.m. "Perforant Path Fiber Loss Impairs Mnemonic Similarity Task

Performance in Rats"
Sara Burke, PhD
Assistant Professor

Department of Neuroscience

University of Florida

9:50 – 9:58 a.m. "Neat1 Mediated Histone Methylation and c-Fos Gene Expression in

Memory and Age-Related Memory Deficits"

Farah Lubin, PhD Associate Professor

Department of Neurobiology

University of Alabama at Birmingham

10:00 – 10:08 a.m. "Post-Stroke Physical Exercise Improves Cognitive Outcomes in Young

and Elderly Animals" Kunjan Dave, PhD

Research Associate Professor Department of Neurology University of Miami

10:10 – 10:18 a.m. "Use of Internet-Based Testing to Identify Factors Associated with

Successful Cognitive Aging" Matthew Huentelman, PhD

Professor, Neurogenomics Division

Scientific Director, Center for Rare Childhood Disorders

The Translational Genomics Research Institute

University of Arizona

10:20 - 11:20 a.m. Pre-Meeting Reports

11:20 a.m. Closing Remarks

Ronald M. Lazar, PhD

J. Lee Dockery, MD

11:30 a.m. Travelers pick up box lunches

Foyer – Lower Level

11:30 a.m. Shuttle service to airport begins

**Appendix D** Biographical Sketches

| NAME<br>Ronald M. Lazar, PhD, FAAN, FAHA    |          | POSITION TITLE Evelyn F. McKnight Endowed Chair in Learning and Memory in Aging |                 |
|---|----------|---|-----------------|
| EDUCATION/TRAINING                          |          |   |                 |
| New York University, University Heights, NY | BA       | 06/71   | Psychology      |
| Northeastern University, Boston, MA         | MA       | 06/73   | Psychology      |
| Northeastern University, Boston, MA         | PhD      | 05/77   | Psychology      |
| Georgetown University, Washington, DC       | Post-Doc | 08/78   | Psychology      |
| Eunice K. Shriver Ctr, Waltham, MA          | Post-Doc | 01/80   | Behavioral Sci  |
| Memorial Sloan-Kettering Cancer Center      | Fellow   | 06/83   | Neuropsychology |

### **Position**

1980-1984 Graduate Faculty, Neuropsychology and Learning Processes Programs, CUNY, NY

1980-1984 Asst Professor of Psychology, Dept of Psychology, Queens College of CUNY, NY

1981-1983 Adj Attending Psychol, Dept of Neurol, Memorial Sloan-Kettering Cancer Ctr, NY

1983-1984 Assistant Attending Psychologist, Dept of Psychiatry, New York Hospital, NY

1983-1984 Adj Asst Prof of Psychology (Psychiat), Cornell Univ Medical College, NY

1983-1984 Asst Attending Psychol, Dept of Neurol, Memorial Sloan-Kettering Cancer Ctr, NY 1984-1993 Chief Psychologist and Director of Neuropsychological Services, Dept of Psychology, Kings County Hospital Center, Brooklyn, NY

1984-1993 Director, Neuropsychol Service, Dept of Neurol, State Univ Hospital of Brooklyn, NY

1984-1993 Asst Prof of Neurology and Psychiatry, SUNY/Health Science Ctr at Brooklyn, NY 1993-1994 Asst Prof of Clinical Neuropsychol, Dept of Neurology, Columbia Univ College of Physicians & Surgeons, NY

1994-1996 Assoc Professor of Clinical Neuropsychol, Dept of Neurology, Columbia Univ Coll of

Physicians & Surgeons, NY

2003-2013 Professor of Clinical Neuropsychology, Depts of Neurology and Neurological Surgery

(Tenured), College of Physicians & Surgeons, Columbia University, NY 1994-2017 Professional Neuropsychologist, Dept of Neurology, NY Presbyterian Hospital, NY 1994-2017 Director, Levine Cerebral Localization Laboratory, Stroke Division, Dept of Neurol, NY Neurological Institute, Columbia Univ Medical Center, New York, NY

- 2013-Pres Prof of Neuropsychology in Neurol and Neurological Surgery at the Columbia University Medical Center, NY
- 2017-Pres Evelyn F. McKnight Endowed Chair. Dept of Neurology, Univ of Alabama at Birmingham, Birmingham AL
- 2017-Pres Professor of Neurology (with Tenure), Dept of Neurology, Univ of Alabama at Birmingham, AL
- 2017-Pres Director, UAB McKnight Brain Institute, Dept of Neurology, Univ of Alabama at Birmingham, AL
- 2017-Pres Director, Neuropsychology Division, Dept of Neurology, Univ of Alabama at Birmingham, AL
- 2017-Pres Senior Scientist, UAB Center for Exercise Medicine, Univ of Alabama at Birmingham
- 2017-Pres Senior Scientist, UAB Comprehensive Neuroscience Center, Univ of Alabama at Birmingham
- 2017-Pres Senior Scientist, Center for Neurodegeneration and Experimental Therapeutics at UAB

### Honors, Awards, and Advisory Committees

### Honors:

Psi Chi / Robert Formica Memorial Award, Department of Psychology, New York Univ, 1971 Andrew W Mellon Fellow, Dept of Neurology, Memorial Sloan-Kettering Cancer Ctr, 1982-1983

Sigma Xi, 1980

Fellow, American Psychological Association, 2000

Fellow, American Heart Association, 2005

Fellow, American Academy of Neurology, 2011

Fellow, American Neurological Association, 2012

Evelyn K. McKnight Endowed Chair in Learning and Memory in Aging, 2017

### Federal Government Advisory Committees

| 1 0 0 0 1 0 0 |  |
|---------------|--|
| 2016 - Pres   | Fogarty Global Brain Disorders Study Section ZRG1 BDCN-N (55) R, CSR, NIH  |
| 2013 - 2015   | Agency for Healthcare Quality and Research (AHRQ) US Dept of Health and    |
|               | Human Services   |
|               | Evidence-based Practice Center Program, Evidence-based Practice Center     |
| Program       |  |
| 2009 - 2015   | Chartered Member, Acute Neural Injury and Epilepsy (ANIE) Study Section,   |
|               | Center for Scientific Review (CSR), NIH                                    |
| 2002 - 2010   | Permanent Member, Circulatory System Devices Advisory Panel, Medical       |
|               | Devices Advisory Committee, Center for Devices and Radiological Health, US |
|               | FDA  |
| 2009 - 2010   | ZRG1 BDCN-L (95) S Competitive Revisions; Clinical Neuroscience and        |
|               | Disease, NIH.  |
| 1996          | Select Committee on Aging. US House of Representatives                     |
|               | Alzheimer's Disease and Related Disorders: The Government's Response.      |
|               | Ninety-Ninth Congress, Second Session (Cold Spring Harbor, New York)       |
|               |  |

### **Other Advisory Committees**

1995 – 1997 Division 40 (Society for Clinical Neuropsychology), American Psychological Assn National Co-Chair, Hospital Staff Membership Task Force Practice Advisory Committee

2014 – 2016 National Institutes of Neurological Disorders and Stroke, NIH StrokeNet Recovery Working Group

### Peer-Review Panels

2011 – Pres Editorial Review Board, Stroke

1993 - Pres Ad Hoc Reviewer: New England Journal of Medicine, Anesthesiology, Cancer, Journal of Applied Behavioral Analysis, Annals of Neurology, Epilepsia, Neuropsychologia, Neuropsychology, Circulation, Neuroscience Letters, Journal of the International Neuropsychological Society, Neurology, Stroke, Journal of Neurology, Neurosurgery, & Psychiatry, Cerebrovascular diseases, American Journal of Physical Medicine and Rehabilitation, Resuscitation, Neurosurgery, Brain, Neuropsychology Review, Journal of Neurological Sciences, American Journal of Medicine, Journal of Clinical Anesthesia, Journal of Alzheimer's Disease, Frontiers of Neurology, Cardovascular Therapy, Annals of Internal Medicine, Neurorehabilitation and Neural Repair, Aphasiology,

### Publications (2018 Peer-Review only)

- 1. **Lazar, R.M.**, Pavol, M., Browndyke, J., Bormann, Dwyer, M.G., Kraemer, C., White, R., Zivadinov, R., Wertheimer, J.C., Thöne-Otto, A., Ravdin, L.D., Naugle, R., Mechanic-Hamilton, D., Garmoe. W.S., Stringer. A.Y., Bender, H.A., Kapadia, S.R., Susheel Kodali, S.K., Ghanem, A., Linke, A., Mehran, R., Virmani, R., Nazif, T., Parhizgar, A., Leon, M.B. Neurocognition and Cerebral lesion burden in High Risk Patients before Undergoing TAVR: Insights from the Sentinel Trial, JACC Cardiovasc Interv. 2018 Feb 26;11(4):384-392PMID: 29397361.
- 2. Pavol, M.A., Willey, J.Z., Wei, Y., Yuzefpolskaya, M., Marshall, R.S., Marascalco, P.J., Harwood, J., **Lazar, R.M.**, Does cognition improve following LVAD implantation? General Thoracic and Cardiovascular Surgery, 2018, Aug;66(8):456-463. PMID: 29796750
- 3. Marshall, R.S., **Lazar, R.M.**, Liebeskind, D.S., Connolly, E.S., Howard, G., Lal, B.K., Huston III, J., Meschia, J.F., Brott, T.G., on behalf of the CREST-H investigators, Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Hemodynamics (CREST-H): Study Design and Rationale. International Journal of Stroke, 2018 Aug;66(8):456-463. PMID: 30132751.
- 4. Agarwal S, Presciutti A, Roth W, Matthews E, Rodriguez A, Roh DJ, Park S, Claassen J, **Lazar RM**. Determinants of Long-Term Neurological Recovery Patterns Relative to Hospital Discharge Among Cardiac Arrest Survivors. Crit Care Med. 2018 Feb;46(2):e141-e150. PMID: 29135522.
- 5. Norling, A.M., Marshall, R.S., Pavol, M.A., Howard, H., Howard, V., Liebeskind, D., **Lazar, R.M.** Is Hemispheric Hypoperfusion a Treatable Cause of Cognitive Impairment? Current Cardiology Reports, 2018, in press.

6. Gerstenecker, A., **Lazar, R.M.** Language recovery following stroke. The Clinical Neuropsychologist, 2018, in press.

Grants/Contracts (2018-present)

U24NS107223 (Gropen, Lazar, Harrigan)

09/01/2018 - 08/31/2023

NIH/NINDS StrokeBelt StrokeNet

The goal of the StrokeBelt StrokeNet is to establish a Regional Coordinating Center to facilitate Stroke research in the Southeastern States of Alabama and Mississippi. This infrastructure will provide research opportunities in acute stroke treatment, primary and secondary prevention, and post-stroke rehabilitation for an underserved, high-risk stroke population.

1 U01 NS080168-01A1 (Brott)

1/1/2014 - 12/31/2021

NIH/NINDS CREST-2 Clinical Coordinating Center.

The goal of this project is to assess if contemporary medical therapy is not inferior to contemporary revascularization (carotid endarterectomy or carotid angioplasty/stenting) plus best medical therapy in patients with  $\geq 70\%$  asymptomatic carotid stenosis. The cognitive aim is to assess whether medical therapy alone is non-inferior to revascularization to maintain the level of cognitive function at 4 years of follow-up.

Role: Co-I and Cognitive Core Leader.

R01NS097876 (Lazar, Marshall, Liebeskind, Connolly) 4/1/2017 – 3/31/2022

NIH/NINDS Carotid Revascularization and Medical Management for Asymptomatic Carotid Stenosis Trial - Hemodynamics

The purpose of this project is to determine whether there is a subset of patients with carotid stenosis who have MRI-detected cerebral hemodynamic compromise and associated cognitive decline, and whether revascularization will be associated with improved hemodynamics and improved cognition.

AMC21 Multi-PI Pilot Grant, UAB Schl of Med (MPI:C Brown, Corresponding PI; Lazar, Co-PI) Prevention of and Recovery from Hospital-Associated Disability. (1/20/2018 – 1/19/2020) Pilot funding in preparation for 2019 submission for an NIA Claude D Pepper Older Americans Independence Center

1R21NS096972-01A1 (Lazar/Kodali)

8/1/2016 - 3/31/2018

NIH/NINDS Cerebral Hemodynamics and Neurocognition in Severe Aortic Valve Disease. The goal of this project is to determine whether severe aortic stenosis is associated with impaired cerebral hemodynamics and, in turn, impaired cognition, and whether valve replacement is associated with improved cerebral hemodynamics and improved cognition.

R01 AG057709-01 (Pl: Gutierrez)

7/1/2018 - 6/30/2023

NIH/NINDS Genetic Contribution to Brain Arterial Dilatation and its Role in Cognition and Dementia

The goal of this project is to study the role of gene regulation in the dilatation of intracerebral arteries in response to systemic cardiovascular risk factors.

Role: Co-I (neurocognitive outcomes).

| NAME POSITION TITLE              |                     | ĹE      |                |
|----------------------------------|---------------------|---------|----------------|
| Amy Willis Amara                 | Associate Professor |         | sor            |
| EDUCATION/TRAINING               |                     |         |                |
| INSTITUTION/LOCATION             | DEGREE              | YEAR(S) | FIELD OF STUDY |
| Agnes Scott College, Atlanta, GA | B.A.                | 1998    | Medicine       |
| Medical College of Georgia, GA   | Ph.D.               | 2003    | Medicine       |
| Medical College of Georgia, GA   | M.D.                | 2005    | Medicine       |
|                                  |                     |         |                |

# **HOSPITAL AND OTHER (NON ACADEMIC) APPOINTMENTS:**

# **Hospital Privileges:**

| Birmingham Veterans Affairs Medical Center             | 2011-2012    |
|--|--------------|
| Children's Hospital of Alabama                         | 2010-present |
| University of Alabama Hospital                         | 2009-present |
| University of Alabama at Birmingham Highlands Hospital | 2009-present |
| Cooper Green Hospital                                  | 2009-present |
| Investigator, Evelyn F. McKnight Brain Institute       | 2018-present |

# **2018 PUBLICATIONS:**

1. Amara, A.W., L. Chahine, N. Seedorff, C.J. Caspell-Garcia, C. Coffey, and T. Simuni and the Parkinson's Progression Markers Initiative. (2018) Self-reported Physical Activity Levels and Clinical Progression in Early Parkinson's Disease. Parkinsonism and Related Disorders. In press 2. Szalflarski, J.P., J. Friffis, J. Vannest, J.B. Allendorfer, R. Nenert, A.W. Amara, V. Sung, H.C. Walker, A.N. Martin, V.W. Mark, and X. Zhou (2018) A Feasibility Study of Combined Intermittent Theta Burst Stimulation and Modified Constraint-Induced Aphasia Therapy in Chronic Post-Stroke Aphasia. Restorative Neurology and Neuroscience. In press

### **EXHIBITS:**

McGhee, S.A., Fleming, L., Memon, R., Joop, A., Nenert, R., Pilkington, J., Gerstenecker, A., Triebel, K., Bamman, M.M., Visscher, K.M., Amara, A.W., Wood, K.H. (2018). Brain atrophy is associated with cognitive function and motor features of Parkinson's disease. Annual Meeting of the Alabama Advanced Imaging Consortium. Delta, AL.

### **UNIVERSITY ACTIVITIES:**

University activities include clinical research, teaching, and clinical care roles. I direct a research laboratory investigating interventions to improve sleep, cognition, safety, and motor symptoms in patients with Parkinson's disease. The laboratory is actively engaged in several projects, with students and other lab members. My laboratory has been funded through NIH as well as foundations. I am also site investigator for studies investigating biomarkers of Parkinson's disease.

### **MAJOR RESEARCH INTERESTS:**

My major research interests include investigation of the impact of exercise on sleep, cognition, motor outcomes, and functional connectivity in patients with Parkinson's disease. I have also investigated the influence of deep brain stimulation and other therapies on sleep in these patients.

My lab also studies the effects of cognitive training (speed of processing training) on pedestrian safety, cognition, and visual processing in patients with Parkinson's disease and healthy older adults. In addition, I am site investigator for multi-site studies evaluating biomarkers and investigational therapies in patients with Parkinson's disease and dementia with Lewy bodies.

| NAME                     |                     | POSITION T | POSITION TITLE      |  |
|--------------------------|---------------------|------------|---------------------|--|
| Steven N. Austad         | Professor and Chair |            | Chair               |  |
| EDUCATION/TRAINING       |                     |            |                     |  |
| INSTITUTION/LOCATION     | DEGREE              | YEAR(S)    | FIELD OF STUDY      |  |
| Uni of CA, Los Angeles   | B.A.                | 1969       | English Literature  |  |
| CA State Uni, Norhtridge | B.A.                | 1976       | Biology             |  |
| Purdue University        | PhD                 | 1981       | Biological Sciences |  |
|                          |                     |            |                     |  |

2014 – present: Distinguished Professor & Chair, Department of Biology, University of Alabama at Birmingham (UAB), Birmingham, AL

- Director. UAB Nathan Shock Center of Excellence in the Basic Biology of Aging.
- Associate Director. UAB Comprehensive Center for Healthy Aging.
- Senior Scientist. UAB Nutrition Obesity Research Center.
- Senior Scientist. UAB Center for Exercise Medicine.
- Senior Scientist, UAB Diabetes Research Center
- Steering Committee Member. UAB Mentored Experiences in Research, Instruction, and Teaching (MERIT) Program.
- Scientist. UAB Alzheimer's Disease Center.
- Executive Committee Member. UAB Comprehensive Neuroscience Center.
- Investigator, Evelyn F. McKnight Brain Institute
- Scientific Director, American Federation for Aging Research, New York City, NY
- Co-Director, Nathan Shock Centers Coordinating Center.

# **Honors and Awards**

2018 Outstanding Teacher Award. UAB University Honors Program.

#### **Publications**

- 1. Austad SN, Hoffman JM. 2018. Is antagonistic pleiotropy ubiquitous in aging biology? Evolutionary Medicine and Public Health. doi: 10.1093/emph/eoy033.
- 2. Beltrán-Sánchez H, Austad SN, Finch CE. 2018. Comment on "The plateau of human mortality: demography of longevity pioneers." Science Sept. 28:361(6409). pii: eaav1200. doi: 10.1126/science.aav1200.
- 3. Barzilai N, Cuervo AM, Austad SN. 2018. Viewpoint: Aging as a biological target for prevention and therapy. Journal of the American Medical Association. doi: 10.1001/jama.2018.9562. Oct 2;320(13):1321-1322.
- 4. Hood WR, Austad SN, Bize P, Jimenez AG, Montooth KL, Schulte PM, Scott GR, Sokolova K, Treberg JR, Salin K. 2018. The mitochondrial contribution to animal performance, adaptation, and life-history variation. Integrative and Comparative Biology. 58(3):480-485. doi:10.1093/icb/icy089/504967.
- 5. Austad SN. 2018. The comparative biology of mitochondrial function and the rate of aging. Integrative and Comparative Biology. 58(3):559-566. doi: 10.1093/icb/icy068.
- 6. Hoffman JM, O'Neill DG, Creevy KE, Austad SN. 2018. Do female dogs age differently than male dogs? Journals of Gerontology: Biological Science and Medical Sciences 73(2), 150-156. DOI: 10.1093/gerona/glx061. PMC5861885-24.

| NAME                    |          | POSITION TITLE |                |
|-------------------------|----------|----------------|----------------|
| Karlene Ball Professor  |          |                |                |
| EDUCATION/TRAINING      |          |                |                |
| INSTITUTION/LOCATION    | DEGREE   | YEAR(S)        | FIELD OF STUDY |
| Indiana University      | B.A.     | 1974           | Psychology     |
| Northwestern University | M.S.     | 1977           | Psychology     |
| Northwestern University | Ph.D.    | 1979           | Psychology     |
| Northwestern University | Post-doc | 1979-1984      | Psychology     |

Director, UAB Edward R. Roybal Center for Research on Applied Gerontology Associate Director, Comprehensive Center for Healthy Aging Investigator, Evelyn F. McKnight Brain Institute

# 2018 Accomplishments

Submission of the Roybal Center competing renewal. The UAB Roybal Center Theme is Translational Research on Aging and Mobility. This theme was selected due to the prevalence of mobility problems among older adults, and the impact that mobility problems have on everyday function. The theme has expanded and broadened over time and now includes additional areas of research in which mobility can be threatened (e.g., pain and obesity), as well as other factors which can impact adherence to behavioral interventions.

### In Press:

- 1. Pope, C.N., Stavrinos, D., Vance, D.E., Ball, K., & Fazeli, P.L. (in press, 2018). A pilot investigation on the effects of combination transcranial direct current stimulation and speed of processing cognitive remediation therapy on simulated driving behavior in older adults with HIV. Transportation Research Part F: Traffic Psychology and Behaviour.
- 2. Pope, C. N.\*, Stavrinos, D., Vance, D. E., Woods, A. J., Bell, T. R., Ball, K. K., & Fazeli, P. L. (in press). A pilot investigation on the effects of combination transcranial direct current stimulation and speed of processing cognitive remediation therapy on simulated driving behavior in older adults with HIV. Transportation Research Part F: Psychology and Behaviour.

| NAME POSITION TITLE         |          | Œ         |                     |
|-----------------------------|----------|-----------|---------------------|
| Etty (Tika) Benveniste      |          | Professor |                     |
|                             |          |           |                     |
| EDUCATION/TRAINING          |          |           |                     |
| INSTITUTION/LOCATION        | DEGREE   | YEAR(S)   | FIELD OF STUDY      |
| CA State Uni, Chico, CA     | B.A.     | 1978      | Biological Sciences |
| Univ of CA, Los Angeles, CA | PhD      | 1983      | Immunology          |
| Univ of CA, Los Angeles, CA | Post-doc | 1986      | Neuroimmunology     |

2015 – present Co-Director, UAB Multiple Sclerosis Center, UAB

2015 - present Senior Associate Dean for Research Administration, UAB

2016 - present Charlene A. Jones Endowed Chair in Neuroimmunology, UAB

2017 – present Senior Vice President for Basic Sciences

2017 – present, Investigator, Evelyn F. McKnight Brain Institute

# Honors, Awards, and Advisory Committees

Chair: SOM Executive Risk Oversight Committee, 2015-

Chair: SOM Master Space Planning Committee, 2016-

Member: Science and Technology Honors Program Leadership Council, 2016-

Co-Chair: Search Committee, Director of the Comprehensive Cancer Center, 2016-2017

Member: Search Committee, Vice President for Research, 2016

Chair: Internal Advisory Board, UAB Women's Reproductive Health Research (WRHR)

Program, 2016-

Member: Search Committee, Chair of Neurobiology, 2017

Member: Internal Advisory Board, Institute for Cancer Outcomes and Survivorship, 2017

### **Professional Societies**

Past-President, American Society of Neurochemistry, 2015-2017

Member: Council of Faculty and Academic Societies, Association of American Medical

Colleges, 2013-

Member: Council of Faculty and Academic Societies, Administrative Council, 2013-

Member: AAMC Distinguished Research Award Selection Committee, 2014, 2015

Member: Americas Committee for Treatment and Research in Multiple Sclerosis (ACTRIMS)

Program Committee Advisory Board, 2017-

### **Publications 2018**

- 1. <u>MicroRNA-31 is required for astrocyte specification</u>. Meares GP, Rajbhandari R, Gerigk M, Tien CL, Chang C, Fehling SC, Rowse A, Mulhern KC, Nair S, Gray GK, Berbari NF, Bredel M, Benveniste EN, Nozell SE. Glia. 2018; 66(5):987-998. NIHMSID: NIHMS933728
- 2. <u>CK2 Controls Th17 and Regulatory T Cell Differentiation Through Inhibition of FoxO1.</u> Gibson SA, Yang W, Yan Z, Qin H, Benveniste EN., Journal of immunology (Baltimore, Md.: 1950). 2018; 201(2):383-392. NIHMSID: NIHMS968993
- 3. <u>Protein Kinase CK2: An Emerging Regulator of Immunity.</u> Gibson SA, Benveniste EN. Trends in immunology. 2018; 39(2):82-85. NIHMSID: NIHMS928484
- 4. Role of the JAK/STAT signaling pathway in regulation of innate immunity in neuroinflammatory diseases. Yan Z, Gibson SA, Buckley JA, Qin H, Benveniste EN. Clinical immunology (Orlando, Fla.). 2018; 189:4-13. NIHMSID: NIHMS893697

| NAME                  |       | POSITION TIT | POSITION TITLE     |  |
|-----------------------|-------|--------------|--------------------|--|
| Mark Bolding          | lding |              | essor              |  |
| EDUCATION/TRAINING    |       |              |                    |  |
| INSTITUTION/LOCATION  | DEGRE | YEAR(S       | FIELD OF STUDY     |  |
| Clemson University    | EB.S. | ) 1997       | Mathemati          |  |
| University of Alabama | Ph.D. | 2012         | cs Vision          |  |
| at Birmingham         |       |              | Science/Philosophy |  |

# **Current Positions:**

2017 - Present: Associate Professor, Radiology, UAB

**Associate Professor** 

Division of Advanced Medical Imaging

Department of Radiology

Director, Civitan International Neuroimaging Laboratory

Investigator, Evelyn F. McKnight Brain Institute

mbolding@uabmc.edu

205-975-4060

### **Areas of interest:**

Vision - visual behavior and visual cognition; psychiatry – schizophrenia; imaging - MRI and neuroimaging

### **2018 Publications:**

doi:10.1016/j.cct.2018.03.014

- 1. Bing, C., Hong, Y., Hernandez, C., Rich, M., Cheng, B., Munaweera, I.Chopra, R. (2018). Characterization of different bubble formulations for blood-brain barrier opening using a focused ultrasound system with acoustic feedback control.. Sci Rep, 8(1), 7986. doi:10.1038/s41598-018-26330-7
- 2. Fellows, B. D., Ghobrial, N., Mappus, E., Hargett, A., Bolding, M., Dean, D., & Mefford, O. T. (2018). In vitro studies of heparin-coated magnetic nanoparticles for use in the treatment of neointimal hyperplasia.. Nanomedicine, 14(4), 1191-1200. doi:10.1016/j.nano.2018.02.011 3. Dowla, S., Pendergrass, M., Bolding, M., Gower, B., Fontaine, K., Ashraf, A.,Goss, A. (2018). Effectiveness of a carbohydrate restricted diet to treat non-alcoholic fatty liver disease in adolescents with obesity: Trial design and methodology.. Contemp Clin Trials, 68, 95-101.

| NAME                             |        | POSITION TITLE       |                 |  |
|----------------------------------|--------|----------------------|-----------------|--|
| Michael Brenner Emeritus Pr      |        | Emeritus Profess     | ritus Professor |  |
| EDUCATION/TRAINING               |        |                      |                 |  |
| INSTITUTION/LOCATION             | DEGREE | YEAR(S)              | FIELD OF STUDY  |  |
| Harvard College, Cambridge, MA   | 1965   | Biochemical Sciences |                 |  |
| Churchill College, Cambridge, UK |        | 1966                 |                 |  |
| Uni CA Berkeley, CA              | PhD    | 1970                 | Biochemistry    |  |

| _ 0.0-0-0-0   |  |
|---------------|--|
| 2015-present  | Emeritus Professor, Department of Neurobiology, UAB                            |
| 2007-2015     | Professor Department of Neurobiology, UAB                                      |
| 2006 – presen | t Investigator, Evelyn F. McKnight Brain Institute                             |
| 1999-2007     | Associate Professor, Department of Neurobiology, UAB                           |
| 1992-1998     | Research Scientist, National Institute of Neurological Disorders and ("Special |
|               | Expert") Stroke, NIH, Bethesda, MD, Laboratory of Dr. John Hallenbeck          |
| 1987-92       | Research Scientist, National Institute of Neurological Disorders and ("Special |
|               | Expert") Stroke, NIH, Bethesda, MD., Laboratory of Dr. Ernst Freese            |
| 1985-87       | Research Scientist, National Institute of Diabetes, Digestive and Kidney       |
| ("Expert")    | Diseases, NIH, Bethesda, MD, Laboratory of Dr. Jun-ichi Tomizawa               |
| 1980-84       | Associate Professor, Temple Univ. Medical School, Philadelphia, PA             |
| 1979-80       | Visiting Assistant Professor, Boston College, Chestnut Hill, MA                |
| 1979-80       | Research Associate, Harvard University, Cambridge, MA                          |
| 1976-79       | Associate Professor, Harvard University, Cambridge, MA                         |
| 1972-76       | Assistant Professor, Harvard University, Cambridge, MA, Department of Biology  |
|               |  |

# **Patent:**

United States Patent Number 5,627,047, "Astrocyte-Specific Transcription of Human Genes." granted 6 May 1997, covers the use of the human GFAP regulatory sequences for targeting expression of genes to astrocytes in culture or in transgenic animals. Licensing agreements have been executed with several biotechnology companies.

# **Publications 2018**

Brenner, M., Messing, A. and Olsen, M. L. (2018). AP-1 and the injury response of the GFAP gene. J Neurosci Res. doi:10.1002/jnr.24338

| NAME                                |        | POSITION TITLE |                  |
|-------------------------------------|--------|----------------|------------------|
| Cynthia J. Brown                    |        | Professor      |                  |
| EDUCATION/TRAINING                  |        |                |                  |
| INSTITUTION/LOCATION                | DEGREE | YEAR(S)        | FIELD OF STUDY   |
| East Carolina Uni, Greenville, NC   | B.S.   | 1982           | Physical Therapy |
| North Carolina St, Raleigh, NC      |        | 1991           |                  |
| Univ of North Carolina, Chapel Hill | MD     | 1996           |                  |
| UAB                                 | M.S.   | 2006           | Public Health    |

| 2003 – present | Investigator, Birmingham/Atlanta VA Geriatric Research, Education and   |
|----------------|---|
|                | Clinical Center (GRECC)   |
| 2003 – present | Medical Director, Birmingham/Atlanta GRECC Fall Prevention and Mobility |
|                | Clinic  |
| 2003 – present | Staff Physician, UAB Hospital, UAB Highlands and the Veterans Affairs   |
|                | Medical Center, Birmingham, Alabama                                     |
| 2008 - 2013    | Quality Improvement Director, Acute Care for Elders (ACE) Unit, UAB     |
|                | Highlands, Birmingham, Alabama  |
| 2017 – present | Investigator, Evelyn F. McKnight Brain Institute                        |

#### **Publications**

- **1.** Dermody G, Sawyer P, Kennedy R, Brown CJ. ED Utilization and Self-Reported Symptoms in Community-Dwelling Older Adults. *J Emerg Nurs*. 2017 Jan;43(1):57-69. PMID: 28131350.
- 2. Kennedy RE, Sawyer P, Williams CP, Lo AX, Ritchie CS, Roth DL, Allman RM, Brown CJ. Life-Space Mobility Change Predicts 6-Month Mortality. *J Amer Geriatr Soc.* 2017; 65(4):833-838. PMID: 28152168.
- **3.** Clay OJ, Perkins M, Wallace G, Crowe M, Sawyer P, Brown CJ. Associations of Multimorbid Medical Conditions and Health-related Quality of Life among Older African American men. *J Gerontol B Psychol Sci Soc Sci.* 2017 Jun 27. [Epub ahead of print] PMID: 28658936.
- **4.** Balentine CJ, Leverson G, Vanness D, Knight S, Turan J, Brown CJ, Kennedy G, Chen H, Bhatia S. Selecting Post-Acute Care Settings After Abdominal Surgery: Are We Getting It Right? *Am J Surg*. 2017 Sep 20. [Epub ahead of print] PMID: 28951065.
- 5. Stec MJ, Thalaker-Mercer A, Mayhew DL, Kelly NA, Tuggle C, Merritt EK, Brown CJ, Windham ST, Dell'Italia LJ, Bickel CS, Roberts BM, Vaughn KM, Isakova-Donahue I, Many G, Bamman MM. Randomized, Four-Arm, Dose-Response Clinical Trial to Optimize Resistance Exercise Training for Older Adults with Age-Related Muscle Atrophy. *Exp Gerontol*. 2017;99:98-109. PMID: 28964826.

# Manuscripts in preparation

Kennedy RE, Williams CP, Sawyer P, Lo AX, Connelly K, Nassel A, Brown CJ. Life-space Predicts Healthcare Utilization in Community-Dwelling Older Adults (Journal of Aging and Health)

| NAME  |        | POSITION TITLE |                |
|---|--------|----------------|----------------|
| Christy Carter Research Assistant Professor |        | ant Professor  |                |
| EDUCATION/TRAINING                          |        |                |                |
| INSTITUTION/LOCATION                        | DEGREE | YEAR(S)        | FIELD OF STUDY |
| Univ of Colorado                            | B.A.   | 1991           | Psychology     |
| Univ of North Carolina                      | Ph.D.  | 1998           | Psychology     |

06/18 – present Investigator, Evelyn F. McKnight Brain Institute

01/16-present Program Director, Education Programs, Department of Aging & Geriatric Research, College of Medicine, University of Florida

07/12-present Research Assistant Professor, Department of Aging & Geriatric Research,

College of Medicine, University of Florida (Multi-mission track, non-tenure accruing)

04/05-07/12 Assistant Professor, Department of Aging & Geriatric Research, College of Medicine, University of Florida, (tenure accruing)

2007-2008 North Florida South Georgia VAMC, Associate Director for Research, Geriatric Research, Education & Clinical Center, (non-tenure accruing,)

09/04-03/05 Assistant Professor, Wake Forest University, Department of Internal Medicine, Section on Geriatrics & Gerontology, School of Medicine, (tenure accruing)

07/01-08/04 Instructor, Department of Internal Medicine, Section on Geriatrics &

Gerontology, Wake Forest University, (non-tenure accruing)

09/99-06/01 Research Associate, Department of Internal Medicine, Section on Geriatrics & Gerontology, Wake Forest University, (non-tenure accruing)

# Honors, Awards, and Advisory Committees

- 2016 UF COM Teaching Incentive Award
- 2016 Online Education Excellence Award in the category of Graduate Course
- 2014 Fellow, Gerontological Society of America
- 2010 Online Education Excellence Award in the category of Graduate Course
- 2008 Outstanding Rating, US Department of Veterans Affairs
- 2003 Young Investigator Award, American Geriatrics Society
- 2001 Bloch Post-Doctoral Fellow Award, American Geriatrics Society
- 2001 "Physical Ability in Aged and Dwarf (dw/dw) Rats: Isolating Growth Hormone Effects", American Federation for Aging Research (AFAR)/Pfizer
- 1996 Travel Award to Annual Meeting, Neurobehavioral Teratology Society
- 1995 National Research Service Fellowship Award (NRSA) (#MH11262 F31) at University of North Carolina, Chapel Hill, The National Institute of Mental Health (NIMH)

### **Publications 2018**

Schorr A, Carter C, Ladiges W. The potential use of physical resilience to predict healthy aging. Pathobiol Aging Age Relat Dis 2018;8:1403844. doi: 10.1080/20010001.2017.1403844. eCollection 2018.

Loftus TJ, Kannan KB, Carter CS, et al. Persistent injury-associated anemia in aged rats. Exp Gerontol 2018;103:63-68::10.1016/j.exger.2018.01.001. Epub Jan 4.

| NAME                                |        | POSITION TITLE      |                |
|-------------------------------------|--------|---------------------|----------------|
| Jeremy J. Day                       |        | Assistant Professor |                |
| EDUCATION/TRAINING                  |        |                     |                |
| INSTITUTION AND LOCATION            | DEGREE | YEAR(S)             | FIELD OF STUDY |
| Auburn University                   | B.A.   | 2000-2003           | Psychology     |
| University of North Carolina at     | M.A.   | 2004-2006           | Psychology     |
| Chapel Hill                         |        |                     |                |
| University of North Carolina at     | PhD    | 2006-2009           | Psychology     |
| Chapel Hill                         |        |                     |                |
| University of Alabama at Birmingham |        | 2009-2014           | Neurobiology   |

| 2016-present | Scientist, Alzheimer's Disease Center                      | UAB |
|--------------|--|-----|
| 2015-present | Associate Scientist, Civitan International Research Center | UAB |
| 2014-present | Graduate Faculty   | UAB |
| 2014-present | Assistant Professor, Dept. of Neurobiology (Primary)       | UAB |
| 2014-present | Assistant Professor, Dept. of Genetics (Secondary)         | UAB |
| 2014-present | Assistant Professor, Dept. of CDIB (Secondary)             | UAB |
| 2014-present | Assistant Professor, Dept. of Psychology (Secondary)       | UAB |
| 2014-present | Investigator, Evelyn F. McKnight Brain Institute           |     |
| UAB          |  |     |

# **Publications**

- 1. Stefanelli, G., Azam, A.B., Walters, B.J., Brimble, M.A., Gettens, C.P., Bouchard-Cannon, P., Cheng, H-Y.M., Davidoff, A.M., Narkaj, K., **Day, J.J.**, Kennedy, A.J., & Zovkic, I.B. (2018). Learning and age-related changes in genome-wide H2A.Z binding in the hippocampus. *Cell Reports* 22:1-8.
- 2. McMeekin, L.J., Li, Y., Crossman, D.K., **Day, J.J.,** Li, Y., Detloff, P.J., & Cowell, R.M. (2018). Cell-specific deletion of PGC-1a from medium spiny neurons causes transcriptional alterations and age-related motor impairment. *The Journal of Neuroscience* 38(13):3273-3286. Publications (other)
- 3. Gallus, N.V.N., Simon, R., Salisbury, A.J., Revanna, J.S., Bunner, K.D., Savell, K.E., Sultan, F., & **Day, J.J.** (2018). Functional modulation of activity-dependent transcription by non-coding enhancer RNAs. *BioRxiv*. doi: https://doi.org/10.1101/270967.
- 4. Savell, K.E., Bach, S.V., Zipperly, M.E., Revanna, J.S., Goska, N.A., Tuscher, J.J., Duke, C.G., Sultan, F.A., Burke, J.N., Williams, D.M., Ianov, L., & **Day, J.J.** (2018). A neuron-optimized CRISPR/dCas9 activation system for robust and specific gene regulation. <u>BioRxiv</u>. doi: <a href="https://doi.org/10.1101/371500">https://doi.org/10.1101/371500</a>.

| NAME                              |         | POSITION T | POSITION TITLE      |  |
|-----------------------------------|---------|------------|---------------------|--|
| Lynn Dobrunz                      |         | Professor  | Professor           |  |
| EDUCATION/TRAINING                |         |            |                     |  |
| INSTITUTION/LOCATION              | DEGREE  | YEAR(S)    | FIELD OF STUDY      |  |
| Harvard University, Cambridge, MA | B.S.    | 1988       | Engineering Science |  |
| Johns Hopkins, Baltimore, MD      | PhD     | 1994       | Biomedical          |  |
|                                   |         |            | Engineering         |  |
| Salk Institute, La Jolla, CA      | Postdoc | 1999       | Molecular           |  |
|                                   |         |            | Neurobiology        |  |

| 2014-present | Associate Director, UAB Comprehensive Neurosciences Center                |
|--------------|---|
| 2008-present | Associate Professor, Department of Neurobiology, University of Alabama at |
|              | Birmingham, Birmingham, AL.   |
| 2012-present | Secondary appointment, UAB Department of Cell, Developmental and          |
|              | Integrative Biology   |
| 2006-present | Member, UAB Civitan International Research Center                         |
| 2006-present | Member, UAB Comprehensive Neurosciences Center                            |
| 2006-present | Investigator, Evelyn F. McKnight Brain Institute                          |
| 2005-present | Member, UAB Center for Aging  |
| 2002-2012    | Secondary appointment, UAB Department of Physiology and Biophysics        |
| 1999-2008    | Assistant Professor, Department of Neurobiology, University of Alabama at |
|              | Birmingham  |

# Honors, Awards, and Advisory Committees

| ,         | , , , , , , , , , , , , , , , , , , ,                           |
|-----------|---|
| 1988      | Magna Cum Laude, Harvard University                             |
| 1988      | Phi Beta Kappa  |
| 1988-1989 | National Science Foundation Award for Creativity in Engineering |
| 1988-1989 | Able Wolman Fellowship, The Johns Hopkins School of Medicine    |
| 1999-2000 | Howard Hughes Medical Institute Career Development Award        |
| 2010-2014 | Member, NIH MNPS Study Section                                  |
| 2014      | Member, NIH Committee of Visitors                               |
| 2014-2017 | Member, NIH BRAIN Initiative Review Panel                       |
| 2015      | Member, NIH Conte Center Review Panel                           |
|           |   |

# **AWARDS/HONORS:**

2018 UAB Healthcare Leadership Academy

| AME                                |        | POSITION TITLE |                         |
|------------------------------------|--------|----------------|-------------------------|
| Lloyd J. Edwards                   |        | Professor      |                         |
| EDUCATION/TRAINING                 |        |                |                         |
| INSTITUTION/LOCATION               | DEGREE | YEAR(S)        | FIELD OF STUDY          |
| Morehouse College, Atlanta, GA     | B.A.   | 1980           | Mathematics             |
| Univ of Maryland, College Park, MA | M.A.   | 1982           | Mathematical Statistics |
| Univ of NC, Chapel Hill, NC        | PhD    | 1990           | Biostatistics           |

August 2017 Present, Professor and Chair - Department of Biostatistics, UAB

2017-present Investigator, Evelyn F. McKnight Brain Institute

- 2000 2017 Associate Professor Department of Biostatistics University of North Carolina, Chapel Hill Chapel Hill, North Carolina
- 1998 2000 Associate Professor Department of Community and Family Medicine / Division of Biometry Head of Department of Medicine Biostatistics Unit Duke University Medical Center Durham, North Carolina
- 1998 Associate Professor, Dept Biostatistics, Uni of NC, Chapel Hill, NC
- 1991 1998 Assistant Professor Department of Biostatistics, University of North Carolina Chapel Hill, Chapel Hill, North Carolina
- 1990 1991 Visiting Assistant Professor Department of Biostatistics, University of North Carolina Chapel Hill, Chapel Hill, North Carolina
- 1986 1990 Graduate Research Assistant, University of North Carolina Chapel Hill, Chapel Hill, North Carolina
- 1983 1986 Software Engineer/Statistician, TRW Defense Systems Group, McLean, Virginia

### **Organizations/Honors**

Member, UNC IRB Scientific Review Committee (August 2012 - May 2017) Member of Clinical Research Committee of the Cystic Fibrosis Foundation (Oct 2011 - June 2017)

### **Publications 2018**

- 1. Byron C Jaeger, Lloyd J Edwards, Matthew J Gurka (2018). An R2 statistic for covariance model selection in the linear mixed model. J Applied Statistics 46:164-184.
- 2. Bryce B Reeve, Lloyd J Edwards, Byron C Jaeger, Pamela S Hinds, Carlton Dampier, Debbie S Gipson, David T Selewski, Jonathan P Troost, David Thissen, Vaughn Barry, Heather E Gross, Darren A DeWalt (2018). Assessing responsiveness over time of the PROMIS®pediatric symptom and function measures in cancer, nephrotic syndrome, and sickle cell disease. Quality of Life Research 27 (1), 249-257.
- 3. Mohammed Siddiqui, Eric K Judd, Byron C Jaeger, Hemal Bhatt, Tanja Dudenbostel, Bin Zhang, Lloyd J Edwards, Suzanne Oparil, David A Calhoun (2018). Out-of-Clinic Sympathetic Activity Is Increased in Patients With Masked Uncontrolled Hypertension. Hypertension 73:132–141.

| NAME                               |           | POSITION TITLE |                |
|------------------------------------|-----------|----------------|----------------|
| Gamlin, Paul Douglas Roger         | Professor |                |                |
| EDUCATION/TRAINING                 |           |                |                |
| INSTITUTION AND LOCATION           | DEGREE    | YEAR(S)        | FIELD OF STUDY |
| University of Cambridge, England   | B.A.      | 1978           | Zoology        |
| State Uni of New York, Stony<br>NY | PhD       | 1984           | Neurobiology   |

- 2013 present Professor, Department of Ophthalmology, University of Alabama at Birmingham 2013-present Investigator, Evelyn F. McKnight Brain Institute
- 1997 present Professor, Departments of Biomedical Engineering, Psychology, and

Neurobiology, University of Alabama at Birmingham

- 1996 2013 Professor, Department of Vision Sciences, University of Alabama at Birmingham
- 2003 2013 Director, UAB Center for the Development of Functional Imaging
- 2004 2012 Chairman, Department of Vision Sciences
- 2001 2006 Director, UAB Neuroscience Graduate Program
- 2002 2003 Associate Director, UAB Center for the Development of Functional Imaging
- 1995 1999 Director, UAB Vision Science Research Center
- 1995 1996 Scientist, Neurobiology Research Center, University of Alabama at Birmingham
- 1992 1996 Associate Professor, Departments of Physiological Optics and Psychology;
- Scientist, Vision Science Research Center, University of Alabama at Birmingham
- 1989 1992 Assistant Professor, Departments of Physiological Optics and Psychology; Associate Scientist, Vision Science Research Center, UAB
- 1989 Research Assistant Professor, Department of Physiological Optics,
  - School of Optometry, University of Alabama at Birmingham
- 1984 1986 Research Associate, Neurosciences Program, UAB

# Honors, Awards, and Advisory Committees

- 1984 Sigma Xi Award for Achievement in Research
- 1993 American Optometric Student Asso Award for Excellence in Basic Science Teaching
- 1997 UAB President's Award for Excellence in Teaching
- 2009 Irene E. Loewenfeld Lecturer
- 2014 RPB Walt and Lilly Disney Award for Amblyopia Research

### **Publications 2018**

- 1. McCullough KT, Boye SL, Fajardo D, Calabro KR, Peterson JJ, Strang CE, Chakraborty D, Gloskowski S, Haskett S, Samuelsson S, Jiang H, Witherspoon CD, Gamlin PD, Maeder ML, Boye S. Somatic gene editing of GUCY2D by AAV-CRISPR/Cas9 alters retinal structure and function in mouse and macaque. Hum Gene Ther. 2018 Oct 25. doi: 10.1089/hum.2018.193. [Epub ahead of print] PubMed PMID: 30358434.
- 2. May PJ, Warren S, Gamlin PDR, Billig I. An Anatomic Characterization of the Midbrain Near Response Neurons in the Macaque Monkey. Invest Ophthalmol Vis Sci. 2018 Mar 1;59(3):1486-1502. doi: 10.1167/iovs.17-23737. PubMed PMID: 29625471; PubMed Central PMCID: PMC5861931.

| NAME                        |                     | POSITION TITLE |                |
|-----------------------------|---------------------|----------------|----------------|
| Cristin F. Gavin            | Assistant Professor |                |                |
| EDUCATION/TRAINING          |                     |                |                |
| INSTITUTION AND LOCATION    | DEGREE              | YEAR(S)        | FIELD OF STUDY |
| Birmingham-Southern College |                     |                |                |
| Birmingham-Southern College | BS, Biology         | 2006           | Biology        |
| University of Alabama at    | BA, Philosophy      | 2006           | Philosophy     |
| Birmingham                  | PhD,                | 2012           | Neuroscience   |
|                             | Neuroscience        |                |                |

- -Assistant Professor, Primary, Department of Neurobiology, Secondary, Department of Psychology, University of Alabama at Birmingham
- -Co-Director, Undergraduate Neuroscience Program
- -Co-Director, Post-baccalaureate Research Education Program
- Investigator, Evelyn F. McKnight Brain Institute

# Honors, Awards, and Advisory Committees

# **Awards and Honors**

2017-present Science and Technology Honors Program Leadership Council, Neuroscience representative

2017-present CLSS Process & Policy Advisory Group, Joint Health Sciences Programs Representative

2016-present Honors College Faculty Fellow

# Manuscripts submitted but not yet accepted

Genome-wide transcription and DNA methylation profiling in an APP mouse model of Alzheimer's Disease

Guzman-Karlsson MC, Fleming LL, Brown JA, Sesay F, Lewis JW, Hawkins KE, Kordasiewicz HB, Motley T, Swayze EE, Ecker DJ, Michael TP, Gavin CF, Kennedy, AJ, Day JJ, Roberson ED, Sweatt JD (under review at *Nature Communications*)

# **Manuscripts in preparation**

Actin-myosin dynamics regulate structural plasticity in single spines.

Cristin F. Gavin, Maria Rubio, Erica Young, Courtney Miller and Gavin Rumbaugh. Department of Neuroscience, The Scripps Research Institute, Jupiter, FL

# **BOOKS AND BOOK CHAPTERS**

# **Book Chapters**

**Synaptic Plasticity** 

**Cristin F. Gavin** and W. Anne Burton Theibert. Essentials of Modern Neuroscience, by Franklin Amthor, W. Anne Burton Theibert, David G. Standaert, and Erik Roberson, McGraw Hill, 2017 (in press)

| NAME  |        | POSITION TITLE                             |                |
|---|--------|--|----------------|
| David S. Geldmacher                           |        | Patsy and Charles Collat Endowed Professor |                |
| EDUCATION/TRAINING                            |        |  |                |
| INSTITUTION AND LOCATION                      | DEGREE | YEAR(S)                                    | FIELD OF STUDY |
| University of Rochester                       | B.A.   | 1978                                       |                |
| SUNY Health Science Center at<br>Syracuse, NY | M.D.   | 1986                                       |                |

2011 – present Professor of Neurology (tenured)

Professof of Neurobiology

2014 - present Patsy can Charles Collat Endowed Professor in Neuroscience UAB

2014 – present Investigator, Evelyn F. McKnight Brain Institute, UAB

#### **Publications**

Pilonieta G, Geldmacher DS. Accelerating dementia care. Practical Neurology 2018;17 (3):50-52

#### **Presentations**

- 1. Geldmacher DS. The evolving concept of Alzheimer's disease Alabama Academy of Neurology Annual meeting. Hoover, AL August 2018
- 2. Geldmacher DS, Natelson Love M, Hammond, J, Pilonieta G. Impaired Clock Drawing Test in Progressive Supranuclear Palsy and Corticobasal Syndrome: Differences from Alzheimer Disease. Presented at the 70th Annual American Academy of Neurology Meeting, Los Angeles, April 2018.
- 3. Boxer A,Qureshi I, Grundman M, Tirucherai GS, Bechtold C, Ahlijanian M, Kolaitis G, Golbe LI, Honig LS, Isaacson S, Grossman M, McFarland NR, Litvan I, Geldmacher DS, Xie T, Bordelon Y, Tuite P, O'Suilleabhain P, Zesiewicz T. Multiple Ascending Dose Study of the Tau-Directed Monoclonal Antibody BIIB092 in Patients With Progressive Supranuclear Palsy. Presented at the 70th Annual American Academy of Neurology Meeting, Los Angeles, April 2018.
- 4. Geldmacher DS, Hammond J, Pilonieta G. The Alabama Brief Cognitive Screener Serves as a Method for Monitoring Cognitive Function Over Time in Neurodegenerative Disorders. Presented at the American Association of Geriatric Psychiatry Annual Meeting, Honolulu, March 2018
- 5. Hammond J, Pilonieta G, Natelson Love M, Perez S, Geldmacher DS. The Clock Drawing Test Serves as a Time Saving Surrogate for the Alabama Brief Cognitive Screener as a Method to Distinguish Mild Cognitive Impairment and Alzheimer's Disease. Presented at the American Association of Geriatric Psychiatry Annual Meeting, Honolulu March 2018

| NAME                           |        | POSITION TITLE      |                |
|--------------------------------|--------|---------------------|----------------|
| Adam Gerstenecker              |        | Assistant Professor |                |
| EDUCATION/TRAINING             |        |                     |                |
| INSTITUTION/LOCATION           | DEGREE | YEAR(S)             | FIELD OF STUDY |
| So Illinois Univ at Carbondale | B.A.   | 2001                |                |
| Murray State University        | M.S.   | 2007                |                |
| University of Louisville       | PhD    | 2014                |                |

2016 – present Assistant Professor UAB, Department of Neurology 03/16 – present Faculty Member, UAB Multiple Sclerosis Center Faculty Research Member, UAB Alzheimer's Disease Center

2017 – present Investigator, Evelyn F. McKnight Brain Institute

### **Publications 2018**

Manuscripts in press:

- 1. Gerstenecker, A., Grimsley, L., Otruba, B., Cowden, L., Marson, D. C., Triebel Gerstenecker, K., Martin, R. C., & Roberson, E. D. (in press) Medical Decision-Making in progressive supranuclear palsy: A Comparison to other Neurodegenerative Disorders. Parkinsonism and Related Disorders.
- 2. Gerstenecker, A. & Lazar, R. M. (in press). Language Recovery Following Stroke. The Clinical Neuropsychologist.

# Manuscripts submitted but not yet accepted

- 1. Duff-Canning, S., Armstrong, M. J., Reginold, W., Fox, S., Nisenbaum, R., Meaney, C. A., Rothberg, B., Tang-Wai, D. F., Gill, D., Eslinger, P. J., Zadikoff, C., Kennedy, N., Marshall, F. J., Mapstone, M., Chou, K. L., Persad, C., Litvan, I., Mast, B. T., Gerstenecker, A., Weintraub, S., & Marras, C. (in review). Predictors of cognitive change in Parkinson's disease: A two year follow-up study.
- 2. Wood, K. H., Memon, R. A., Joop, A., Pilkington, J., Gerstenecker, A., Triebel, K., Bamman, M. M., & Amara, A. W. (in review). Slow-wave sleep is associated with cognitive function in patients with Parkinson's disease.
- 3. Marson, D. C., Gerstenecker, A., Triebel, K. L., Martin, R. C., Edwards, K., Pankratz, V. S., McPherson, T., Swenson-Dravis, D., & Petersen, R. C. (in resubmission). Detecting Functional Impairment in Preclinical Alzheimer's Disease Using a Brief Performance Measure of Financial Skills.
- 4. Gerstenecker, A., Martin, R., Triebel, K., & Marson, D. (in review). Anosognosia of Financial Ability Begins to Emerge in Mild Cognitive Impairment.

| NAME                         |         | POSITION TITLE      |                |
|------------------------------|---------|---------------------|----------------|
| Matthew S. Goldberg          |         | Associate Professor |                |
| EDUCATION/TRAINING           |         |                     |                |
| INSTITUTION/LOCATION         | DEGREE  | YEAR(S)             | FIELD OF STUDY |
| University of Michigan       | B.S.    | 1990                | Physics        |
| Yale University              | PhD     | 1998                | Mol Biophysics |
| Harvard Medical School       | Postdoc | 1997- 2003          |                |
| Brigham and Women's Hospital | Postdoc | 1997 - 2003         |                |

Year Rank/Title Institution

2014-present Associate Professor University of Alabama Birmingham

2014-present Investigator, Evelyn F. McKnight Brain Institute UAB

# Honors, Awards, and Advisory Committees

Grant reviewer March 2017: French Federation for Brain Research (FRC) Grant reviewer April 2017: Michael J. Fox Foundation for Parkinson's Research Ad-hoc reviewer Feb 5-7, 2017 Reston, VA: US Army Medical Research and Materiel Command CDMRP Parkinson's Research Program

### **Publications 2018**

- 1. Creed, RB and Goldberg MS\*, New Developments in Rat Models of Parkinson's Disease, Movement Disorders, 2018 May:717-729. \*corresponding author
- 2. Tran AN, Walker K, Harrison DG, Chen W, Mobley J, Hocevar L, Hackney JR, Sedaka RS, Pollock JS, Goldberg MS, et al. Reactive species balance via GTP cyclohydrolase I regulates glioblastoma growth and tumor initiating cell maintenance. Neuro Oncol. 2018 20:1055-1067.

# Manuscripts submitted but not yet accepted

- 1. Ding X, Goldberg MS\*, Phosphorylated alpha-synuclein increases LRRK2 abundance, inclusion formation and cell toxicity, submitted. \*corresponding author
- **2.** Creed, RB and Goldberg MS\*, Analysis of □lpha-synuclein pathology in PINK1 knockout rats, BMC Neuroscience, submitted. \*corresponding author

| NAME                             |          | POSITION T    | POSITION TITLE       |  |
|----------------------------------|----------|---------------|----------------------|--|
| Michelle Gray                    |          | Associate Pro | fessor               |  |
| EDUCATION/TRAINING               |          |               |                      |  |
| INSTITUTION/LOCATION             | DEGREE   | YEAR(S)       | FIELD OF STUDY       |  |
| Alabama State University,        | B.S.     | 1997          | Biology              |  |
| Montgomery, AL                   |          |               |                      |  |
| Ohio State University, Columbus, | PhD      | 2003          | Molecular, Cellular, |  |
| OH                               |          |               | and Developmental    |  |
| University of California, Los    | Post doc | 2008          | Biology              |  |
| Angeles, Los Angeles, CA         |          |               | Neurogenetics/mouse  |  |
|                                  |          |               | genetics             |  |

2010 - present Assistant Professor, Dixon Scholar in Neuroscience, Department of Neurology, Center for Neurodegeneration and Experimental Therapeutics, University of Alabama at Birmingham

2010 – present Investigator, Evelyn F. McKnight Brain Institute

#### **Publications 2018**

Wood TE, Barry J, Yang Z, Cepeda C, Levine MS, **Gray M**. Mutant huntingtin reduction in astrocytes slows disease progression in the bachd conditional huntington's disease mouse model. Hum Mol Genet. 2018 Oct 12. doi: 10.1093/hmg/ddy363

# **Published Abstracts**

- 1. Annesha King, Tara Wood, Efrain Rodriquez, and Michelle Gray. BACHD/dnSNARE mice reveal the contribution of gliotransmission to Huntington's disease pathogenesis, Society for Neuroscience, San Diego, CA November 2018
- 2. Annesha King, Tara Wood, Efrain Rodriquez, and Michelle Gray. Huntington's Disease and astrocytes. Comprehensive Neuroscience Center Retreat, University of Alabama at Birmingham, Birmingham, AL, October 2018
- 3. Annesha King and Michelle Gray. BACHD/dnSNARE mice reveal the contribution of gliotransmission to Huntington's disease pathogenesis Southeastern Neurodegenerative Disease Conference, Orlando, FL, September 2018
- 4. Kristian Anderson, Efrain Rodriquez, Annesha King and Michelle Gray. Plakophilin 2 expression in the hearts of a Huntington's Disease mouse model. Center for Community Outreach and Development, Summer Science Institute. University of Alabama at Birmingham. Birmingham, AL, August 2018.
- 5. Annesha King, Tara Wood, Efrain Rodriguez and Michelle Gray. University of Alabama at Birmingham Center for Neurodegeneration and Experimental Therapeutics 6th Annual Retreat. Birmingham, AL, March 2018.
- 6. Annesha King and Michelle Gray. University of Alabama School of Medicine Research Roundtable. Birmingham, AL, March 2018.
- 7. Yujie Zhu, Isaac Shamblin, Sameen Ali, Michelle Gray and Sabine Huke. Cardiac Conduction Disease in Huntington's Disease Mouse Model (BACHD). Experimental Biology, San Diego, CA, April 2018.

| NAME                       |         | POSITION TI    | POSITION TITLE |  |
|----------------------------|---------|----------------|----------------|--|
| Alecia K. Gross            |         | Associate Prof | essor          |  |
| EDUCATION/TRAINING         |         |                |                |  |
| INSTITUTION/LOCATION       | DEGREE  | YEAR(S)        | FIELD OF STUDY |  |
| Univ of New Hampshire      | B.S.    | 1993           | Biochemistry   |  |
| Brandeis University        | PhD     | 2002           | Biochemistry   |  |
| Baylor College of Medicine | Postdoc | 2006           |                |  |

| <b>Positions</b> |  |
|------------------|--|

| Year           | Rank/Title                        | Institution   |
|----------------|-----------------------------------|---|
| 2006 - 2011    | Assistant Professor               | UAB Department of Vision Sciences                               |
| 2006 – present | Secondary Appointment             | UAB Department of Cell, Developmental and Integrative Biology   |
| 2007 – present | Secondary Appointment             | UAB Department of Neurobiology                                  |
| 2008 – present | Secondary Appointment             | UAB Department of Biochemistry and                              |
|                |                                   | Molecular Genetics  |
| 2006 – present | Scientist                         | UAB Comprehensive Neuroscience Center                           |
| 2006 – present | Scientist                         | UAB Vision Science Research Center                              |
| 2006 – present | Scientist                         | UAB Civitan International Research                              |
|                |                                   | Center  |
| 2006 – present | Scientist                         | UAB Evelyn F. McKnight Brain Institute                          |
| 2011 – present | Project Leader                    | UAB Intellectual and Developmental Disabilities Research Center |
| 2011 – present | Associate Professor (with tenure) | UAB Department of Vision Sciences                               |
|                |                                   |   |

# Honors, Awards, and Advisory Committees

2016-present Director, Cell, Molecular and Developmental Biology Graduate Program

# **Publications 2018**

- 1. Bales KL, Ianov L, Kennedy AJ, Sweatt JD and Gross AK. (2018) Autosomal dominant retinitis pigmentosa rhodopsin mutant Q344X drives specific alterations in chromatin complex gene transcription. Molecular Vision 15 (24) 153-164. PMCID: PMC5815338.
- 2. Lewis WR\*, Bales KL, Revell DZ, Croyle MJ, Engle SE, Song CL, Malarkey EB, Uytingco CR, Shan D, Anotnellis PK, Nagy TR, Kesterson RA, Mrug MM, Martens JR, Berbari NF, Gross AK\*, Yoder BK. (2018) Mks6 mutations reveal tissue- and cell-type specific roles for the cilia transition zone. FASEB J Aug22:fj201801149R [Epub ahead of print] PMID: 30133325 (PMCID in preparation). https://www.ncbi.nlm.nih.gov/pubmed/30133325

# Presentations at scientific meetings

- 1. May 2018: "Retinal degeneration and protein mislocalization in Mks6 mutants," poster presentation, Association for Research in Vision and Ophthalmology (ARVO) Annual Meeting, Honolulu, HI
- 2. May 2018: "Congenital knock-out of transition zone protein BBS5 reveals cone-rod dystrophy with light-induced protein mislocalization," ARVO Annual Meeting, Honolulu, HI

| NAME                            |        | POSITION TITLE |                          |
|---------------------------------|--------|----------------|--------------------------|
| John Hablitz                    |        | Professor      |                          |
| EDUCATION/TRAINING              |        |                |                          |
| INSTITUTION AND LOCATION        | DEGREE | YEAR(S)        | FIELD OF STUDY           |
| State University of New         | B.A.   | 1968           | Physiological            |
| York, Plattsburgh               |        |                |                          |
| University of Houston, Houston, | M.A.   | 1970           | Psychology               |
| University of Houston, Houston, | PhD    | 1972           | Physiological Psychology |

1989 – present Professor of Physiology and Biophysics, University of Alabama at Birmingham

1995 – present Professor of Psychology, University of Alabama at Birmingham

1996 – present Professor of Neurobiology, University of Alabama at Birmingham

2002 - present Vice Chair, Department of Neurobiology

2006 - present Investigator, Evelyn F. McKnight Brain Research Institute

Guest reviewer, Behavioral Neuroscience, Brain Research, British Journal of Pharmacology, Cellular and Molecular Neurobiology, Epilepsy Research, Experimental Biology and Medicine, Experimental Neurology, Journal of Neurophysiology, Journal of Neuroscience, Journal of Physiology, Molecular Pharmacology, Neuroscience, Neuroscience Letters, Pflugers Archive.

# **Publications (2018)**

- 1. Bohannon AS, Hablitz JJ. Developmental Changes in HCN Channel Modulation of Neocortical Layer 1 Interneurons. Front Cell Neurosci. 2018, 12:20. PMID: 29440994; PMC: 5797556
- 2. Bohannon AS, Hablitz JJ. Optogenetic dissection of roles of specific cortical interneuron subtypes in GABAergic network synchronization. J Physiol. 2018, 596:901-919. PMID: 29274075; PMC5830415

| NAME                         |        | POSITION TITLE      |                    |
|------------------------------|--------|---------------------|--------------------|
| Jeremy H. Herskowitz         |        | Assistant Professor |                    |
| EDUCATION/TRAINING           |        |                     |                    |
| INSTITUTION AND LOCATION     | DEGREE | YEAR(S)             | FIELD OF STUDY     |
| University of North Carolina | B.S.   | 2001                | Chemistry          |
| Chapel Hill, NC              |        |                     |                    |
| Emory University             | Ph.D.  | 2007                | Microbiology and   |
| Atlanta, GA                  |        |                     | Molecular Genetics |

Assistant Professor, Departments of Neurology and Neurobiology,

University of Alabama at Birmingham

2014 - Investigator, McKnight Brain Institute

# **Publications 2018**

- 1. Froula JM, Henderson BW, Gonzalez JC, Vaden JH, Mclean JW, Wu Y, Banumurthy G, Overstreet-Wadiche L, Herskowitz JH, Volpicelli-Daley LA. α-Synuclein fibril-induced paradoxical structural and functional defects in hippocampal neurons. Acta Neuropathologica Communications. 6(1):35, 2018. PMID: 29716652. PMCID: PMC5928584.
- 2. Greathouse KM, Boros BD, Deslauriers JF, Henderson BW, Curtis KA, Gentry EG, Herskowitz JH. Distinct and Complementary Functions of Rho kinase isoforms ROCK1 and ROCK2 in Prefrontal Cortex Structural Plasticity. Brain Structure and Function. 2018. PMID: 0196430. DOI: 10.1007/s00429-018-1748-4.
- 3. Boros BD, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spine remodeling accompanies Alzheimer's disease pathology and genetic susceptibility in cognitively normal aging. Neurobiology of Aging. In press.

# **Presentations at Scientific Meetings**

- 1.Boros BD, Curtis KA, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spines provide cognitive resilience against Alzheimer's disease. Alzheimer's Association International Conference. Chicago, IL, 2018.
- 2. Henderson BW, Bach SV, Day JJ, Herskowitz JH. RhoA-associated kinases ROCK1 and ROCK2 mediate amyloid- $\beta$  induced synaptic degeneration in Alzheimer's disease. Society for Neuroscience. San Diego, CA, 2018.
- 3. Walker CK, Boros BD, Greathouse KM, Curtis KA, Ramdas, R, Herskowitz JH. Dendritic spine pathology links tauopathy mouse models to Alzheimer's disease. Society for Neuroscience. San Diego, CA, 2018.
- 4. Boros BD, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spine structural remodeling accompanies Alzheimer's disease pathology in cognitively normal human aging. Society for Neuroscience. San Diego, CA, 2018.
- 5. Curtis KA, Boros BD, Greathouse KM, Gearing M, Herskowitz JH. Dendritic spines provide cognitive resilience against Alzheimer's disease. Society for Neuroscience. San Diego, CA, 2018.
- 6. Vo HT, Phillips ML, Herskowitz JH, King GD. Klotho regulates the activity of hippocampal neurons. Society for Neuroscience. San Diego, CA, 2018.

| NAME                            |          | POSITION TITLE      |                |
|---------------------------------|----------|---------------------|----------------|
| Gwendalyn D. King               |          | Assistant Professor |                |
| EDUCATION/TRAINING              |          |                     |                |
| INSTITUTION/LOCATION            | DEGREE   | YEAR(S)             | FIELD OF STUDY |
| Purdue University               | B.S.     | 1999                |                |
| University of Michigan          | M.S.     | 2002                |                |
| University of Michigan          | PhD      | 2004                |                |
| Cedars Sinai Medical Center     | Post-doc | 2008                |                |
| Boston University School of Med | Post-doc | 2011                |                |

2008 – present, Assistant Professor, Department of Neurobiology, UAB

2008 – present, Investigator, Evelyn F. McKnight Brain Institute

# Honors, Awards, and Advisory Committees

2017 UAB Graduate Program in Biomedical Sciences Outstanding Service Award - Faculty

# **Publications 2018**

Krick S, Grabner A, Baumlin N, Yanucil C, Helton S, Brosche A, Sailland J, Geraughty P, Viera L, Russell DW, Wells JM, Xu X, Gaggar A, Barnes J, King GD, Campos M, Faul C, Salathe M. Fibroblast Growth Factor 23 and klotho contribute to airway inflammation. Eur Respir J, (2018), PMCID in process.

#### **Reviews**

Vo H, Laszczyk AM, King GD. Klotho, the key to healthy brain aging? Brain Plasticity (2018), PMCID in progress

# Manuscripts submitted but not accepted

- 1. Shah K, King GD, Jiang H. A chromatin modulator sustains self-renewal and enables differentiation of postnatal neural stem cells. Stem Cell Reports, 2018, under review
- 2. Barnes J, Duncan D, Helton E, Hutchenson S, Kurundkar D, Logsdon N, Locy M, Garth J, Farver C, King GD, Faul C, Kulkarni T, De Andrade J, Thannickal V, Krick S. Role of Fibroblast Growth Factor 23 and klotho cross talk in idiopathic pulmonary fibrosis. American Journal of Physiology Lung Cellular and Molecular Physiology 2018, under review.
- 3. Jones LD, Laszczyk AM, Pollock T, Garcia ML, Fox SF, Quarles DE, King GD. FGF23-deficiency causes cognitive impairment. Submission 2018.

# **Manuscripts in preparation**

Vo H, Garcia ML, Phillips M, King GD. KL regulates homeostatic plasticity of hippocampal neurons. Submission 2018.

| NAME                                   |         | POSITION TITLE      |                     |
|--|---------|---------------------|---------------------|
| David C. Knight                        |         | Associate Professor |                     |
| EDUCATION/TRAINING                     |         |                     |                     |
| INSTITUTION/LOCATION                   | DEGREE  | YEAR(S)             | FIELD OF STUDY      |
| Truman State University, Kirksville MO | B.S.    | 1994                | Psychology          |
| University of Wisconsin, Milwaukee WI  | M.S.    | 1999                | Clinical Psychology |
| West Virginia Uni, Morgantown WV       | Intern  | 2002                | Neuropsychology     |
| University of Wisconsin, Milwaukee WI  | PhD     | 2002                | Clinical Psychology |
| National Institute of Mental Health,   | Postdoc | 2007                | Cognitive Neuro     |
| Bethesda MD                            |         |                     |                     |

2013-Present Associate Professor, Department of Psychology and Neurobiology, UAB

2014-Present Co-Director, Undergraduate Neuroscience Program, UAB

2014-present Investigator, Evelyn F. McKnight Brain Institute

2017 - Present Director, Graduate Behavioral neuroscience Program, UAB

# Other Experience and Professional Memberships

1995-Present Society for Neuroscience

1996-Present Organization for Human Brain Mapping

2004-Present Pavlovian Society

2016-Present Council on Undergraduate Research

2016-Present Faculty for Undergraduate Neuroscience

2007-Present Editorial Board: The Open Neuroimaging Journal

2016 Associate Editor: The Open Neuroimaging Journal

2017-Present Editor-in-Chief: The Open Neuroimaging Journal

### **Publications**

# Manuscripts under review

- 1. Grant, M. M., \*Wood, K. H., White, D., \*Wheelock, M. D., & Knight, D. C. (Submitted). Influence of early life stress on fear conditioning in subregions of the human amygdala.
- 2. Guo, J., Mrug, S., & Knight, D. C. (Submitted). Emotion Socialization and Internalizing Problems in Late Adolescence and Emerging Adulthood: Coping Styles as Mediators.
- 3. Grant, M. M., Black, S., \*Wood, K., White, D., \*Wheelock, M. D., Hollon, S. D. & Knight,
- D. C. (Submitted). Stressor Controllability Rapidly Mitigates Deleterious Effects of MDD on Medial and Lateral PFC.
- 4. \*Harnett, N. G., Goodman, A. M., and Knight, D. C. (Submitted). PTSD-related neuroimaging abnormalities in brain function, structure, and biochemistry.
- 5. \*Orem, T. R., \*Wheelock, M. D., \*Goodman, A. M., \*Harnett, N. G., \*Wood, K. H., \*Gossett, E. W., Granger, D. A., Mrug, S. & Knight, D. C. (Submitted). Amygdala and prefrontal cortex activity varies with individual differences in the emotional response to psychosocial stress.
- 6. Zhang, Y. Taub, E., Purvis, J., Uswatte, G., Mark V. W., Knight, D. C. (Submitted). Neurometabolic changes in adult ischemic stroke evaluated by proton magnetic resonance spectroscopy (1H-MRS).

<sup>\*</sup> Indicates a trainee; t Indicates a co-mentored trainee; IF = Impact Factor

| NAME                                |          | POSITION TITLE |                |
|-------------------------------------|----------|----------------|----------------|
| Adrienne C. Lahti                   |          | Professor      |                |
| EDUCATION/TRAINING                  |          |                |                |
| INSTITUTION/LOCATION                | DEGREE   | YEAR(S)        | FIELD OF STUDY |
| Universite de Liege, Liege, Belgium | MD       | 1978           |                |
| Universite de Liege, Liege, Belgium | Resident | 1983           |                |
| University of Maryland, Baltimore,  | Research | 1989           |                |
| University of Michigan, Ann Arbor,  | Fellow   |                |                |
| MI                                  | Resident | 1992           |                |

2017-Present Investigator, Evelyn F. McKnight Brain Institute
9/2014-Present Patrick H. Linton Professor of Psychiatry
9/2012- Present Professor with Tenure
1/2012-Present Professor, Secondary Appointment, Psychology, UAB
2011-Present Professor, Biomedical Engineering, Secondary Appointment, UAB
10/2010-Present Professor, of Psychiatry and Behavioral Neurobiology, UAB

#### **Publications**

1. A Longitudinal Multimodal Neuroimaging Study to Examine Relationships Between Resting State Glutamate and Task Related BOLD Response in Schizophrenia.

Cadena EJ, White DM, Kraguljac NV, Reid MA, Maximo JO, Nelson EA, Gawronski BA, Lahti AC. Front Psychiatry. 2018 Nov 29;9:632. doi: 10.3389/fpsyt.2018.00632. eCollection 2018.

- 2. Relationship between Cortical Excitation and Inhibition and Task-Induced Activation and Deactivation: A Combined Magnetic Resonance Spectroscopy and Functional Magnetic Resonance Imaging Study at 7T in First-Episode Psychosis. Overbeek G, Gawne TJ, Reid MA, Salibi N, Kraguljac NV, White DM, Lahti AC. Biol Psychiatry Cogn Neurosci Neuroimaging. 2018 Oct 16. pii: S2451-9022(18)30256-8. doi: 10.1016/j.bpsc.2018.10.002. [Epub ahead]
- 3. Neurometabolic abnormalities in the associative striatum in antipsychotic-naïve first episode psychosis patients. Sivaraman S, Kraguljac NV, White DM, Morgan CJ, Gonzales SS, Lahti AC. Psychiatry Res Neuroimaging. 2018 Nov 30;281:101-106. doi: 10.1016/j.pscychresns.2018.06.003.
- 4. Digital Trajectories to Care in First-Episode Psychosis. Birnbaum ML, Rizvi AF, Faber K, Addington J, Correll CU, Gerber C, Lahti AC, Loewy RL, Mathalon DH, Nelson LA, Voineskos AN, Walker EF, Ward E, Kane JM. Psychiatr Serv. 2018 Sep 26:appips201800180. doi: 10.1176/appi.ps.201800180. [Epub ahead of print]
- 5. Cognitive control network dysconnectivity and response to antipsychotic treatment in schizophrenia. Cadena EJ, White DM, Kraguljac NV, Reid MA, Jindal R, Pixley RM, Lahti AC. Schizophr Res. 2018 Aug 8. pii: S0920-9964(18)30491-2. doi: 10.1016/j.schres.2018.07.045. [Epub ahead of print]
- 6. A Review of Recent Advances in Ultrasound, Placed in the context of pain Diagnosis and Treatment. Bobola MS, Chen L, Ezeokeke CK, Kuznetsova K, lahti, AC, Lou W Myroniv AN, Schimek, NW, Selby ML, Mourad PD. Curr Pain Headache Rep. 2018 Jul 10;22(9): 60.

  7. Digital Trajectories to Care in First-Episode Psychosis. Birnbaum ML, Rizvi AF, Faber K, Addington J, Correll CU, Gerber C, Lahti AC, Loewy RL, Mathalon DH, Nelson LA, Voineskos AN, Walker EF, Ward E, Kane JM. Psychiatr Serv. 2018 Sep 26:appips201800180. doi: 10.1176/appi.ps.201800180. [Epub ahead of print]

| NAME                           |          | POSITION TITLE      |                      |
|--------------------------------|----------|---------------------|----------------------|
| Charles Seth Landefeld         |          | Professor and Chair |                      |
| EDUCATION/TRAINING             |          |                     |                      |
| INSTITUTION AND LOCATION       | DEGREE   | YEAR(S)             | FIELD OF STUDY       |
| Harvard University             |          |                     |                      |
| Oxford University              | B.A.     | 1974                | History and Science  |
| Yale University                | B.A.     | 1978                | Philosophy/Theology  |
| UCSF                           | MD       | 1979                | Medicine             |
| UCSF                           | Intern   | 1980                | Medicine             |
| Harvard University             | Resident | 1982                | Medicine             |
| Weatherhead, Case Western Uni  | Fellow   | 1985                | Internal Medicine    |
| Academic Alliance for Internal |          | 1991                | Academic Mgmt        |
| Medicine                       |          | 2007                | Executive Leadership |

University of Alabama at Birmingham

2012-present Professor and Chair, Department of Medicine, University of Alabama at Birmingham

2012-present Board of Directors, University of Alabama Health Services Foundation 2012-present Executive Committee, University of Alabama Health Services Foundation 2012-present Board of Directors, University of Alabama at Birmingham Health System (including Audit and Finance Committees)

2017-present Investigator, Evelyn F. McKnight Brain Institute

# **Biographical Sketch**

Seth Landefeld is Chair, Department of Medicine and the Spencer Chair in Medical Science Leadership.

Dr. Landefeld completed his undergraduate work at Harvard and New College, Oxford, where he was a Rhodes Scholar. He received his M.D. from Yale. He trained in internal medicine at UCSF and in clinical epidemiology at Harvard Medical School. He is a member of the American Society for Clinical Investigation and the Association of American Physicians and was recently a Fellow at the Center for Advanced Study in the Behavioral Sciences at Stanford University. He is Past-President of the Society of General Internal Medicine and served on the Boards of the American Geriatrics Society, the Association of Directors of Geriatric Academic Programs, and San Francisco's Institute on Aging. In 2011, Dr. Landefeld received the Robert J. Glaser Award "For Exceptional Contributions to Education and Research", the highest award of the Society of General Internal Medicine.

Dr. Landefeld's work has aimed to transform and personalize health care to meet the needs of older Americans and their families in this Aging Century, a century that will be dominated by the medical and social issues of the aging global population. His research has improved outcomes of older persons with serious illness. In landmark studies of acutely ill hospitalized elders, Landefeld and his colleagues invented the Acute Care for Elders (ACE) Unit, a novel method for improving patients' functional outcomes. This model has been adapted at medical centers nationwide. In incremental studies of anticoagulant therapy, he developed the first valid, reliable measure of hemorrhagic complications, designed and validated risk assessment indices for anticoagulant-related bleeding, developed interventions to prevent major bleeding, and demonstrated their efficacy in clinical trials.

| NAME                           |          | POSITION TITLE |                |
|--------------------------------|----------|----------------|----------------|
| Robin Lester                   |          | Professor      |                |
| EDUCATION/TRAINING             |          |                |                |
| INSTITUTION/LOCATION           | DEGREE   | YEAR(S)        | FIELD OF STUDY |
| University of Bristol, U.K.    | B.Sc.    | 1984           |                |
| University of Bristol, U.K.    | PhD      | 1988           |                |
| Vollum Institute, Portland, OR | Post-doc | 1991           |                |

1992-1993 Research Assistant Professor / Baylor College of Medicine
1993-1995 Assistant Professor / Neuroscience / Baylor College of Medicine
1995-1996 Associate Scientist / NRC, University of Alabama at Birmingham
1996-2001 Assistant Professor / Neurobiology, University of Alabama at Birmingham
2006-present, Investigator, Evelyn F. McKnight Brain Institute
2001-2011 Associate Professor / Neurobiology, University of Alabama at Birmingham
2011-present Professor / Neurobiology, University of Alabama at Birmingham

# Research

The critical role of CNS nicotinic acetylcholine receptors (nAChRs) in tobacco addiction has focused our attentions on understanding the overall function of these receptors in the brain both under physiological and diseased conditions. nAChRs are ligand-gated ion channels composed of five individual protein subunits that cause neuronal excitation when bound and activated by synaptically released neurotransmitter, acetylcholine, or exogenous drugs like nicotine. Molecular biological studies have characterized at least twelve receptor subunits that can be assembled together in numerous combinations giving rise to a wide variety of nAChRs with distinct functional roles. It is because of this diversity that nAChRs have been implicated in a range of CNS behaviors from pain sensation to learning and memory, and multiple pathological states such as epilepsy and schizophrenia. High-resolution electrophysiological (patch-clamp) techniques combined with intracellular calcium measurements provide the most powerful way of examining these receptors. The physiological and pharmacological properties of single and multiple nAChR channels in isolated membrane patches and whole cells can be fully resolved using these methods. The roles of nAChRs at both pre and postsynaptic zones of central synapses can be studied by recording from visually identified neurons in brain slice preparations. These approaches are complemented by molecular biological approaches that allow the expression and characterization of known cloned nAChRs and the determination of nAChR RNAs from CNS neurons (by single cell RT-PCR). Thus, a full array of methods are available to address the following types of questions. How do nAChRs contribute to synaptic transmission and plasticity? How are nAChRs regulated by intracellular calcium, phosphorylation and the cytoskeleton? How does chronic nicotine affect the long-term functioning of the various nAChRs and untilmately lead to drug craving and relapse?

| NAME                       |       | POSITION 7    | POSITION TITLE      |  |
|----------------------------|-------|---------------|---------------------|--|
| Farah Lubin                |       | Associate Pro | Associate Professor |  |
| EDUCATION/TRAINING         |       |               |                     |  |
| INSTITUTION/LOCATION       | DEGRE | YEAR(S        | FIELD OF STUDY      |  |
| AL State Univ, Montgomery, | EB.S. | ) 1996        | Cell/Moleular       |  |
| AL SUNY, Binghamton, NY    | PhD   | 2001          | Bio Biology         |  |

### **ACADEMIC APPOINTMENTS:**

2015-Present Associate Professor with Tenure, Dept. of Neurobiology, Dept. of Cell, Developmental and Integrative Biology, and Genetics Dept., University of Alabama at Birmingham, Birmingham, AL

2015-Present Director, Comprehensive Neuroscience Center EEG core

2014-Present Director, NINDS Neuroscience Roadmap Scholar Program; Co-Director: Lori L.

McMahon, PhDat University of Alabama at Birmingham, Birmingham, AL

2009-Present Investigator, Evelyn F. McKnight Brain Institute , University of Alabama at Birmingham, Birmingham, AL

# **Publications 2018**

- 1. J.L. Cohen, A.E. Ata1, N.L. Jackson, E.J. Rahn, W.M. Webb, F.D. Lubin, and S.M. Clinton. Amygdalar expression of the microRNA miR-101a and its target Ezh2 contribute to rodent anxiety-like behavior. 2017. European Journal of Neuroscience Oct; 46(7):2241-2252.
- 2. R. M. Hauser, D.C. Henshall, and F.D. Lubin. The epigenetics of epilepsy and its progression. 2018. Neuroscientist Apr;24(2):186-200.
- 3. K. Corder, M. Cortes, A. Bartley, S. Lear, F.D. Lubin, and L. Dobrunz. Anxiety-like behavior in adolescent mice is enhanced by selective knockdown of GAD67 in Neuropeptide Y interneurons. 2018. PLOS ONE Jul 19;13(7):e0200809.
- 4. T. J. Jarome, G.A. Perez, R.M. Hauser, K.M. Hatch and F.D. Lubin. EZH2 Methyltransferase Activity Controls Pten Expression and mTOR Signaling During Fear Memory Reconsolidation. 2018. J. Neuroscience Aug 29;38(35):7635-7648.
- 5. W.M. Webb, M.E Pepin, B.W. Henderson, V. Huang, A.A. Butler, J.H. Herskowitz, A.R. Wende, A.E. Cash, and F.D. Lubin. Methylation of NF-κB by the SETD6 Methyltransferase Plays an Essential Role in Hippocampus-Dependent Memory Formation. 2018. Biological Psychiatry Under Review.
- 6. V. Huang, A.A. Butler, and F.D. Lubin. Telencephalon transcriptome analysis of chronically stressed adult zebrafish. 2018. Nature Scientific Reports Under Review.
- 7. R.G. Sanchez, R.R. Parrish, M. Rich, W.M. Webb, R.M. Lockhart, K. Nakao, L. Ianov, S.C. Buckingham, D.R. Broadwater, A. Jenkins, N.C de Lanerolle, M. Cunningham, T. Eid, K. Riley, and F.D. Lubin. Human and rodent Temporal Lobe Epilepsy is characterized by changes in O-GlcNAc homeostasis. 2018. Neurobiology of Disease Under Review.

### **Manuscripts in preparation**

Timothy J. Jarome, Anderson A. Butler, Gabriella Perez, Megan C. Rich, and Farah D. Lubin. Histone Ubiquitination controls heterochromatin and euchromatin dynamics during memory consolidation. In preparation for submission.

| NAME                         |              | POSITION TITLE      |                     |
|------------------------------|--------------|---------------------|---------------------|
| Roy C. Martin                |              | Associate Professor |                     |
| EDUCATION/TRAINING           |              |                     |                     |
| INSTITUTION/LOCATION         | DEGREE       | YEAR(S)             | FIELD OF STUDY      |
| Augusta College, Augusta, GA | BS           | 1984                | Psychology          |
| Louisiana State University   | PhD          | 1990-1995           | Clinical Psychology |
| West Virginia University     | Postdoctoral | 1995                | Neuropsychology     |
|                              | Fellowship   |                     |                     |

Associate Professor, Department of Neurology Investigator, Evelyn F. McKnight Brain Institute

# **Book Chapters**

- 1. Hamilton J, Martin RC, Stone J, Sherwood I. The costs and burden of psychogenic nonepileptic seizures in context: PNES and other conversion disorders. In S.C. Schachter, W.C. LaFrance (Eds.), Gates and Rowan's Nonepileptic Seizures (4th Edition), 2018; pg. 31-43.
- 2. Wiseman H, Mercer G, Martin R, Reuber M. Health related quality of life: Utility and limitations in patients with psychogenic nonepileptic seizures. In S.C. Schachter, W.C. LaFrance (Eds.), Gates and Rowan's Nonepileptic Seizures (4th Edition), 2018; pg. 165-177.

# **Publications 2018**

- 1. Faught E, Szaflarski JP, Richman J, Funkhouser E, Martin RC, Piper K, Dai C, Juarez L, Pisu M. Risk of pharmacokinetic interactions between antiepileptic and other drugs in older persons and factors associated with risk. Epilepsia 2018; 59 (3): 715-723.
- 2. Gerstenecker A, Triebel K, Eakin A, Martin R, Marson D. Exploring the factor structure of financial capacity in cognitively normal and impaired older adults. Clinical Gerontologist 2018, 41 (1): 33-41.
- 3. Martin R, Gerstenecker A, Triebel K, Falola M, McPherson T, Cutter G, Marson D. Declining financial capacity in mild cognitive impairment: A six-year longitudinal study. Archives of Clinical Neuropsychology In Press.

| NAME                               |         | POSITION TITLE        |                   |
|------------------------------------|---------|-----------------------|-------------------|
| Lori McMahon                       |         | Professor             |                   |
|                                    |         | Dean, Graduate School |                   |
| EDUCATION/TRAINING                 |         |                       |                   |
| INSTITUTION/LOCATION               | DEGREE  | YEAR(S)               | FIELD OF STUDY    |
| -Southern Illinois University,     | B.A.    | 1987                  | Biology/Chemistry |
| Edwardsville, IL                   |         |                       |                   |
| -St. Louis Health Science Ctr, St. | PhD     | 1993                  | Neuropharmacology |
| Louis, MO                          |         |                       |                   |
| -Duke University, Durham, NC       | Postdoc | 1998                  | Neurophysiology   |

1998 Primary Appointment – Department of Physiology and Biophysics

Cell, Developmental & Integrative Biology

Secondary Appointments: Neurobiology

Other Appointments:

Evelyn F. McKnight Brain Institute, Neurology, Civitan International Research Center, comprehensive Ctr for healthy Aging, General Clinical Research Center, Electrical & Computer Engineering, Medicine,

# **Professional Experience**

| 2012-pres | Scientist, Center for Exercise is Medicine                  |
|-----------|---|
| 2012-pres | Professor, UAB Dept of Cell, Developmental, and Integrative |
| Biology   |   |
| 2012-pres | Jarman F Lowder Endowed Professor of Neuroscience           |
| 2012-pres | Director, Comprehensive Neuroscience Center                 |
| 2012-pres | Member, UAB SOM Dean's Executive Committee                  |
| 2012-2015 | Associate Director, Comprehensive Center for Healthy Aging  |
| 2013-2016 | Associate Director, UAB Evelyn F. McKnight Brain Institute  |
| 2015-pres | Dean, UAB Graduate School                                   |

### **Awards and Honors**

2018 Keynote Speaker, Southeastern Association of Advocates for Women in Science and Med 2018 Women to Watch, Birmingham Business Journal

### **Council and Committees**

2017-pres Member, Program committee, Society of Biological Psychiatry 2017-2020 Member, Government and Public Affairs Committee, Society for Neuroscience McMahon, Lori L.

2018 NIH NINDS Special Emphasis Panel: ZNS1 SRB-E (11), Jan 2018, chair

2018 Chair, Program Committee Society for Biological Psychiatry Annual Meeting 2018

2018 NIH NIMH 2018/10 ZMH1-ERB-M-07, June 2018

2018 NIH Study Section CDIN, October 2018

# **Complete List of Published Work:**

https://www.ncbi.nlm.nih.gov/pubmed/?term=mcmahon+LL

| NAME                         | POSITION TITLE                    |
|------------------------------|-----------------------------------|
| James H. Meador-Woodruff, MD | Heman E. Drummond Professor and   |
|                              | Chairman Department of Psychiatry |

# EDUCATION/TRAINING

# **EDUCATION**

09/73-06/76 Manchester High School, Richmond, Virginia

09/76-05/80 University of Richmond, Richmond, Virginia; B.S. in Chemistry, minor subject Mathematics (*summa cum laude*)

08/80-05/84 Medical College of Virginia Commonwealth University, Richmond, Virginia; M.D.

# POSTDOCTORAL TRAINING

06/84-06/85 Intern, Department of Psychiatry, University of Michigan

07/85-06/89 Resident, Department of Psychiatry, University of Michigan (*Graduation with Distinction*) 07/85-12/89 Postdoctoral Fellow, Mental Health Research Institute,

| INSTITUTION AND LOCATION | DEGR | YEAR(   | FIELD OF STUDY |
|--------------------------|------|---------|----------------|
| Department of            | EE   | S) 1984 | Psychiatry     |
| Psychiatry and           | M.D. | ,       |                |
| Behavioral               |      |         |                |
| Neurobiology             |      |         |                |
| University of Alabama at |      |         |                |
| Birmingham SC 560C       |      |         |                |

# **Positions**

04/06-present Heman E. Drummond Professor, Department of Psychiatry and Behavioral Neurobiology, University of Alabama at Birmingham

04/06-present Professor of Neurobiology, University of Alabama at Birmingham

04/06-present Senior Scientist, Civitan International Research Center, University of Alabama at Birmingham

04/06-present Investigator, Evelyn F. McKnight Brain Institute

8/06-present Senior Scientist, Center for Glial Biology in Medicine, University of Alabama at Birmingham

10/06-present Senior Scientist, Comprehensive Neuroscience Center, University of Alabama at Birmingham

07/07-present Senior Scientist, Center for Neurodegeneration and Experimental Therapeutics, University of Alabama at Birmingham

01/09-present Senior Scientist, Evelyn F. McKnight Brain Institute, University of Alabama at Birmingham

04/09-present Senior Scientist, Alzheimer's Disease Research Center (ADRC), University of Alabama at Birmingham

# **Publications 2018**

- 1.Hammond JC, Shan D, Meador-Woodruff JH, and McCullumsmith RE: Evidence of Glutamatergic Dysfunction in the Pathophysiology of Schizophrenia. In Popoli M, Diamond D, and Sanacora G (editors), Stress at the Synaptic Level: Synaptic Stress and Pathogenesis of Neuropsychiatric Disorders. New York: Springer. In press.
- 2. Mueller TM, Kim P, Meador-Woodruff JH: Fractionation of Subcellular Compartments from Human Brain Tissue. In Burger C and Velardo MJ (editors): Glutamate Receptors. A volume in the series Methods in Molecular Biology. New York: Humana Press/Springer Publishing Group. In press.

| NAME   |               | POSITION TITI       | LE                 |
|--|---------------|---------------------|--------------------|
| Kazutoshi (Kazu) Nakazawa                          |               | Associate Professor |                    |
| EDUCATION/TRAINING                                 |               |                     |                    |
| INSTITUTION/LOCATION                               | DEGREE        | YEAR(S)             | FIELD OF STUDY     |
| -Keio University School                            | MD            | 1981 – 1987         | Medicine           |
| of Medicine, Tokyo,                                |               |                     |                    |
| Japan  | PhD           | 1987 – 1991         | Biological Science |
| -Graduate School of Medicine,                      |               |                     |                    |
| Keio University, Tokyo, Japan ological Science     | Post-doctoral | 1991- 1995          |                    |
| rontier Science Program,<br>Riken nstitute, Japan- | Post-doctoral | 1995 - 2003         |                    |
| Picower Center for Learning                        |               |                     |                    |

2018 – present Fellow in Neuroscience, Druge Discovery Division, Southern Research Institute 2013 – present Investigator, Evelyn F. McKnight Brain Institute

# **Publications 2018**

Nakao K, Jeevakumar V, Jiang SZ, Fujita Y, Diaz NB, Pretell Annan CA, Eskow Jaunarajs KL, Hashimoto K, Belforte JE, Nakazawa K (2018). Schizophrenia-Like Dopamine Release Abnormalities in a Mouse Model of NMDA Receptor Hypofunction. Schizophr Bull. doi: 10.1093/schbul/sby003. [PMID 29394409]

| NAME   |              | POSITION TITI   | LE   |
|--|--------------|-----------------|--|
| Vladimir Parpura, MD, PhD  |              | Professor       |  |
| EDUCATION/TRAINING   |              |                 |  |
| INSTITUTION AND LOCATION School of Medicine in Split, University of Zagreb, Croatia  Iowa State University, Ames, IA | DEGREE<br>MD | YEAR(S)<br>1989 | FIELD OF STUDY<br>Biological role of<br>gangliosides |
|  | PhD          | 1993            | Glia-neuron signaling                                |

Professor, Departments of Neurobiology, Biomedical Engineerting, Cell, Developmental and Integrative Biology, Visioin Sciences, UAB

Investigator, Evelyn F. McKnight Brain Institute

### Research

My current research includes: i) studying the modulation of calcium-dependent glutamate release from astrocytes in health and disease; ii) assessing the role of the enteric glia in gut functions; iii) visualization of vesicular/receptor trafficking; iv) examination of the nature and energetics of interactions between exocytotic proteins using single molecule detection approaches; v) development of scaffolds and dispersible materials, most notably modified carbon nanotubes, which can be used in repair after brain injury, vi) development of biosensors (e.g. botulinum toxin and nanofabricated carbon-based detectors, and viii) bio-mimetic micro-robotics. It should be noted that the work done in these overlapping categories is highly interrelated. Parpura has been interfacing neuroscience with nanoscience/nanotechnology, synthetic biology and biomedical engineering.

# **Teaching**

3/7/18 "Astroglial cells release glutamate by regulated exocytosis in health and disease". International Clinical Research Centre, St. Anne's University Hospital Brno, Czech Republic 3/25/18 "The role of enteric glia in regulation of gut motility: Implications for oculo-dento digital dysplasia", In Colloquium 1: Glia in model Organisms (Chair: Margaret Ho, Shanghai Tech University; co-Chair: Vladimir Parpura, UAB) 49th Annual Meeting of the American Society for Neurochemistry, Riverside, CA.

9/8/18 "The role of enteric glia in regulation of gut motility: Implications for oculo-dentodigital dysplasia", in Japanese Society for Neurochemistry (JSP)/International Society for Neurochemistry (ISN) Joint Symposium: Neurochemistry of Neuron-Glia interaction (Chairs: Schuichi Koizumi, University of Yamanashi, Japan and Hiroko Baba, Tokyo University of Pharmacy and Life Sciences, Japan) The Joint Congress of the 40th Annual Meeting of Japanese Society of Biological Psychiatry and the 61th Annual Meeting of the Japanese Society for Neurochemistry, Kobe, Japan

10/8/18 "Rheology in Astrocytes: Mechanically-induced vesicular glutamate release from astrocytes at the interface of signaling and metabolism." International Biophysical School "Academician Radoslav K. Andjus" (NERKA): "Mechanobiology", Kotor, Montenegro (delivered by Skype)

# AWARDS/HONORS

2017-2018 McNulty Civitan Scientist Award, The UAB Civitan International Research Center and The Chesapeake District of Civitan International

| NAME                       |         | POSITION TITI       | LE             |
|----------------------------|---------|---------------------|----------------|
| Craig Powell, MD, PhD      |         | Professor and Chair |                |
| EDUCATION/TRAINING         |         |                     |                |
| INSTITUTION AND LOCATION   | DEGREE  | YEAR(S)             | FIELD OF STUDY |
| Louisiana State University | B.S.    | 1984-1988           | Zoology        |
| Baylor College of Medicine | MD, PhD | 1988-1997           | Neuroscience   |

University of Alabama at Birmingham School of Medicine, Birmingham, AL

Dept. of Neurobiology

Director, Civitan International Research Center 9/1/18-pres

University of Alabama at Birmingham, Birmingham, AL

Dept. of Neurobiology and Depts. of Neurology, Pediatrics, Psychology, Psychiatry, &Cell

Developmental & Integrative Biology

Professor with Tenure 9/1/18-pres

University of Alabama at Birmingham School of Medicine, Birmingham, AL

Dept. of Neurobiology and Depts. of Neurology, Pediatrics, Psychology, Psychiatry, &Cell

Developmental & Integrative Biology

Investigator, The Evelyn F. McKnight Brain Institute 9/1/18-pres

University of Alabama at Birmingham School of Medicine, Birmingham, AL

### **Publications**

Research Papers

Srivastava, S., Scherrer, B., Prohl, A., Filip-Dhima, R., Kapur, K., Kolevzon, A., Buxbaum, J., Berry-Kravis, E., Soorya, L., Thurm, A., Powell, C., Bernstein, J.A., Warfield, S.K., & Sahin, M., Developmental Synaptopathies Consortium (2018) Volumetric Analysis of the Basal Ganglia and Cerebellar Structures in Patients with Phelan-McDermid Syndrome. Pediatric Neurology, online September 21, (in press).

# **Invited Lectures**

American Neurological Association (ANA) Behavioral Neurology Special Interest Group, Atlanta, GA, 2018

UNC, Neuroscience Center and Carolina Institute for Developmental Disabilities Seminar, Chapel Hill, NC, 2018

UAB, Neurobiology Seminar, Birmingham, AL, 2018

| NAME                             |         | POSITION TITLE |                      |
|----------------------------------|---------|----------------|----------------------|
| Lucas Damian Pozzo-Miller        |         | Professor      |                      |
| EDUCATION/TRAINING               |         |                |                      |
| INSTITUTION/LOCATION             | DEGREE  | YEAR(S)        | FIELD OF STUDY       |
| Universidad nacional de Cordoba, | B.S.    | 1985           | Physical/Natural Sci |
| Argentina                        |         |                |                      |
| Universidad Nacional de Cordoba  | M.S.    | 1986           | Physical/Natural Sci |
| Argentina                        |         |                |                      |
| Universidad Nacional de Cordoba  | PhD     | 1989           |                      |
| Argentina                        |         |                |                      |
| Case Western Reserve Uni         | Postdoc | 1992           | Hippocampal synapse  |
| Cleveland, OH                    |         |                |                      |
| Roche Institute of Molecular Bio | Postdoc | 1995           | Hippocampal synapse  |
| Nutley, NJ                       |         |                |                      |
| Master Teacher Program           |         | 2006           |                      |
| UAB                              |         |                |                      |
| Healthcare Leadership Academy    |         | 2013           |                      |

1995-1998 <u>Senior Staff Fellow</u> (Research-track Assistant Professor). Laboratory of

Neurobiology (Tom Reese, Lab Chief, member US National Academy of Sciences), National Institute of Neurological Disorders and Stroke (NINDS),

National Institutes of Health (NIH), Bethesda, MD.

1998-2006 Assistant Professor (tenure-track), Department of Neurobiology, School of

Medicine, UAB. Secondary appointments in the Departments of Cell Biology and Physiology & Biophysics (currently Cell, Developmental & Integrative Biology),

School of Medicine, UAB.

2006-present Scientist, Civitan International Research Center; Investigator, Evelyn F.

McKnight Brain Institute; <u>Scientist</u>, Center for Glial Biology in Medicine; Scientist, Vision

Science Research Center; *Member*, Comprehensive Neuroscience Center, UAB.

2006-2009 <u>Associate Professor</u> (with tenure), Department of Neurobiology, School of Medicine, UAB.

2006-present *Investigator*, Evelyn F. McKnight Brain Institute

2009-present *Professor*, Department of Neurobiology, School of Medicine, UAB.

2013-present <u>Professor</u>, Department of Neurobiology, College of Arts & Sciences, UAB. 2014-present Secondary appointment in the Department of Neurology, School of Medicine, UAB.

2014-present Associate Director, Comprehensive Neuroscience Center, UAB.

2016-present *Interim Scientific Co-Director*, Civitan International Research Center, UAB.

2017-present Co-Director, Neuroscience Theme, Graduate Biomedical Sciences (GBS), UAB.

# **Publications (2018)**

<u>Loss of Mecp2 Causes Atypical Synaptic and Molecular Plasticity of Parvalbumin-Expressing Interneurons Reflecting Rett Syndrome-Like Sensorimotor Defects.</u>

Morello N, Schina R, Pilotto F, Phillips M, Melani R, Plicato O, Pizzorusso T, **Pozzo-Miller** L, Giustetto M.; eNeuro. 2018 Sep 24;5(5). pii: ENEURO.0086-18.2018. doi: 10.1523/ENEURO.0086-18.2018. eCollection 2018 Sep-Oct.

| NAME                           |                   | POSITION TI | TLE            |
|--------------------------------|-------------------|-------------|----------------|
| Sumanth D. Prabhu              |                   | Professor   |                |
| EDUCATION/TRAINING             |                   |             |                |
| INSTITUTION/LOCATION           | DEGREE            | YEAR(S)     | FIELD OF STUDY |
| Pennsylvania State Uni, PA     | B.S.              | 1983        | Science        |
| Jefferson Medical Collge, PA   | MD                | 1985        | Medicine       |
| Uni of Pittsburgh, PA          | Intern & Resident | 1988        |                |
| University of Pittsburgh, PA   | Research Fellow   | 1989        |                |
| Univ of TX Health Science Ctr, |                   | 1992        |                |
| Antonio, TX                    |                   |             |                |
| ,                              |                   |             |                |

Professor, Department of medicine – Cardiovascular Disease; Cell, developmental and Integrative Biology; Biomatrix Eng Regen Med Ctr; Comprehensive Diabetes Center, Ctr for Exercise

Investigator, Evelyn F. McKnight Brain Institute

# Honors, Awards, and Advisory Committees

Member, NIH MPOR Study Section 7/2015-6/2019

Dr. Prabhu received a BS degree in Science from Penn State University and his MD degree from Jefferson Medical College in Philadelphia. He did internal medicine residency at the University of Pittsburgh and a cardiology fellowship at the University of Texas Health Science Center at San Antonio. He was a cardiology faculty there as well as at the University of Louisville, before his arrival to UAB as Director of the Division of Cardiovascular Disease. He is also Director of the UAB Comprehensive Cardiovascular Center. Dr. Prabhu serves as a Consulting Editor of Circulation Research and is a member of the American Society for Clinical Investigation.

Dr. Prabhu is actively studying fundamental mechanisms of pathological remodeling in the failing heart, with a particular focus on inflammatory pathways (tumor necrosis factor, nuclear factor-kappaB) and immune cell types (e.g., macrophages). He is also interested in the interplay between inflammatory signaling and cardiac stem cell-mediated repair in the failing heart. Our clinical studies examine the effects of mechanical support (ventricular assist devices) on forward and reverse remodeling in human heart failure.

| NAME                                |        | POSITION T    | TITLE                            |
|-------------------------------------|--------|---------------|----------------------------------|
| Erik Roberson                       |        | Associate Pro | ofessor                          |
|                                     |        | Virginia B. S | pencer Professor of Neuroscience |
| EDUCATION/TRAINING                  |        |               |                                  |
| INSTITUTION AND LOCATION            | DEGREE | YEAR(S)       | FIELD OF STUDY                   |
| Princeton University, Princeton, NJ | A.B.   | 1990          | Molecular Biology                |
| Baylor College of Medicine          | PhD    | 1997          | Neuroscience                     |
| Baylor College of Medicine          | MD     | 1999          |                                  |

2005–08 Assistant Adjunct Professor of Neurology, UCSF

2006–08 Staff Scientist, Gladstone Institute of Neurological

Disease 2008–12 Assistant Professor of Neurology, UAB

2012– Associate Professor of Neurology with tenure, UAB

2013–15 Associate Director, UAB Alzheimer's Disease Center

2013– Co-Director, UAB Center for Neurodegeneration and Experimental Therapeutics

2015– Co-Director, Evelyn F. McKnight Brain Institute at UAB

2015– Director, UAB Alzheimer's Disease Center

# **Concurrent Appointments**

2008–12 Assistant Professor of Neurobiology, UAB (joint

appointment) 2012– Associate Professor of Neurobiology,

UAB (joint appointment)

2008– Investigator, UAB Center for Neurodegeneration and Experimental

Therapeutics 2008– Investigator, Evelyn F. McKnight Brain Institute, UAB

2008– Neurologist, UAB Division of Memory Disorders and Behavioral

Neurology 2008–Faculty, UAB Graduate School

2008– Faculty, UAB Medical Scientist Training Program

2008– Scientist, UAB Comprehensive Center for

Healthy Aging 2010 Scientist, UAB Center for Glial

Biology in Medicine

# Honors, Awards, and Advisory Committees

- Valedictorian, Washington High School, Cedar Rapids, IA, 1986
- Phi Beta Kappa, 1990
- NIH Medical Scientist Training Program fellowship, 1990–1999
- Baylor College of Medicine Presidential Scholar, 1990–1999
- Baylor College of Medicine Dean's Award for Excellence, 1992–1997
- Life & Health Insurance Medical Research Fund Young Scientist Scholar, 1992–1997
- Alpha Omega Alpha, 1999
- UCSF Chief Resident in Neurology, 2002–2003
- S.D. Bechtel, Jr. Young Investigator Award, 2004
- Kathryn Grupe Award for Excellence in Alzheimer's Disease Research, 2005
- Virginia B. Spencer Endowed Scholar in Neuroscience at UAB, 2008–2013
- Fellow, American Neurological Association, 2012
- McNulty Civitan Scientist Award, 2012
- Virginia B. Spencer Endowed Professor of Neuroscience at UAB, 2013–
- Derek Denny-Brown Neurological Scholar Award, American Neurological Association, 2015

### **Publications**

- 1. Arrant, A.E., V.C. Onyilo, D.E. Unger, and E.D. Roberson. (2018). Progranulin gene therapy improves lysosomal function and microglial pathology associated with frontotemporal dementia and neuronal ceroid lipofuscinosis. J. Neurosci. 38:2341–2358.
- Commentary in Nat. Rev. Neurology, doi:10.1038/nrneurol.2018.35.
- 2. Burke, S.N., L.S. Gaynor, C.A. Barnes, R.M. Bauer, J.L. Bizon, E.D. Roberson, and L. Ryan. (2018). Shared functions of perirhinal and parahippocampal cortices: Implications for cognitive aging. Trends Neurosci., 41:349–359.
- 3. Arrant, A.E., A.M. Nicholson, X. Zhou, R. Rademakers, and E.D. Roberson. (2018). Partial Tmem106b reduction does not correct abnormalities due to progranulin haploinsufficiency. Mol. Neurodegen. 13:32.
- 4. Arrant, A.E., A.J. Filiano, A.R. Patel, M.Q. Hoffmann, N.R. Boyle, S.N. Kashyap, V.C. Onyilo, A.H. Young, and E.D. Roberson. (2018). Reduction of microglial progranulin does not exacerbate pathology or behavioral deficits in neuronal progranulin-insufficient mice. Neurobiol. Dis. 10.1016/j.nbd.2018.11.011.
- 5. Gerstenecker, A., L. Grimsley, B. Otruba, L. Cowden, D.C. Marson, K. Triebel Gerstenecker, R.C. Martin, and E.D. Roberson. (2018). Medical decision-making capacity in progressive supranuclear palsy. Parkinsonism Rel. Disord. In press.

# Publications as part of consortia

63–164. As of 12/3/2018, 102 additional publications as part of the Alzheimer's Disease Genetics Consortium (ADGC), Alzheimer's Disease Neuroimaging Initiative (ADNI), and AL-108-231 Investigators group (PSP clinical research), available on PubMed at this link.

# **Submitted Manuscripts**

- 1. Sohn, P.D., T.E. Tracy, C. Huang, R. Yan, C.M. Camargo, S.-A. Mok, R. Freilich, J. Baik, E.D. Roberson, C.M. Karch, J. Gestwicki, K. Xu, K.S. Kosik, and L. Gan. Tau-mediated EB3 abnormality impairs axon initial segment plasticity in human iPSC-derived neurons with FTD-tau mutation. Submitted.
- 2. Guzman-Karlsson, et al. Genome-wide transcription and DNA methylation profiling in an APP mouse model of Alzheimer's disease. Submitted.
- 3. Kornak, J., et al. Nonlinear Z-score estimation for establishing cognitive norms from the National Alzheimer's Coordinating Center (NACC) dataset. Submitted.
- 4. Staffaroni, A., et al. Individualized Atrophy-Based Prediction of Dementia Onset in Familial Frontotemporal Lobar Degeneration. Submitted.
- 5. Staffaroni, A., et al. Assessment of executive function declines in presymptomatic and mildly symptomatic familial frontotemporal dementia: NIH-examiner as a potential clinical trial endpoint. Submitted.

### **Book Chapters**

- 2. E.D. Roberson. (2018). Treatment of central nervous system degenerative disorders. In Goodman & Gilman's The Pharmacological Basis of Therapeutics, Thirteenth Edition. L. Brunton, ed. (New York: McGraw-Hill Companies, Inc.).
- 2. E.D. Roberson. Alzheimer's Disease. In Mechanisms of Memory, Third Edition. J.D. Sweatt, E. Klann, eds. (London: Academic Press). In preparation.

# **Books**

Amthor, F., **E.D. Roberson**, A.M. Theibert, and D.G. Standaert. (2018). *Essentials of Modern Neuroscience*. (New York: McGraw-Hill Companies, Inc.) In press.

| NAME                      |                | POSITION TITI             | ĹE             |
|---------------------------|----------------|---------------------------|----------------|
| Michael Switow Saag       |                | Professor of Medicine     |                |
|                           |                | Associate Dean for Global |                |
|                           |                | Health Director,          | UAB Center for |
| EDUCATION/TRAINING        |                |                           |                |
| INSTITUTION/LOCATION      | DEGREE         | YEAR(S)                   | FIELD OF STUDY |
| Chemistry, Tulane Uni     | B.S.           | 1977                      | Chemistry      |
| University of Louisville, | MD             | 1981                      | Medicine       |
| Kentucky                  |                |                           |                |
| UAB                       | Intern         | 1982                      | Medicine       |
| UAB                       | Resident       | 1984                      |                |
| UAB                       | Chief Resident | 1985                      |                |
| UAB                       | Fellow         | 1987                      |                |
| UAB                       | Post Doc       | 1987                      |                |
|                           |                |                           |                |

| <b>Positions</b> |
|------------------|
|                  |

| 1987 - 2010    | Staff Physician, Medical Service Infectious Diseases, Department of |
|----------------|---|
|                | Veterans Affairs Medical Center, Birmingham, Alabama                |
| 1987 - 2010    | Consulting Physician, Cooper Green Hospital, Birmingham, Alabama    |
| 1987 - Present | Attending Physician, Department of Medicine, University of Alabama  |
|                | at Birmingham, School of Medicine, Birmingham, Alabama              |
| 2009 - Present | Secondary Appointment to Epidemiology, University of Alabama at     |
|                | Birmingham, School of Public Health, Birmingham Alabama             |
| 2017 – Present | Investigator, Evelyn F. McKnight Brain Institute                    |

# **Honors, Awards, and Advisory Committees**

| 2012 - Present | Board Member, Infectious Diseases and Therapy                  |
|----------------|--|
| 2012 - Present | Member, WHO Antiretroviral Therapy Guidelines Committee        |
| 2013 -         | Member, CFAR Sub-Saharan Africa Working Group (CFAR-SSA)       |
| 2013 - Present | Member, NIH R13 Grant Review Panel                             |
| 2013 - Present | Member, NIH NIAID/DIR Board of Scientific Counselors           |
| 2013 - Present | Co-Chair, AASLD/IDSA/ IAS-USA Hepatitis C Guidelines Committee |
| 2016-present   | Member, United Health Council                                  |

# Manuscripts in preparation

Gibbons LE, R Fredericksen, JO Merrill, ME McCaul, G Chander, H Hutton, WB Lober, WC Mathews, K Mayer, G Burkholder, JH Willig, MJ Mugavero, MS **Saag**, MM Kitahata, TC Edwards, D Patrick, HM Crane, PC Crane. The PROMIS Alcohol Use Short Form in a Clinical Care Setting. Drug Alcohol Depend (in press).

| NAME                   |        | POSITION T    | POSITION TITLE      |  |
|------------------------|--------|---------------|---------------------|--|
| David George Standaert |        | Professor and | Professor and Chair |  |
| EDUCATION/TRAINING     |        |               |                     |  |
| INSTITUTION/LOCATION   | DEGRE  | YEAR(S        | FIELD OF STUDY      |  |
| Harvard University     | E A.B. | ) 1982        | Biochemistr         |  |
| Washington             | MD/PhD | 1988          | y Medicine,         |  |
| University School of   |        |               | Pharmacolog         |  |

2006 – present Neurologist, University of Alabama Hospital 2006 – present Investigator, Evelyn F. McKnight Brain Institute

2011 – present Chair, UAB Department of Neurology

# Honors, Awards, and Advisory Committees

2007-2018 (inclusive) "Best Doctors in America"

#### **Biosketch**

Dr. Standaert was named the John N. Whitaker Professor & Chair of Neurology in 2012. Prior to that, he was appointed the John T. and Juanelle D. Strain Endowed Chair by the Board of Trustees of the University of Alabama system, which he held for five years. He received his M.D. and Ph.D. degrees from Washington University in St. Louis in medicine and pharmacology in 1988. He completed a one-year internship in medicine at Jewish Hospital of St. Louis in 1989 and a three-year neurology residency in 1992 at the University of Pennsylvania. He completed a three-year research and clinical fellowship in neurology (movement disorders) at Harvard Medical School Massachusetts General Hospital in 1995. Dr. Standaert is licensed to practice medicine in the states of Massachusetts and Alabama and was board certified in 1993 by the American Board of Psychiatry and Neurology. Dr. Standaert's clinical teaching has consisted of: serving as an attending physician on the MGH Neurology inpatient service, one month each year; teaching residents, fellows and medical students in the Movement Disorders clinic on a weekly basis; and teaching in Resident's clinic about once a month. Classroom teaching has consisted of serving as member of the Core Faculty for Harvard Health Sciences Technology Pharmacology course (HST150) and a lecturer for the Harvard Medical School Human Neuroscience and Behavior course. Dr. Standaert serves as Director of the Center for Neurodegeneration and Experimental Therapeutics, Director of the Division of Movement Disorders in the Department of Neurology, Director of the American Parkinson Disease Association (APDA) Advanced Center for Parkinson Research, and Director of the UAB Bachmann-Straus Dystonia and Parkinson's Disease Center of Excellence. He sees many patients in a weekly clinic and oversees many clinical trials for new treatments in Parkinson's disease.

| NAME                             |         | POSITION TITL | Е              |
|----------------------------------|---------|---------------|----------------|
| Anne Theibert                    |         | Professor     |                |
| EDUCATION/TRAINING               |         |               |                |
| INSTITUTION/LOCATION             | DEGREE  | YEAR(S)       | FIELD OF STUDY |
| Goucher College, Baltimore, MD   | B.A.    | 1979          | Chemistry      |
| Johns Hopkins Uni, Baltimore, MD | PhD     | 1985          | Biochemistry   |
| Johns Hopkins Uni, Baltimore, MD | Postdoc | 1987          |                |
| Johns Hopkins Uni, Baltimore, MD | Postdoc | 1991          |                |

| 1 OSITIONS           |   |   |
|----------------------|---|---|
| Year<br>2009-present | Rank/Title<br>Undergraduate Neuroscience<br>Program Director                              | Institution University of Alabama at Birmingham |
| 2006-present         | Investigator  | Evelyn F. McKnight Brain Institute              |
| 2000-present         | Associate Professor (primary)Univer<br>Department of Neurobiology                         | sity of Alabama at<br>Birmingham                |
| 2000-present         | Associate Professor (secondary) Department of Cell, Developmental and Integrative Biology | University of Alabama at<br>Birmingham          |
| 2000-2012            | Associate Professor (secondary) Department of Physiology and Biophysics                   | University of Alabama at<br>Birmingham          |
| 1996-2000            | Assistant Professor (primary) Department of Neurobiology                                  | University of Alabama at<br>Birmingham          |
| 1991-1996            | Assistant Professor (primary) Department of Cell Biology                                  | University of Alabama at<br>Birmingham          |
|                      |   |   |

# Honors, Awards, and Advisory Committees

Undergraduate Neuroscience Program Director; Undergraduate Neuroscience Program Curriculum Committee; Neurobiology Department Graduate Program Director and Executive Committee Chair; Graduate Biomedical Science (GBS) Steering and Oversight Committee (SOC); GBS Curriculum Committee; GBS Neuroscience Curriculum Committee; Comprehensive Neuroscience Center (CNC) Executive Committee; Science and Technology Honors Program Admissions Committee

| NAME                       |        | POSITION TITLE  |                     |  |
|----------------------------|--------|-----------------|---------------------|--|
| Kristen L. Triebel         |        | Associate Profe | Associate Professor |  |
| EDUCATION/TRAINING         |        |                 |                     |  |
| INSTITUTION/LOCATION       | DEGREE | YEAR(S)         | FIELD OF STUDY      |  |
| Pittsburg State University | B.A.   | 2002            |                     |  |
| Forest Institute           | M.A.   | 2005            | Psychology          |  |
| Forest Institute           | PsyD   | 2006            | Psychology          |  |
| Coatesville VA Med Ctr,    | Intern | 2006            |                     |  |
| Coatesville, PA            |        |                 |                     |  |
| Dept of Neurology, UAB     | Fellow | 2008            |                     |  |

Year Rank/Title Institution
10/2017 - Present Associate Professor/Neuropsychologist (Tenure-track) UAB, Neurology
2017-present Investigator, Evelyn F. McKnight Brain Institute

# **Biographical Sketch**

Dr. Triebel joined the faculty in 2008 after completing a two-year postdoctoral residency in clinical neuropsychology at UAB. She is board certified in clinical neuropsychology. Her clinical work involves neuropsychological evaluation of adults and older adults with a wide variety of neurological disorders, with a speciality focus in cancer, dementia, and movement disorders (including DBS pre-surgical evaluations). Dr. Triebel is also involved in educating graduate students, interns, and postdoctoral fellows in neuropsychology. She has served as Chair on dissertation committees and provides clinical and research supervision to predoctoral trainees, interns, and postdoctoral fellows. She is the Secretary Elect and member of the board of directors of the National Academy of Neuropsychology (NAN). She currently serves NAN as the Chair of the Membership Committee and Professional Member Advisor of the Student Membership Committee.

### **Research Interest**

Dr. Triebel is a clinician scientist investigating cognitive impairment and functional and quality of life outcomes in patients with cancer-related cognitive impairment and a variety of neurological disorders. She is funded by the American Cancer Society, NIH, and other private organizations. Her research focuses on decisional capacity, cognition, and everyday functioning of patients with a variety of neurological disorders including cancer, traumatic brain injury, mild cognitive impairment, and Parkinson's disease.

| NAME                                    |        | POSITION TITLE |                  |
|---|--------|----------------|------------------|
| Eroboghene E. Ubogu                     |        | Professor      |                  |
| EDUCATION/TRAINING                      |        |                |                  |
| INSTITUTION/LOCATION                    | DEGREE | YEAR(S)        | FIELD OF STUDY   |
| King's College, Lagos, Nigeria          |        | 1991           | Secondary School |
| University of Lagos, Lagos, Nigeria     |        | 1992           | Pre-medical      |
| Abbey Tutorial College, London, England |        | 1993           | Advanced Level   |
| Imperial College School of Medicine     |        | 1998           | MBBS             |
| London, England, United Kingdom         |        |                |                  |

2013 Professor (tenured), Department of Neurology, University of Alabama at Birmingham Director, Neuromuscular Immunopathology Research Laboratory Director, Shin J. Oh Muscle and Nerve Histopathology Director, Electromyography and Clinical Neurophysiology Director, Clinical Neurophysiology Residency Program Director, Neuromuscular Medicine Fellowship Program Investigator, Evelyn F. McKnight Brain Institute

#### **Presentations**

1. Palladino S, Helton ES, Dong C, Ubogu E. The CCR5-CD11d-CD99L2 axis in the pathogenesis of HIV distal sensory neuropathy. Journal of NeuroVirology 2018; 13 (Suppl 1): S64 (Presented at the Joint Meeting of the International Society of Neurovirology and the Society on NeuroImmune Pharmacology, 04/12/2018 Chicago. 2. NN103 BEATMG Study Team. B Cell Targeted Treatment in Myasthenia Gravis (BeatMG) – A Phase 2 Trial of Rituximab in MG: Topline Results (Presented at the 15th International Congress on Neuromuscular Diseases (ICNMD), 07/08/2018 Vienna, Aus. 3. Ubogu, E.E. Glial-derived neurotrophic factor (GDNF): An essential paracrine regulator of the blood-nerve barrier. Department of Molecular Physiology and Biophysics Seminar Series, Baylor College of Medicine, Houston, Texas, 09/18/2018. 4. Jiang N, Ubogu EE. Cervical spine magnetic resonance imaging with neck flexion in the early diagnosis of Hirayama disease. Muscle and Nerve 2018; 58 (Suppl S2): S62 (Presented at the 2018 Annual meeting of the American Association of Neuromuscular and Electrodiagnostic Medicine, October 2018 in Washington, DC). 5. Ubogu, E.E. Investigating the human blood-nerve barrier in health and peripheral nerve disease. 2018 Comprehensive Neuroscience Center Retreat, the University of Alabama at Birmingham, Regions Field Ballroom, Birmingham, Alabama, 10/19/2018.

# **Publications 2018**

- 1. Dong C, Helton ES, Zhou P, Ouyang X, d'Anglemont de Tassigny X, Pascual A, López-Barneo J, Ubogu EE. Glial-derived neurotrophic factor is essential for bloodnerve barrier functional recovery in an experimental murine model of traumatic peripheral neuropathy. Tissue Barriers 2018; 6:1-22 (on-line version: DOI: 10.1080/21688370.2018.1479570).
- 2. Liu S, Dong C, Ubogu EE. Immunotherapy of Guillain-Barré syndrome. Human Vaccines & Immunotherapeutics 2018; 28:1-12 (On-line version: DOI: 10.1080/21645515.2018.1493415).

**Publications (other)** A blueprint for future blood-nerve barrier and peripheral nerve disease research (by Jeff Hansen). Published in UAB School of Medicine News on February 15<sup>th</sup>, 2018.

| NAME                            |        | POSITION TITLE      |                |
|---------------------------------|--------|---------------------|----------------|
| Kristina M. Visscher            |        | Associate Professor |                |
| EDUCATION/TRAINING              |        |                     |                |
| INSTITUTION/LOCATION            | DEGREE | YEAR(S)             | FIELD OF STUDY |
| Carleton College, Northfield MN | B.A.   | 1998                | Physics        |
| Washington Uni, St. Louis, MO   | PhD    | 2004                | Neuroscience   |

2009-2017 Assistant Professor, Neurobiology, UAB,

Secondary appointments in Psychology, Vision Sciences/optometry, Biomedical Engineering, Ophthalmology, Vision Science Research Center, Comprehensive Center for Healthy Aging 2017-present Associate Professor, Neurobiology, UAB

Secondary appointments in Psychology, Vision Sciences/Optometry, Biomedical Engineering, Ophthalmology, Vision Science Research Center, Comprehensive Center for Healthy Aging 2009-present Investigator, Evelyn F. McKnight Brain Institute, UAB

# **Invited Talks**

- 1. Perceptual Learning Workshop 5<sup>th</sup> International invited biannual conference (June, 2018) Macular Degeneration as a model for perceptual learning.
- 2. Evelyn F. and William L. McKnight Brain Institute Annual Inter-Institutional Meeting (April, 2018) McKnight Brain Aging Registry: Imaging update
- 3. Nathan Shock Center Conference UAB (March, 2018) MRI measures of the Aging Brain
- 4. Vision Science Research Center, UAB (January, 2018) Understanding Plasticity in the Visual System: Macular Degeneration as a Model System

# **Peer-reviewed Journal Articles**

- 1. Elkhetali, A. S., Fleming, L. L., Vaden, R. J., Nenert, R., Mendle, J. E., & Visscher, K. M. (2018). Background connectivity between frontal and sensory cortex depends on task state, independent of stimulus modality. NeuroImage, 184(September 2018), 790–800. PMID: 30237034
- 2. Ross, LA, Webb, CE, Whitaker, C, Hicks, JM, Schmidt, EL, Samimy, S, Dennis, NA, Visscher, KM (2018) The effects of useful field of view training on brain activity and connectivity, Journal of Gerontology B Psychological Sciences Society, 2018. Doi: 10.1093/geronb/gby041 PMID: 29757433

#### **Abstracts**

Sims, S.A., DeRamus, T., Pandey, U., Robinson, J., Visscher, K.M. (2018) Structural connections differ for central vs. peripheral V1. Cognitive Neuroscience Society Meeting Abstracts

# **Graduate Student Teaching**

2016-present Course Director: Systems Neuroscience

This course, offered in the spring to neuroscience graduate students, covers basic systems neuroscience concepts for a first year neuroscience graduate student audience.

# **Primary Graduate Mentor,**

Leland Fleming, Ph.D., Neuroscience, Visscher lab Matthew Defenderfer, Ph.D., Neuroscience, Visscher lab Mandy Biles, Ph.D., Neuroscience, Visscher lab Sara Sims, PhD., Psychology, Visscher lab Jason Vice, PhD, rotating from Vision Science, Visscher lab

| NAME  |                                | POSITION TI                                 | POSITION TITLE  |  |
|---|--------------------------------|---|---|--|
| Jacques I. Wadiche  | Jacques I. Wadiche             |   | fessor  |  |
| EDUCATION/TRAINING  |                                |   |   |  |
| INSTITUTION AND LOCATION  | DEGREE                         | YEAR(S)                                     | FIELD OF STUDY  |  |
| Northwestern University; IL  Vollum Institute, OHSU; Portland, OR  Vollum Institute, OHSU; Portland, OR | B.A. PhD Postdoctor al Student | 1984-1988<br>1992-1998<br>1998-2006<br>2003 | Neurobio. & Physiology Neurosci. / Biophysics Synaptic Transmission |  |
| CSHL Imaging Course; Cold<br>Harbor, NY   |                                |   | Neuroimaging  |  |

| 1987 - 1988  | Undergraduate Thesis Fellow, Department of Neurobio. and Physiol.,               |
|--------------|--|
|              | Northwestern University, Evanston, IL; Advisor: Fred Turek, PhD                  |
| 1990 - 1992  | Research Assistant, Department of Neuroscience, Baylor College of Medicine,      |
|              | Houston, TX; Advisor: James W. Patrick, PhD                                      |
| 1992 - 1998  | Graduate Student, Vollum Institute, Oregon Health Sciences University, Portland, |
|              | OR; Advisor: Michael P. Kavanaugh, PhD   |
| 1998 - 2006  | Postdoctoral Fellow, Vollum Institute, Oregon Health Sciences University,        |
|              | Portland, OR; Advisor: Craig E. Jahr, PhD  |
| 2004         | Teaching Assistant, Cold Spring Harbor Laboratories Imaging Course, Cold         |
|              | Spring Harbor, NY  |
| 2006 - 2013  | Assistant Professor, Department of Neurobiology, University of Alabama at        |
|              | Birmingham; Birmingham, AL   |
| 2006-present | Investigator, Evelyn F. McKnight Brain Institute                                 |
| 2013 -       | Associate Professor, Department of Neurobiology, University of Alabama at        |
|              | Birmingham; Birmingham, AL   |
|              |  |

# Honors, Awards, and Advisory Committees

- 2008 Ad hoc reviewer: Netherlands Organization for Scientific Research, Agence Nationale de la Recherce (France), North Carolina Biotechnology Center
- 2009 Ad hoc reviewer NSF Peer Review Committees (Biomolecular Systems, Cellular Systems)
- 2011 Editorial Board, Frontiers in Behavioral and Psychiatric Genetics 2016 Graduate Dean's Excellence in Mentorship Award, UAB

# **Publications (2018)**

Non-synaptic signaling from cerebellar climbing fibers modulates Golgi cell activity. Nietz AK, Vaden JH, Coddington LT, Overstreet-Wadiche L, Wadiche JI. Elife. 2017 Oct 13;6. pii: e29215. doi: 10.7554/eLife.29215.

Gonzalez JC, Epps SA, Markwardt SJ, Wadiche JI, Overstreet-Wadiche L (2018) Constitutive and synaptic activation of GIRK channels differentiates mature and newborn dentate granule cells. Journal of Neuroscience pii: 0674-18. doi:10.1523/JNEUROSCI.0674-18.2018. [Epub ahead of print].

| NAME                            |        | POSITION TITLE      |                |
|---------------------------------|--------|---------------------|----------------|
| Linda Wadiche                   |        | Associate Professor |                |
| EDUCATION/TRAINING              |        |                     |                |
| INSTITUTION/LOCATION            | DEGREE | YEAR(S)             | FIELD OF STUDY |
| North Park Uni, Chicago, IL     | B.S.   | 1992                | Biology        |
| Northwestern Uni, Chicago, IL   |        | 1997                |                |
| Vollum Institute, Oregon Health | PhD    | 2004                |                |

2011 – present Associate Professor, Department of Neurobiology, UAB 2006 - 2011 Assistant Professor (primary), Department of Neurobiology, UAB 2006-present Investigator, Evelyn F. McKnight Brain Institute 2005 - 2006 Assistant Research Professor, Vollum Institute, Oregon Health & Sciences University, Portland, OR

# **Biographical Sketch**

Linda Overstreet Wadiche received a BS in Biology from North Park University in Chicago, IL. In 1997 she received her Ph.D. from the Department of Physiology at Northwestern University Medical School under the mentorship of Dr. N. Traverse Slater. From 1998-2004 she was a postdoctoral fellow with Dr. Gary Westbrook at the Vollum Institute, Oregon Health & Science University. Dr. Wadiche became a Research Assistant Professor at the Vollum Institute in 2004. In June of 2006 she joined the Department of Neurobiology at UAB as an Assistant Professor.

### **Research Interest**

Most neurons in the brain are generated during embryogenesis. However, neural stem cells in discrete regions of the adult continuously produce newborn neurons that can functionally integrate by forming synapses with the existing neural circuitry. One of the regions where adult neurogenesis occurs is the dentate gyrus, an area that is involved learning and memory. My laboratory focuses on the mechanisms underlying functional maturation and synaptogenesis of newborn granule cells, the principal neurons in the dentate gyrus. We use a variety of techniques to explore how newborn neurons survive and integrate, and how these processes are modified by aging, exercise and disease.

# Honors, Awards, and Advisory Committees

- -2018-19 Standing member, CURE grant review board
- -Nominated by Journal of Neuroscience Reviewing Editors for recognition of the quality and thoughtfulness of reviews during peer-review week
- -Received a new NIH R01 award to study the function of slow-spiking GABAergic interneurons in dentate neurogenesis and inhibitionPublications 2018

| NAME                                |            | POSITION TITLE | 3                   |
|-------------------------------------|------------|----------------|---------------------|
| Virginia G. Wadley                  |            | Professor      |                     |
| EDUCATION/TRAINING                  |            |                |                     |
| INSTITUTION AND LOCATION            | DEGREE     | YEAR(S)        | FIELD OF STUDY      |
| University of Alabama at Birmingham | B.S.       | 1991           | Psychology and      |
| University of Alabama at Birmingham |            |                | English             |
| Duke University Medical Center      | M.A., PhD  | 1994, 1997     | Medical Psychology  |
|                                     |            |                | Clinical Psychology |
|                                     | Internship | 1996-1997      |                     |

| 2015 - pres. | Professor, Department of Medicine, Division of Gerontology, Geriatrics, and     |
|--------------|---|
|              | Palliative Care; School of Social and Behavioral Sciences, Department of        |
|              | Psychology (secondary appointment); and Department of Ophthalmology             |
|              | (secondary appointment),  |
| 2012 - pres. | Senior Scientist, Center for Outcomes and Effectiveness Research and Education, |
|              | 2009 - 2015 Associate Professor, Department of Medicine, Division of            |
|              | Gerontology, Geriatrics, and Palliative Care; School of Social and Behavioral   |
|              | Sciences, Department of Psychology (secondary appointment); and Department      |
|              | of Ophthalmology (secondary appointment—2014-2015)),                            |
| 2009 - pres. | Scientist, appointed, UAB Comprehensive Neuroscience Center                     |
| 2007 - pres. | Associate Director, UAB Edward R. Roybal Center for Translational Research on   |
|              | Aging and Mobility  |
| 2007 - pres. | Graduate Faculty, University of Alabama, Tuscaloosa, AL                         |
| 2005 - 2009  | Assistant Professor, Department of Medicine, Division of Gerontology,           |
|              | Geriatrics, and Palliative Care; and School of Social and Behavioral Sciences,  |
| 2005 - pres. | Director, Dementia Care Research Program, Division of Gerontology, Geriatrics,  |
|              | and Palliative Care, University of Alabama at Birmingham, Birmingham, AL        |
| 2005 - pres. | Director, Alzheimer's Family Program, Comprehensive Center for Healthy          |
|              | Aging, University of Alabama at Birmingham, Birmingham, AL                      |
| 2000 - pres. | Senior Scientist (2015), UAB Comprehensive Center for Healthy Aging (formerly   |

2015 – present Investigator, Evelyn F. McKnight Brain Institute

Center for Aging)

# **Research Interests**

Cognitive and functional assessment of older adults in the contexts of normal aging, Mild Cognitive Impairment, Alzheimer's disease, and stroke. Interventions to maintain cognition and daily function.

# Website

https://www.ncbi.nlm.nih.gov/sites/myncbi/virginia.wadley%20bradley.1/bibliography/4784087 3/public/?sort=date&direction=descending

| NAME                        |         | POSITION TITLE      |                    |
|-----------------------------|---------|---------------------|--------------------|
| Scott Wilson                |         | Associate Professor |                    |
| EDUCATION/TRAINING          |         |                     |                    |
| INSTITUTION/LOCATION        | DEGREE  | YEAR(S)             | FIELD OF STUDY     |
| University of South Florida | B.S.    | 1986                | Biology            |
| University of South Florida | M.S.    | 1989                | Microbiology       |
| University of Florida       | PhD     | 1996                | Molecular Genetics |
| National Cancer Institute   | Postdoc | 2002                | Genetics           |

| 1990-1991 | Instructor, Introductory Biology, Hillsboro Community College, Tampa, Florida  |
|-----------|--|
| 1992-1996 | Graduate student in the laboratory of Maurice Swanson, Department of Molecular |
|           | Genetics and Microbiology, University of Florida College of Medicine,          |
|           | Gainesville, Florida   |
| 1997-2002 | Postdoctoral Fellow in the laboratory of Drs. Neal Copeland and Nancy Jenkins, |
|           | National Cancer Institute, Frederick, MD.                                      |

- 8-02 to presentAssistant Professor, Department of Neurobiology, University of Alabama at Birmingham, Birmingham, AL,
- 11-3 03 to present Secondary Appointment in the Department of Biochemistry and Molecular Genetics
- 11-4 04 to present Secondary Appointment in the Department of Genetics 2006-present Investigator, Evelyn F. McKnight Brain Institute

6-06 to present Director of Summer Program in Neuroscience

10-06 to present Director of Molecular Recombineering Core. NIH Blueprint Core facility.

8-10 to presentAssociate Professor, Department of Neurobiology, University of Alabama at Birmingham, Birmingham, AL

# **Publications**

Chronic overexpression of ubiquitin impairs learning, reduces synaptic plasticity, and enhances GRIA receptor turnover in mice. J. Neurochemistry. In press.